



Solicitation Information

December 17, 2012

Request For Qualifications (RFQ) # 7458377PHSE1

TITLE: General Contractor Pre Qualification Phase I
URI Center for Chemistry & Forensic Science
University of Rhode Island

Submission Deadline: Tuesday January 15, 2013 at 11:00 AM (EST)

PRE-PROPOSAL CONFERENCE: Yes **Mandatory:** YES

TIME: Friday December 28, 2012 at 10:00 AM (EST)

Location: University of Rhode Island, Chafee Hall Room 277, Kingston, Rhode Island

Questions concerning this solicitation may also be e-mailed to the Division of Purchases at questions@purchasing.ri.gov no later than January 4, 2013 at noon (EST). Questions should be submitted in a *Microsoft Word attachment*. Please reference the RFP # on all correspondence. Questions received, if any, will be posted on the internet as an addendum to this solicitation. It is the responsibility of all interested parties to download this information.

SURETY REQUIRED: Bonding Capacity Commitment Letter for Phase I
BOND REQUIRED: Bonding Capacity Commitment Letter for Phase I

Thomas Bovis
Interdepartmental Project Manager

Vendors must register on-line at the State Purchasing Website at www.purchasing.state.ri.us to be able to download a Bidder Certification Cover form which must accompany each offer.

NOTE TO VENDORS:

No offer will be considered that is not accompanied by a completed and signed RIVIP generated Bidder Certification Cover Form.

THIS PAGE IS NOT A BIDDER CERTIFICATION FORM

**University of Rhode Island
Center for Chemistry & Forensic Science**

Kingston, Rhode Island

Phase 1

GENERAL CONTRACTOR PREQUALIFICATION

REQUEST FOR QUALIFICATIONS (RFQ)

And

STATEMENT OF QUALIFICATIONS (SOQ)

Rhode Island Board of Governors for Higher
Education (RIBGHE) / University of Rhode Island (URI)

Part One: RFQ

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Part Two: Forms / Schedules *(to be completed by General Contractor)*

- GC RFQ Form:** *Statement of Qualifications Application for General Contractors*
- Schedule A:** *Business Owner Information*
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- Schedule I:** *Project References*
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PART ONE: RFQ

Section I: General Project Information

Agency	<i>Rhode Island Board of Governors for Higher Education (RIBGHE), on behalf of the University of Rhode Island (URI)</i>
Request for Qualifications No.:	7458377
Project Name:	<i>URI Center for Chemistry & Forensic Science</i>
Project Location:	<i>Kingston, Rhode Island</i>
Project Description:	<i>New Building Construction</i>
RFQ Informational Meeting (Mandatory):	<i>December 28, 2012 at 10:00 AM (EST)</i>
Site Visit	<i>Following the Informational Meeting</i>
Submission Deadline: (for submission of SOQs in response to this RFQ)	<i>January 15, 2013 11:00 AM (EST)</i>
Submission Address:	<i>Rhode Island Department of Administration Division of Purchases One Capital Hill, Providence, RI 02908</i>
Estimated Construction Cost:	<i>\$60,000,000</i>
Estimated Project Duration:	<i>Twenty Four Months</i>

Owner's Project Manager:	<i>URI Office of Capital Projects ATTN: Paul M. DePace, P.E. University of Rhode Island 523 Plains Road Kingston, RI 02881 (0) 401.874.2725 (f) 401.874.5599</i>
Project Architect:	<i>Wilson Architects, Inc. ATTN: Matt Ellsworth, AIA 374 Congress Street, Suite 400 Boston, MA 02210 Tel: 617-338-5990 Fax: 617-338-5991</i>

Directions to the **Mandatory Informational Meeting** at the Chafee Hall:

From the north, take I-95 South to Exit 9 (Route 4 South) and follow Route 4 to Route 1 South. Stay on Route 1 until the intersection of Route 138 West. Turn right onto Route 138 West to the Town of Kingston.

From the south, take I-95 North to Exit 3A (Route 138 East). Continue east on Route 138 to Kingston.

From Newport, follow Route 138 West over the Newport and Jamestown bridges to Route 1. Take Route 1 South to Route 138 West. Follow Route 138 West to Kingston.

We suggest that you enter the University campus at the junction of Route 138 and Upper College Road, at the traffic light. Continue north on Upper College Road and turn left on Flagg Road.

Directions to Location and Parking

Upon entering campus, you will find the campus Information Center on your right on Upper College Road. The Information Center will provide a visitor's parking pass and directions for parking. A detailed map of the campus is also available. The Information Center is open Monday through Friday from 8 a.m. to 4 p.m., on Saturdays from 10 a.m. to 2 p.m. If you have special needs, please alert the Information Center by calling (401) 874-2133.

Section II: Detailed Project Description

URI Center for Chemistry & Forensic Science

The URI Center for Chemistry & Forensic Science building will comprise approximately 134,000 Gross Square Feet of newly constructed space on four levels for classrooms, offices, research and teaching labs, and associated program spaces. The estimated construction cost is approximately \$60,000,000 million.

The Center for Chemistry & Forensic Science will be the third phase of a larger North District Master Plan to create a new quadrangle for all the sciences activities on the URI Kingston Campus. This project will include the demolition of surface parking areas and removal of the north Chafee Building stair tower. There will also be a connection to the Chafee Building at the mezzanine level of the new building.

A major component to the project will be teaching labs and general classroom spaces. The first two levels contain eight (8) general chemistry labs, five (5) organic teaching labs, and one (1) advanced chemistry teaching lab. The first level contains two lecture halls, one a 250 seat and the other a 100 seat, and a 32 seat classroom on the 2nd level. These items reflect the program's commitment to innovating teaching with an emphasis on hands on experiences.

The Center for Chemistry & Forensic Science will house advanced chemical research spaces designed to foster interaction and sharing of advanced scientific research support. The 3rd and 4th levels contain 36 research labs of which 12 will be left as warm shells. The Department of Homeland Security Center of Excellence in Explosives Detection, Mitigation, and Response (COE) will be relocated to the 4th level of the new facility. The 2nd level will also house the nuclear magnetic resonance (NMR) spectroscopy instrumentation lab.

Support service areas also include a loading dock facility located below grade, chemical and general storeroom areas, hazardous waste and solvent storage facilities, administrative and conference room spaces, and mechanical spaces located at the lower level and penthouse.

Extensive landscape, civil and utility design work accompanies the building, including a podium area connecting to the CBLS and the COP plazas, extension of the CBLS rain gardens, surface parking areas and the extension of steam and condensate lines from the Rodman Hall area.

This new facility is conceived as a Leadership in Energy and Environmental Design (LEED) certified Silver building as governed by the US Green Building Council.

Section III: General Instructions

General contractors (“Respondents”) who want to be considered for prequalification for the Center for Chemistry & Forensic Science project are required to submit a *Statement of Qualifications* (“SOQ”) application package as follows:

A. Contents of *Statement of Qualifications* Application Package

The required *SOQ application package* consists of the following:

1. *GC RFQ Form (attached)*
2. *Schedules A through L to GC RFQ Form;*
3. All supporting documentation referenced and required therein; and
4. Required number of copies of items 1-3 above.

B. Submission Deadline: See *Section I: General Information*

An original, plus five (5) complete copies of Respondent’s *SOQ application package* and a CD with the complete copy must be received by the Division of Purchases on or before the Submission Deadline as set forth in *Section I*, as determined by the Division of Purchases’ official time clock. All envelopes should be mailed or delivered to:

*Rhode Island Department of Administration
Division of Purchases
One Capital Hill,
Providence, RI 02908*

Proposals misdirected to other State locations or which are otherwise not received by the Division of Purchases office prior to the time of opening for any cause shall be determined to be late and will not be accepted or considered. Proposals emailed, or faxed will not be accepted or considered.

C. Instructions and Notifications to Respondents:

- a) Respondents are advised to review all sections of this Request carefully and to follow instructions completely, as failure to make a complete submission as described elsewhere herein may result in rejection of the proposal.
- b) Proposals are considered to be irrevocable for a period of not less than sixty (60) days following the opening date, and may not be withdrawn, except with the express written permission of the State Chief Purchasing Officer.

- c) All costs associated with developing or submitting the SOQ in response to this Request, or to provide oral or written clarification of its content shall be borne by the respondent.
- d) In accordance with R. I. Gen. Laws § 7-1.2-1401 no foreign corporation has the right to transact business in Rhode Island until it has procured a certificate of authority so to do from the Rhode Island Secretary of State (401/222-3040).
- e) Respondents are advised that all materials submitted for consideration in response to this Request will be considered to be public records, as defined in Title 38 Chapter 2 of the Rhode Island General Laws, unless noted herein, and will be released for inspection immediately upon request, once an award has been made.
- f) It is intended that an award pursuant to this Request will be made to a prime contractor, who will assume responsibility for all aspects of the work. Joint venture and cooperative proposals will not be considered, but subcontracts are permitted provided that their use is clearly indicated on the Respondent's proposal, and the subcontractors(s) proposed to be used are identified in the proposal.
- g) The State of Rhode Island has a requirement of ten per cent (10%) participation of Minority Business Enterprises (MBE) in all State procurements. For further information, see www.mbe.ri.gov or contact the MBE office at 401-574-8253.
- h) Equal Employment Opportunity: R. I. Gen. Laws § 28-5.1-Declaration of Policy: Equal opportunity and affirmative action toward its achievement is the policy of all units of Rhode Island State government, including all public and quasi-public agencies, commissions, boards, and authorities and in the classified, unclassified, and non-classified services of state employments. This policy applies in all areas where the state dollars is spent, in employment, public service, grants and financial assistance, and in state licensing and regulation. For further information contact the Rhode Island Equal Employment Opportunity Office at 222-3090.
- i) The State of Rhode Island reserves the right to request clarification of any aspect of materials received or to request further information as might be required to adequately evaluate credentials and qualifications.
- j) The State of Rhode Island reserves the right to accept or reject any or all submissions received as a result of this solicitation, or to waive minor irregularities.

- k) Interested parties are encouraged to visit the R.I. Division of Purchases web site www.purchasing.ri.gov on a regular basis, as additional information relating to this solicitation may be released in the form of an addendum to this RFQ. All questions regarding the project or this RFQ shall be directed to the Division of Purchases by e-mail to questions@purchasing.ri.gov. Responses to questions regarding this solicitation will be posted on the Division of Purchases web site and shared with all interested firms by means of addendum to this RFQ.
- l) Vendors wishing to do business with the State of Rhode Island must register with the Division of Purchases. Please refer to the Division of Purchases website at www.purchasing.ri.gov for more information.
- m) Any award resulting from this Request will be subject to the State of Rhode Island's "General Conditions of Purchase," which is available at: <http://www.righe.org/procurementregs113006.pdf>.

D. Required Exterior Label for *SOQ Application Package Envelope*

SOQs should be submitted in a sealed envelope. All envelopes **must be labeled on the outside** with the following information:

RFQ for General Contractor Services
Project Name: URI Center for Chemistry & Forensic Science
Request for Qualifications No :
Respondent General Contractor's Name:
Respondent General Contractor's Address:
Respondent General Contractor's Telephone #:
Respondent General Contractor's Contact Person:

E. RFQ Informational Meeting

A mandatory RFQ informational meeting shall be held regarding the prequalification process for this Project. Respondents who do not register and attend the informational meeting shall not be eligible for prequalification.

F. Site Visit

An optional site visit will be hosted immediately following the RFQ Informational Meeting.

G. Review/Availability of Construction Contract Documents

The Project is currently in the Construction Document design phase. Design Development floor plans, exterior renderings and other documents are available for viewing on the architects ftp site:

Site: <http://files.wilsonarch.com>

Username: bid4newchem

Password: uri_guestbid

Paper-format plans and specifications will also be available for review during normal business hours (Mon-Fri 8:30 AM to 4:30 PM) at the following location(s):

***The University of Rhode Island Plan Room
Campus Planning & Design
210 Flagg Road, Suite 206
Kingston, RI 02881***

H. Subcontractor Prequalification

The Project will not require prequalification of subcontractors.

Section IV: Overview of Prequalification Process

- This *Request for Qualifications* (“*RFQ*”) is issued pursuant to R. I. Gen. Laws § 37-2-25. Firms interested in providing general contractor services for the construction of the project described in *Section I* and *Section II* (“the Project”) MUST submit a *Statement of Qualifications* (“*SOQ*”) in response to this *RFQ* to the Rhode Island Division of Purchases as instructed in *Section III* and *Section VI*, herein.
- The general contractor selection process for this Project is a two-phase process involving prequalification of bidders and competitive bidding. The project delivery method for construction will be by lump sum general construction contract. The State of Rhode Island shall prequalify firms interested in providing general contractor services for the Project through this *RFQ* prequalification process.

Section V: Administration/Schedule for Prequalification Process

A. “Two-Phase” Selection Process

Selection of the general contractor for the Project will be conducted in a **two-phase** process. General contractor firms must first be prequalified in the *Phase One – RFQ/Prequalification Phase* in order to bid on the Project in *Phase Two – RFP/Bidding Phase*.

1. *Phase One – RFQ/Prequalification Phase*

- **Submission of Statement of Qualifications (“SOQ”)** - Interested General contractor firms (“Respondents”) must submit a completed *SOQ*; completed *Schedules A through K*, and all required supporting documentation referenced therein in response to this *RFQ* by the *Submission Deadline* set forth in *Section I*.
- **Prequalification Committee** –The University of Rhode Island has appointed a *Prequalification Committee* to review and evaluate the *SOQs* (and supporting documentation) submitted by Respondents.
- **Evaluation By Prequalification Committee** - The *Prequalification Committee* shall meet as necessary to evaluate and review the *SOQs* (and supporting documentation) submitted by each Respondent in accordance with the evaluation criteria set forth in *Section VI*.
- **Notice To Respondent General Contractors** – The University of Rhode Island anticipates concluding the *RFQ* evaluation and review process within the time set forth in *Section V.(B) herein*. Upon completion of the evaluation and review process, the *Prequalification Committee* shall provide recommendations to the State’s Chief Purchasing Officer, who will determine the list of prequalified general contractors for the project. Written notice to all Respondents as to whether they are deemed prequalified or not will be issued by the Division of Purchases. Only prequalified Respondents shall also be invited to participate in *Phase Two*, the *RFP/Bidding Phase* of the general contractor selection process.

2. *Phase Two – RFP/Bidding Phase*

- Respondents determined in *Phase One* by the Chief Purchasing Officer to be prequalified will be invited to bid on the Project.

B. Anticipated Schedule For Prequalification/Bidding

Anticipated Completion of Prequalification Evaluations:	TBD
Anticipated RFP to Prequalified Bidders:	TBD
Anticipated Notice To Proceed:	TBD
Anticipated Construction Schedule:	Twenty Four Months

Section VI: Evaluation Procedure/Criteria for Prequalification Selection

A. Sources of Information Considered

Respondents must submit documentation for evaluation criteria categories listed herein. **If a Respondent also provides construction management services, then relevant experience information shall also be submitted.** Prequalification will be based on the submitted information and materials as well as information on prior project performance, information obtained from references, information obtained from governmental agencies and entities, vendor information records maintained by the Division of Purchase, and such other information as may be obtained relating to the evaluation criteria categories. The *Prequalification Committee* may also request and review additional information as necessary to clarify or supplement the information provided by a respondent.

Respondents must include the, *GC RFQ Form* and *Schedules A through L* attached hereto. Respondents must give complete and accurate answers to all questions and provide all of the information requested. Making a materially false statement in this SOQ submission is grounds for rejection and debarment.

B. Evaluation Procedure

The University of Rhode Island has established a *Prequalification Committee* for the purpose of reviewing and evaluating responses to this *RFQ*. The *Prequalification Committee* shall evaluate Respondents based on the evaluation criteria set forth herein and assign points for favorable responses to each evaluation criterion category and subcategory provided herein. The *Prequalification Committee* shall prepare a written evaluation score form for each Respondent that provides a composite point rating and a specific point rating for each of the evaluation criterion set forth herein. Only Respondents who have

achieved the minimum points required in each category set forth herein and a minimum total score of seventy (70) points shall be prequalified. Once finalized, the recommendations of the *Prequalification Committee* shall be submitted to the Chief Purchasing Officer, who, in accordance with Rhode Island General Laws § 37-2-25, will determine which Respondents are prequalified for the project.

C. Criteria for Prequalification

SOQs must be submitted on the *GC RFQ Form* attached hereto. Respondents submitting a *SOQ* and supporting information in any other form will not be prequalified. The *Prequalification Committee* shall review and evaluate the information submitted by Respondents in accordance with the point scheme set forth herein. The RFQ shall set forth the available points for each evaluation sub-category in order to provide Respondents prior notice of the points available in each sub-category.

1. Management Experience - (60 points available in this category; minimum of 40 points required in this category for prequalification approval)

- a. Business Owners:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work and numbers of years with the firm for each of the business owner(s) of the firm. If the Respondent is a partnership, then it **MUST** provide the requested information for each general and limited partner. If the Respondent is a corporation or limited liability company, then it **MUST** provide the requested information for each officer, director and/or member. (3 points available)
- b. Management Personnel:** Provide the name, title, including a detailed description of the role and job responsibilities, scope of work, education, construction experience, years with the firm and list of all projects completed for all management personnel who will have any direct or indirect responsibility over the Project, including but not limited to project executives, project managers, field superintendents, field engineers, and safety engineers. (20 points available)
- c. Similar Project Experience:** Provide the project name(s), description, scope of work, original contract sum, final contract sum with explanation, and date of completion for each and every similar project undertaken by the Respondent in the last eight (8) years. For purposes of this RFQ, a “similar project” shall mean research and/or academic laboratory building projects for the medical, pharmaceutical, chemical, biological, or life sciences which are valued at more than \$30 million in construction contract

value. Additionally, provide project information for any and all institutional or commercial projects completed within the past five (5) years with a construction contract value of over \$50 million; list the project name(s), description, scope of work, original contract sum, final contract sum with explanation, and date of completion for each project. For older projects, the above construction contract values may be presented in 2012 dollars, escalated with the U.S. Department of Labor inflation calculator at http://www.bls.gov/data/inflation_calculator.htm (15 points available)

- d. **Building Information Modeling Experience:** The Design Team shall provide Building Information Modeling (BIM) documents during the bidding phase for use by prequalified Respondents. For the projects listed in the previous section, the Respondents shall describe their experiences with BIM documents for clash detection, coordination and construction coordination. (5 points available)
- e. **Terminations:** Provide a list of any projects within the past five (5) years on which Respondent was the general contractor and was terminated, held in default, or failed to complete the work. Include the name of the project, the timeframe of the project and circumstances surrounding the termination or default. (up to 5 points available for favorable record)
- f. **Legal Proceedings:** Provide information regarding each and every legal proceeding, administrative proceeding, and arbitration pending against the Respondent. In addition, provide information regarding each and every legal proceeding, administrative proceeding, or arbitration action concluded adversely to the Respondent within the past five (5) years, which relate to the procurement or performance of any public or private construction contract. (up to 5 points available for favorable record)
- g. **Safety Record:** Provide the three (3) year history of the Respondent's workers' compensation experience modifier. In addition, provide documentation from the Respondent's insurance carrier supporting the rating history provided. (up to 3 points available for favorable record)
- h. **MBE/WBE and Workforce Compliance Record:** Provide information and evidence of the Respondent's compliance record with respect to Minority Business Enterprise and Women Business Enterprise goals and workforce inclusion goals for all projects

completed which had such goals within the past three years (*up to 4 points available for favorable record*)

2. References - (30 points available in this category; minimum of 20 points required in this category for prequalification approval)

- a. Project References:** Provide contact information for owners and architects for each and every project listed in response to *Section VI(C)(1)(c)*. Information provided shall include project name and the names of the owners and architects, with current address, current telephone and fax numbers, and contact person for each. Note: The Prequalification Committee may also consider project reference information provided in response to *Subsection 2(c)* herein. (*20 points available*)
- b. Financial Credit References:** Provide a minimum of five (5) credit references, including the telephone and fax numbers of a contact person from key suppliers, vendors and banks. (*5 points available*)
- c. Public Project Record:** Provide a list of all completed public building construction projects worked on during the past five (5) years with the project name, scope of work, contract value, start date, completion date, status of the project, owner's name (including address, telephone number, fax number, and contact person) and architect's name (including address, telephone number, fax number and contact person). (*5 points available*)

3. Financial Capacity to Complete Projects - (10 points available in this category; minimum of 5 points required in this category for prequalification approval)

- a. Audited Financial Statement:** Submit an audited financial statement for the most recent fiscal year. The financial information submitted shall remain confidential and shall not be a public record. (*5 points available*)
- b. Revenue Under Contract:** Submit anticipated revenue for projects under contract for the next three (3) years. (*5 points available*)

4. Mandatory Requirements - (no points assigned)

- a. Bonding Capacity:** Respondents must provide a commitment letter (from a surety company licensed to do business in the State of Rhode Island and whose name appears on the United States Treasury Department Circular 570) for payment and performance

bonds in an amount equal to or greater than one hundred percent (100%) of the estimated construction cost for Project as set forth in *Section I*.

- b. *Certification:*** Respondents must provide evidence of being licensed and registered as a general contractor within the State of Rhode Island. Note: a valid *Certificate of Authority* issued by the Rhode Island Secretary of State's Office (401-222-3040) is required for contract award.
- c. *Project Labor Agreement:*** The University of Rhode Island is negotiating a Project Labor Agreement ("PLA") for the Project. If the University enters into a PLA, then the PLA shall be a mandatory condition of contract award for the Project. Thus, the lowest responsive and responsible bidder (and its subcontractors) shall be required to accept the terms of the PLA, in its entirety. The PLA will be provided to all pre-qualified Respondents during the Phase Two-RFP/Bidding Phase. Respondents must provide a statement in their prequalification submission confirming their willingness to sign and administer the PLA, should a PLA be adopted for the Project. This is a mandatory requirement for pre-qualification to bid the Project.

Section VII: Additional Information

A. *Status of Request for Qualifications*

This *RFQ* is solely a request for qualifications information. It does not represent an offer nor does it confer any rights on any Respondent.. The State of Rhode Island shall not be responsible under any circumstances for any costs incurred by any Respondent. The State of Rhode Island reserves the right to cancel this procurement at any time if it is in the State's best interest to do so.

B. *Treatment of Information Submitted*

With the exception of the required audited financial statements, the State of Rhode Island and the University of Rhode Island shall have no obligation to treat any information submitted by a Respondent in connection with a *SOQ* as proprietary or confidential unless the State or University determines that the information legitimately requires such treatment. In such case, the State or University's obligation with respect to protection and disclosure of such information shall at all times be subject to applicable laws. The State and University shall have the right to use all or portions of the *SOQ*, as it considers

necessary or desirable in connection with the Project. By the submission of a *SOQ*, Respondents grant to the State of Rhode Island an unrestricted license to use the *SOQ*, including all materials submitted therewith, in connection with the Project.

C. Communication between URI and Respondent General Contractors

During Phase One-RFQ Prequalification Phase of this solicitation, all requests for information regarding RFQ terms and conditions, RFQ submission deadlines, technical questions related to the Project, or the issuance or status of any relevant addenda to the RFQ, must be submitted in writing to:

*Rhode Island Department of Administration
Division of Purchases
One Capital Hill,
Providence, RI 02908
questions@purchasing.ri.gov*

Any issues brought to the attention of the Division of Purchases which require clarification shall be addressed by issuance of a written addendum. Oral communications between Respondents and the Division of Purchases or the University Shall have no legal force or effect. All addenda issued by the Division of Purchases shall be considered part of this RFQ. Respondents shall be required to acknowledge receipt of all addenda on the RFQ Proposal Response Form attached to this RFQ. The Division of Purchases shall post all addenda on the Rhode Island Division of Purchases web site www.purchasing.ri.gov. It shall be the sole responsibility of Respondents to ascertain the existence of any and all addenda.

From the date of issuance of this RFQ, any Respondent who contacts directly or indirectly any official or employee of the University of Rhode Island, or the project designer, or the project manager, or any member of the *Prequalification Committee* in connection with the selection process or the contract contemplated herein for this Project shall be subject to disqualification.

1. Management Experience - (60 points available; minimum of 40 points required for prequalification approval)

- a. Business Owners (3 points):** Respondents **MUST COMPLETE** *Schedule A* and **MUST ATTACH** to it a resume for each and every business owner of your firm as set forth in *Section VI(C)(1)(a) of Part One*, of the RFQ for this Project.

- b. **Management Personnel (20 points):** Respondents **MUST COMPLETE** **Schedule B** and **MUST ATTACH** to it a resume for each and every person who will have **any** management responsibility, direct or indirect, for the Project, including, but not limited to, project executives, project managers, field superintendents and field engineers, as set forth in *Section VI(C)(1)(b) of Part One*, of the RFQ for this Project.
- c. **Similar Project Experience (15 points):** Respondents **MUST COMPLETE** **Schedule C** and list similar projects for the last eight (8) years. For each project, you must include the name, description of project, description of your firm's scope of work, original contract sum, final contract sum (with explanation) and date completed. For the purpose of this RFQ, "similar projects" shall be defined as defined in *Section VI(C)(1)(c) of Part One*, the RFQ for this Project. Additionally, provide project information for any and all institutional or commercial projects completed within the past five (5) years with a construction contract value of over \$50 million; list the project name(s), description, scope of work, original contract sum, final contract sum with explanation, and date of completion for each project.
- d. **Building Information Modeling Experience (5 points):** Respondents **MUST COMPLETE** **Schedule D** and identify projects from Schedule C for Building Information Modeling (BIM) documents were used and describe the use as set forth in *Section VI(C)(1)(d) of Part One*, of the RFQ for this Project.
- e. **Terminations (5 points):** Respondents **MUST COMPLETE** **Schedule E** and list each and every project on which your firm was terminated or failed to complete the work as set forth in *Section VI(C)(1)(e) of Part One*, of the RFQ for this Project.
- f. **Legal Proceedings (5 points):** Respondents **MUST COMPLETE** **Schedule F** and list any and all legal proceeding or administrative proceeding or arbitration currently pending against your firm. Respondents must also list each and every legal proceeding or administrative proceeding or arbitration concluded adversely against your firm within the past five (5) years as set forth in *Section VI(C)(1)(f) of Part One*, of the RFQ for this Project.
- g. **Safety Record (3 points):** Respondents **MUST COMPLETE** **Schedule G** and provide the three (3) year history of its workers' compensation modifier rating as set forth in *Section VI(C)(1)(g) of Part One*, of the RFQ for this Project, and **MUST ATTACH** to **Schedule F** documentation from its insurance carrier supporting the ratings reported therein or no points may be awarded.
- h. **MBE/WBE and Workforce Compliance Record (4 points):** Respondents **MUST COMPLETE** **Schedule H** and provide copies of documents providing evidence of the firm's compliance record with respect to Minority Business Enterprise and Women's Business Enterprise participation goals and workforce

inclusion goals for each and every project completed within the past three years that had a contractual MBE/WBE participation goal or minority and women workforce goals as set forth in *Section VI(C)(1)(h) of Part One*, of the *RFQ* for this Project. In addition, Respondents **MUST ATTACH** documentation supporting the actual participation and inclusion amounts reported in *Schedule H*.

2. **References** - (30 points available; minimum of 20 points required for prequalification approval)

- a. ***Project References (20 points):*** Respondents **MUST COMPLETE** *Schedule I* and provide project references from owners and architects for all projects as required in *Section VI(C)(2)(a) of Part One*, of the *RFQ* for this Project.
- b. ***Financial Credit References (5 points):*** Respondents **MUST COMPLETE** *Schedule J* and provide a minimum of five (5) credit references as required in *Section VI(C)(2)(b) of Part One*, of the *RFQ* for this Project.
- c. ***Public Project Record (5 points):*** Respondents **MUST COMPLETE** *Schedule K* and list all completed public building construction projects completed by your firm during the past five (5) years as required in *Section VI(C)(2)(c) of Part One*, of the *RFQ* for this Project.

3. **Financial Capacity to Complete Project** - (10 points available; minimum of 5 points are required for prequalification approval)

- a. ***Audited Financial Statement (5 points):*** Respondents **MUST ATTACH** to the *GC RFQ Form* an audited financial statement for the most recent fiscal year (may be submitted in a sealed envelope). (Note: whether submitted in a sealed envelope or not, such audited financial statements shall not be considered public records).
- b. ***Revenue Under Contract (5 points):*** Respondents **MUST COMPLETE** *Schedule L* and list revenue under contract for the next three (3) fiscal years. Such financial information shall not be considered public records.

4. **Mandatory Requirements:** (no points are assigned)

- a. ***Payment and Performance Bonds:*** Respondents **MUST ATTACH** to the *GC RFQ Form* a commitment letter (from a surety company licensed to do business in the State of Rhode Island and whose name appears on United States Treasury Department Circular 570) for payment and performance bonds in an amount equal to or greater than one hundred percent (100%) of the estimated construction cost of this Project.

- b. **Certification:** Respondents **MUST ATTACH** to the *GC RFQ Form* evidence of licensure and registration as a General Contractor within the State of Rhode Island.
- c. **Project Labor Agreement:** Respondents must provide a statement in their pre-qualification submission confirming their willingness to sign and administer a PLA agreement, should a PLA entered into by the University for the project as described in *Section VI(C)(4)(c) of Part One*, of the *RFQ* for this Project.

5. **Execution Requirements**

- **RFQ Response Checklist:** Before signing and submitting its SOQ application package for this Project, Respondents are advised to carefully review the *RFQ Response Checklist – GC RFQ Form*.
- **Acknowledgement of Addenda.** By signing below, the Respondent **acknowledges receipt of the following addenda (as applicable)** to this RFQ:
 - Addenda 1 _____
 - Addenda 2 _____
 - Addenda 3 _____
- **Incomplete or Inaccurate Information:** Failure to accurately and completely provide the information requested may result in Respondent disqualification.
- **Authorization to Sign:** This form **MUST** be signed by an officer, or by an individual duly authorized by an officer, of the Respondent firm who has personal knowledge regarding the truth and accuracy of the information contained herein.
- **Debarment Status:** By signing below, the Respondent certifies that it is not currently debarred from performing public work for the State of Rhode Island or the federal government. [_____]

Comment [m1]:

SIGNED UNDER THE PAINS AND PENALTIES OF PERJURY:

Signature: _____

Print Name:
Title:
Telephone:
Date:

Part Two: Forms / Schedules

Statement of Qualifications Application for General Contractors GC RFQ Form

Note: See Sections III and VII of Part One, the RFQ for this Project, for instructions on completing this Statement of Qualifications and accompanying Schedules A through K.

Project Name:	URI Center for Chemistry & Forensic Science
RFQ No:	
Owner:	RIBGHE / URI
General Contractor Name:	
General Contractor Mailing Address:	
General Contractor Street Address:	
Telephone Number:	
Facsimile Number:	
Contact Person/Title:	

[Insert GC Firm Name]

SCHEDULE A – BUSINESS OWNERS: Interested General Contractor **MUST** provide the following information and attach a copy of the resume for each and every business owner of the firm in accordance with Section VI(C)(1)(a) of *Part One*, the *RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE B – MANAGEMENT PERSONNEL: Interested General Contractors **MUST** provide the following information and attach a copy of the resume for each and every person who will have any direct or indirect management responsibility for the Project, including but not limited to, project executives, project managers, project superintendents, etc. in accordance with Section VI(C) (1) (b) of *Part One*, the *RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE C - SIMILAR PROJECT EXPERIENCE: Interested General Contractor **MUST** list all similar projects your firm has completed during the last eight (8) years. For the purpose of this *RFQ* “similar projects” shall be as defined in *Section VI(C) (1) (c) of Part One*, the *RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE C, Continued - INSTITUTIONAL or COMMERCIAL PROJECT EXPERIENCE: Interested General Contractor **MUST** list all institutional or commercial projects your firm has completed during the last five (5) years. For the purpose of this *RFQ* “institutional or commercial” shall be as defined in *Section VI(C) (1) (c) of Part One*, the *RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE D - BIM Documents: (5 points): Interested General Contractors **MUST COMPLETE** **Schedule D** and identify projects from Schedule C for Building Information Modeling (BIM) documents experience as set forth in *Section VI(C)(1)(d) of Part One*, the *RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE E - TERMINATIONS: Interested General Contractors are required to list each and every project on which it was terminated or failed to complete the project as set forth in *Section VI(C)(1)(f) of Part One*, the *RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE F - LEGAL PROCEEDINGS: Interested General Contractors are required to list each and every legal proceeding, administrative proceeding or arbitration currently pending and each and every legal proceeding, administrative proceeding and arbitration concluded adversely against it within the past five (5) years as set forth in *Section VI(C)(1)(g) of Part One, the RFQ* for this Project.

[illegible]

[Insert GC Firm Name]

SCHEDULE G – SAFETY RECORD: Interested General Contractors are required to provide the three (3) three year history of its workers' compensation experience modifier and attached documentation from its insurance carrier supporting the ratings reported herein as set forth in *Section VI(C)(1)(h) of Part One, the RFQ* for this Project.

YEAR	WORKERS' COMPENSATION EXPERIENCE MODIFIER	COMMENTS

[Insert GC Firm Name]

SCHEDULE H – MBE/WBE and WORKFORCE COMPLIANCE RECORDS: Interested General Contractors are required to list each and every project completed within the time frame set forth in *Section VI(C)(1)(i) of Part One*, the *RFQ* for this Project, that had contractual MBE/WBE participation goals or minority and women workforce goals. For the actual participation or workforce inclusion amounts listed, you must attach documentation from the owner supporting the amount reported. In addition, if the goals were not met, then you must explain why and indicate whether any sanctions or penalties were imposed.

[illegible]

[Insert GC Firm Name]

SCHEDULE I - PROJECT REFERENCES: Interested General Contractors are required to list references for prior work your firm has performed as set forth in *Section VI(C)(2)(a)* of *Part One*, the *RFQ* for this Project.

PROJECT TITLE	COMPANY NAME	CONTACT PERSON/ADDRESS	TELEPHONE#	FAX#
	OWNER:			
	DESIGNER:			
	OWNER:			
	DESIGNER:			
	OWNER:			
	DESIGNER:			
	OWNER:			
	DESIGNER:			

[Insert GC Firm Name]

SCHEDULE J - CREDIT REFERENCES: Interested General Contractors are required to list a minimum of five (5) credit references from banks, suppliers and/or vendors as set forth in *Section VI(C)(2)(b) of Part One*, the *RFQ* for this Project.

CHECK ONE	COMPANY NAME	CONTACT PERSON	TELE#	FAX#
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				
<input type="checkbox"/> BANK <input type="checkbox"/> SUPPLIER <input type="checkbox"/> VENDOR				

[Insert GC Firm Name]

SCHEDULE K - PUBLIC PROJECT RECORD: – Interested General Contractors are required to list all completed public buildings during the past five (5) years in accordance with Section VI(C)(2)(c) of *Part One*, the *RFQ* for this Project. (You may attach additional pages if necessary).

PROJECT INFORMATION	CONTACT INFORMATION Provide business and contact name, address, telephone and fax
PROJECT NAME: CONTRACT VALUE: SCOPE: START DATE: FINISH DATE:	RIBGHE: DESIGNER:
PROJECT NAME: CONTRACT VALUE: SCOPE: START DATE: FINISH DATE:	RIBGHE: DESIGNER:
PROJECT NAME: CONTRACT VALUE: SCOPE: START DATE: FINISH DATE:	RIBGHE: DESIGNER:

[Insert GC Firm Name]

SCHEDULE L – REVENUE UNDER CONTRACT: – Interested General Contractors are required to list revenue under contract for next three (3) fiscal years in accordance with Section VI(C)(3)(b) of *Part One*, the *RFQ* for this Project.

Firm's fiscal year runs _____ to _____.

YEAR	REVENUE UNDER CONTRACT (\$)

RFQ RESPONSE CHECKLIST - GC RFQ Form

NOTE: LATE APPLICATIONS FOR PREQUALIFICATION WILL NOT BE CONSIDERED. THEREFORE, BEFORE SUBMITTING A RESPONSE TO THIS RFQ, PLEASE REVIEW THE FOLLOWING:

- ☐ Did you complete the entire *SOQ Form (GC RFQ Form)* and acknowledge receipt of addenda to this RFQ?
- ☐ Did you fully complete *Schedules A through L*?
- ☐ Did you attach the resumes of owners and management personnel identified in your responses to *Schedule A* and *Schedule B*?
- ☐ Did you attach the required documentation from your insurance company supporting the workers' compensation modifier history you reported in *Schedule G*?
- ☐ Did you attach the required documentation supporting the MBE/WBE and Workforce Compliance record you reported in *Schedule H*?
- ☐ Do you have the current contact information for all of the references you reported in *Schedule I, Schedule J* and *Schedule K*?
- ☐ Did you attach an audited financial statement as required in *Section 3(a) of Part Two, GC RFQ Form*?
- ☐ Did you attach a commitment letter from surety for payment and performance bonds as required in *Section 4(a) of Part Two, GC RFQ Form*?
- ☐ Did you attach evidence of being licensed and registered as a general contractor within the State of Rhode Island *Section 4(b) of Part Two, GC RFQ Form*?
- ☐ Did you attach a letter confirming acceptance of the Project Labor Agreement requirements as described in *Section VI(C)(4)(c) of Part One, of the RFQ*?
- ☐ Did you include the original, all required copies and the CD of your entire *SOQ* application package?
- ☐ Did you address the *SOQ* envelope correctly (i.e. to reference the Project and other required information set forth herein)?
- ☐ Did you review all of the Execution Requirements before signing the *SOQ* application form?
- ☐ Is the person who signed the *SOQ* application form authorized to do so and did his or her correct and current contact information?