



State of Rhode Island
Department of Administration / Division of Purchases
One Capitol Hill, Providence, Rhode Island 02908-5855
Tel: (401) 574-8100 Fax: (401) 574-8387

July 24, 2019

ADDENDUM #1

RFP #: 7598885

**Title: Independent Validation & Verification for the Rhode Island
Unified Health Infrastructure Project (UHIP)**

Submission Deadline: August 21, 2019 at 10:00 AM Eastern Time (ET)

Notice to Vendors

Please find the pre-proposal conference sign-in sheet and the pre-proposal PowerPoint presentation slide deck attached.

David J. Francis
Interdepartmental Project Manager

Interested parties should monitor this website, on a regular basis, for any additional information that may be posted



"NON-MANDATORY" PRE-BID CONFERENCE SIGN IN SHEET

BID NUMBER: 7598885
BID TITLE: Independent Validation & Verification for the Rhode Island Unified Health Infrastructure Project (UHIP)
PRE-BID DATE AND TIME: July 23, 2019 at 2:00 PM

Purchasing Representative:
 David Francis
NON-Mandatory Pre-bid START TIME:
 2:00 PM
NON-Mandatory Pre-bid END TIME:
 2:47 pm

| COMPANY/NAME | COMPANY/REPRESENTATIVE | SIGNATURE | ADDRESS | CONTACT E-MAIL | CONTACT PHONE NUMBER |
|--------------------------|------------------------|---------------------|---------------------------------------|----------------------------|----------------------|
| 1 HCH Enterprises | Alka Nairhani | Alka Nairhani | 3016 Post Road Wanwidge, RI, 02886 | anairhani@hch.com | 401-527-3069 |
| 2 HCH Enterprises | Virakone Phiphaphan | Virakone Phiphaphan | 3016 Post Rd. Wanwidge, RI 02886 | vphiphaphan@hch.com | 401-359-7246 |
| 3 FD Gov. Michael Dasele | Michael J. Casella | Michael Casella | 225 Liberty St NY, NY | MichaelDasele@FDSTONYA.COM | 201-214-3923 |
| 4 OSG | Sean Koshen | Sean Koshen | 18 N. Sherman Ave Bloomfield, CT | skoshen@spalivans.com | (414) 617-7802 |
| 5 Victor Thomas | PSSP | Victor Thomas | | Victor.Thomas@PSSP.com | 401-527-3069 |
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| COMPANY NAME | COMPANY REPRESENTATIVE | SIGNATURE | ADDRESS | CONTACT E-MAIL | CONTACT PHONE NUMBER |
|----------------------|---------------------------|--------------------|---------|----------------|----------------------|
| 1 State of RI | Division of Public Health | <i>[Signature]</i> | | | |
| 2 STATE OF RI | DHS | <i>[Signature]</i> | | | |
| 3 State of RI | DHS | <i>[Signature]</i> | | | |
| 4 State of RI | DOIT | <i>[Signature]</i> | | | |
| 5 <i>[Signature]</i> | DOA/STSS | <i>[Signature]</i> | | | |
| 6 <i>[Signature]</i> | DOA | <i>[Signature]</i> | | | |
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| 2:00 PM |
| NON-Mandatory Pre-bid END TIME: |
| |

Vendor listing of dial-in participants. Listing was derived phonetically, please excuse any misspelling.

| | COMPANY NAME | COMPANY REPRESENTATIVE | SIGNATURE | ADDRESS | CONTACT E-MAIL | CONTACT PHONE NUMBER |
|----|----------------|------------------------|-----------|---------|----------------|----------------------|
| 1 | Janus Assoc | Jeannette Kearney | | | | |
| 2 | Trident Assoc | Tim Walker | | | | |
| 3 | | Erica Van Noble | | | | |
| 4 | PCG | Gwyn Jackson | | | | |
| 5 | | Bonnie Harris | | | | |
| 6 | NTT Data | Diane Evansen | | | | |
| 7 | Berry Dunn | Tim Massey | | | | |
| 8 | | Ryan Walden | | | | |
| 9 | Grant Thornton | Ivy Bella | | | | |
| 10 | Sparksoft | Mary Dirkland | | | | |
| 11 | First Data | Eric Leach | | | | |
| 12 | Comagin Health | Nick Faulkner | | | | |
| 13 | | Lori Barrett | | | | |
| 14 | | | | | | |



**Independent
Validation & Verification
for the
Rhode Island Unified Health
Infrastructure Project
(UHIP)**

RFP# 7598885

Bidders Conference

Date: July 23, 2019

Time: 2:00 PM ET

Agenda

IV&V RFP Timeline

UHIP Overview

General Scope of Work

Specific Activities/Tasks

Performance Security Assessments/Audits

Key Personnel Requirements

Key Personnel Qualifications

IV&V Proposal

IV&V Evaluation and Selection

Questions

IV&V RFP Timeline

| ACTIVITY | DATE |
|--|--|
| RFP# 7598885 Released | July 9, 2019 |
| Pre-Bid/Proposal Conference | July 23, 2019 2:00PM (ET) |
| Questions must be received by Division of Purchases | No later than: July 25, 2019 at 10:00 AM (ET) |
| Submission Deadline | August 21, 2019 at 10:00 AM (ET) |

UHIP Overview

Implemented Phase 2 in September, 2016

Multi-Agency Project (Executive Office of Health & Human Services, Department of Human Services, and Health Source Rhode Island)

Provides services for individuals and their families including, but not limited to: Medicaid, Supplemental Nutrition Assistance Program (SNAP), Rhode Island Works (RIW), Child Care Assistance (CCAP) and Health Insurance

System comprised of four portals: Worker Portal (State Staff); Customer Portal (Public); CCAP Portal (Child Care Providers); and EARR Portal (RIW Providers)

IV&V General Scope of Work

The IV&V vendor will provide technical assistance and oversight on the work being provided by the State's RIB Systems integration vendor (currently Deloitte) who continues to design, develop, and implement enhancements and production fixes to the HIX/IES

The IV&V vendor will support the State in assuring the developed solutions continue to be flexible enough to adapt to changing policies and business rules, inter-operate appropriately with external systems, take advantage of modern technologies, utilize best practices, and provide a first-class user experience.

The State seeks an IV&V Vendor that will utilize highly skilled project personnel who will leverage innovative processes, approaches, tools and capabilities to provide IV&V Services for UHIP as defined in this RFP.

IV&V Oversight

IV&V Service Provider will review the project and system processes in areas including, but not limited to, the following:

- Project Management Activities
 - Progress against schedule
 - Risk Management
 - Inclusion of State goals/objectives
 - Change Management
 - Adherence to service level agreements
- Modular Development Activities
 - Completeness and reasonability of E&E concept of operations, architecture and designs
 - Accuracy of capture of interfaces and data sharing
 - Traceability of requirements through design, development, and testing
 - Adequacy of system security and privacy policies, plans, technical designs, and implementations
- Perform Security Assessments/Audits
 - Provide continued independent and objective analysis of the system security of the HIX/IE system based on MARS-E V 2.0 security compliance documents and requirements

Specific Activities/Tasks

- The IV&V Vendor will perform, but not limited to the following:
 - Provide services to support the Medicaid Eligibility & Enrollment Life Cycle (MEELC)
 - Support the State in completion of a PPU as well as a baseline MEET E&E Checklist Set
 - Interview and observe RIB Systems Integration Vendor project management staff, and development contractor staff (including any subcontractors)
 - Provide the progress reports to CMS and any other required State or Federal Partner
 - Perform ongoing IV&V monitoring of the implementation activities via observing meetings, conducting interviews, reviewing reports and analyzing trends



Specific Activities/Tasks (Cont.)

- The IV&V Vendor will perform, but not limited to the following:
 - Develop fact-based observations with actionable recommendations and present to the State during Weekly Observation Review Meetings and a Monthly IV&V Assessment Report
 - IV&V Services described in this RFP must comply with IV&V Regulatory requirements detailed in 45 CFR 95.636
 - Develop a project work plan to cover all verification and validation activities.
 - Reviews and makes recommendation on both the management of the project, both State and Vendor, and the technical aspects of the project
 - Consult with all stakeholders to assess user involvement and buy-in



Specific Activities/Tasks (Cont.)

- The IV&V Vendor will perform, but not limited to the following:
 - Conduct an analysis of past project performance sufficient to identify and make recommendations for improvement
 - Provide risk management assessments, communicate IV&V related risks to the State and project contractors, and work with all parties to ensure these risks and issues have been reflected in the overall project schedule and priorities
 - Provide capacity planning services, including but not limited to: confirmation of appropriate levels of storage capacity, current state of growth and ability to meet expected projections
 - Evaluate recovery plans including backup, disaster recovery and day to day operations
 - Develop mutually agreeable performance metrics
 - Conduct and provide analysis of Project Governance and Release Management Processes
 - Manage IV&V Services
 - Validate automated code review results
 - Perform Annual System Audit
 - Conclude IV&V Services



Perform Security Assessments/Audits

Provide continued independent and objective analysis of the system security of the HIX/IE system based on MARS-E V 2.0 security compliance documents and requirements

Reviews policies, design documents and vendor plans evaluating the effectiveness and confirming they are being followed

Conducts interviews with vendor technical leads and State technical leads

Inspects the configuration to verify components and conducts bi-monthly and quarterly vulnerability scanning using industry proven tools

Pricing should be indicated for each security activity to allow a menu of security activities to be selected

Perform Security Assessments/Audits

Vendor will develop a mutually acceptable BI-Monthly IV&V Security Assessment Report

Vendor will develop a mutually acceptable Quarterly Security Vulnerability Assessment Report

Vendor will provide an evaluation of false positives and risk analysis, and participate in the prioritization of defect correction

Vendor will provide support for Network/Web Application Vulnerability Hot Fix / Regular Release Fix Validation

Conduct the CMS mandated MARS-E 2.0 Yearly Security Assessment

Vendor will conduct a HP Fortify source Code Scan and Analysis and document findings and observations

Key Personnel Requirements

- The State has determine the following IV&V Vendor personnel are “Key Personnel”:
 - Project Manager/Engagement Manager
 - Contract Manager
 - Quality Assurance Manager
 - Senior Systems/Security Analyst
 - Business Analyst



Key Personnel Qualifications

Extensive multi-project experience in the types of activities for which each individual is proposed with experience in the approach and methodologies used by the IV&V Vendor;

Seasoned, demonstrable, extensive experience in large-scale software delivery in a number of state government or commercial health insurer environments. Federally funded human services systems experience is preferred;

Demonstrable experience performing IV&V activities on large-scale application software projects in a number of state government or commercial health insurer environments. Federally funded human services systems experience is preferred;

Experience with Systems Development Lifecycle (“SDLC”) and the approach and methodologies used by the IV&V Vendor; and

Medicaid and relevant human service program eligibility experience, as well as experience with commercial health insurer environments.

Recent and relevant experience in static, dynamic, network and compliance vulnerability scanning as described in section 3.2. Experience in exploit penetration testing.

An understanding of MARS-E 2 or NIST 800-53 security and privacy controls and methods to audit and test.

IV&V Proposal

I - Technical Proposal

Section 1. Staff Qualifications

Section 2. Capability, Capacity, and Qualifications of the Offeror

Section 3. Work Plan

Section 4. Approach/Methodology

Section 5. Vendor Solution Presentation

II- Cost Proposal

III – ISBE Proposal

IV&V Evaluation & Selection

- Proposals shall be reviewed and scored based upon the following criteria:

| CRITERIA | POSSIBLE POINTS |
|--|-------------------|
| 1. Staff Qualifications | 5 Points |
| 2. Capability, Capacity, and Qualifications of the Offeror | 10 Points |
| 3. Work Plan | 25 Points |
| 4. Approach/Methodology | 20 Points |
| 5. Vendor Presentation | 10 Points |
| Total Possible Technical Points | 70 Points |
| Cost Proposal | 30 Points |
| Total Possible Evaluations Points | 100 Points |
| ISBE Participation | 6 Bonus Points |
| Total Possible Points | 106 Points |

IV&V Evaluation & Selection (Cont.)

Proposals shall be reviewed by a technical evaluation committee (“TEC”) comprised of staff from State Agencies. The TEC first shall consider the technical proposals.

Technical proposals must receive a minimum of sixty (60) out of a maximum of seventy(70) points to advance to the cost evaluation phase. Any technical proposals scoring less than sixty (60) points shall not have the accompanying cost or ISBE participation proposals open and evaluated. The proposal will be dropped from further consideration.

Technical proposals scoring sixty (60) points or higher will have the cost proposals evaluated and assigned up to a maximum of thirty(30) points in cost category bringing the total potential score to one hundred (100) points. After total possible evaluations points are determined, ISBE proposals shall be evaluated and assigned up to six(6) bonus points.

The Division of Purchases reserves the right to select the vendor(s) or firm(s) (“vendor”) that it deems to be most qualified to provide the goods and/or services as specified herein; and, conversely, reserves the right to cancel the solicitation in its entirety in its sole discretion.

Disclaimer

This presentation is a summary of the UHIP RFP in which the State attempted to highlight key components of this RFP.

Please refer to the Independent Validation & Verification for the Rhode Island Unified Health Infrastructure Project (UHIP) RFP for detailed instructions and requirements.

Questions

- The State will not answer any questions at this time but will record any questions from potential vendors and will issue an addendum with the questions and answers.