

### State of Rhode Island Department of Administration / Division of Purchases

One Capitol Hill, Providence, Rhode Island 02908-5855 Tel: (401) 574-8100 Fax: (401) 574-8387

July 24, 2019

### **ADDENDUM #1**

RFP #: 7598885

Title: Independent Validation & Verification for the Rhode Island Unified Health Infrastructure Project (UHIP)

Submission Deadline: August 21, 2019 at 10:00 AM Eastern Time (ET)

### **Notice to Vendors**

Please find the pre-proposal conference sign-in sheet and the preproposal PowerPoint presentation slide deck attached.

David J. Francis Interdepartmental Project Manager

Interested parties should monitor this website, on a regular basis, for any additional information that may be posted



# "NON-MANDATORY" PRE-BID CONFERENCE SIGN IN SHEET

BID NUMBER: 7598885
BID TITLE: Independent Validation & Verification for the Rhode Island Unified Health Infrastructure Project (UHIP)

PRE-BID DATE AND TIME: July 23, 2019 at 2:00 PM

Purchasing Representative:

David Francis

NON-Mandatory Pre-bid START-TIME:

200 PM

NON-Mandatory Pre-bid END TIME:

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BID TITLE: Independent Validation & Verification for the Rhode Island Unified Health Infrastructure Project (UHIP)	
PRE-BID DATE AND TIME: July 23, 2019 at 2:00 PM	
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Vendor listing of dial-in participants. Listing was derived phonetically, please excuse any misspelling.

	COMPANY NAME	COMPANY REPRESENTATIVE	SIGNATURE	ADDRESS	CONTACT E-MAIL	CONTACT PHONE NUMBER
1	Janus Assoc	Jeannette Kearney				
2	Trident Assoc	Tim Walker				
3		Erica Van Noble				
4	PCG	Gwyn Jackson				
5		Bonnie Harris				
6	NTT Data	Diane Evansen				
7	Berry Dunn	Tim Massey				
8		Ryan Walden				
9	Grant Thornton	lvy Bella				
10	Sparksoft	Mary Dirkland				
10	Sparkson	mary Dirkiand				
11	First Data	Eric Leach				
12	Comagin Health	Nick Faulkner				
13		Lori Barrett				
14						



Independent
Validation & Verification
for the
Rhode Island Unified Health
Infrastructure Project
(UHIP)

RFP# 7598885

**Bidders Conference** 

Date: July 23, 2019

**Time: 2:00 PM ET** 

# Agenda

IV&V RFP Timeline

**UHIP** Overview

General Scope of Work

Specific Activities/Tasks

Performance Security Assessments/Audits

Key Personnel Requirements

**Key Personnel Qualifications** 

IV&V Proposal

IV&V Evaluation and Selection

Questions

## IV&V RFP Timeline

ACTIVITY	DATE
RFP# 7598885 Released	July 9, 2019
Pre-Bid/Proposal Conference	July 23, 2019 2:00PM (ET)
Questions must be received by Division of Purchases	No later than: July 25, 2019 at 10:00 AM (ET)
Submission Deadline	August 21, 2019 at 10:00 AM (ET)

### UHIP Overview

Implemented Phase 2 in September, 2016

Multi-Agency Project (Executive Office of Health & Human Services, Department of Human Services, and Health Source Rhode Island)

Provides services for individuals and their families including, but not limited to: Medicaid, Supplemental Nutrition Assistance Program (SNAP), Rhode Island Works (RIW), Child Care Assistance (CCAP) and Health Insurance

System comprised of four portals: Worker Portal (State Staff); Customer Portal (Public); CCAP Portal (Child Care Providers); and EARR Portal (RIW Providers)

# IV&V General Scope of Work

The IV&V vendor will provide technical assistance and oversight on the work being provided by the State's RIB Systems integration vendor (currently Deloitte) who continues to design, develop, and implement enhancements and production fixes to the HIX/IES

The IV&V vendor will support the State in assuring the developed solutions continue to be flexible enough to adapt to changing policies and business rules, inter-operate appropriately with external systems, take advantage of modern technologies, utilize best practices, and provide a first-class user experience.

The State seeks an IV&V Vendor that will utilize highly skilled project personnel who will leverage innovative processes, approaches, tools and capabilities to provide IV&V Services for UHIP as defined in this RFP.

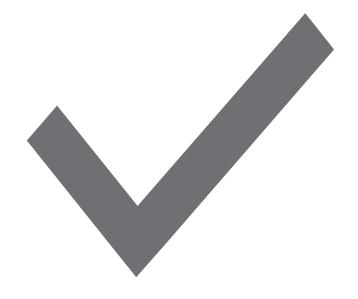
### IV&V Oversight

IV&V Service Provider will review the project and system processes in areas including, but not limited to, the following:

- Project Management Activities
  - Progress against schedule
  - · Risk Management
  - Inclusion of State goals/objectives
  - · Change Management
  - Adherence to service level agreements
- Modular Development Activities
  - · Completeness and reasonability of E&E concept of operations, architecture and designs
  - Accuracy of capture of interfaces and data sharing
  - · Traceability of requirements through design, development, and testing
  - · Adequacy of system security and privacy policies, plans, technical designs, and implementations
- Perform Security Assessments/Audits
  - Provide continued independent and objective analysis of the system security of the HIX/IE system based on MARS-E V 2.0 security compliance documents and requirements

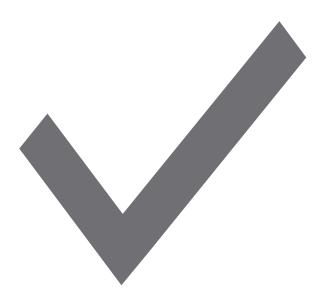
## Specific Activities/Tasks

- The IV&V Vendor will perform, but not limited to the following:
  - Provide services to support the Medicaid Eligibility
     & Enrollment Life Cycle (MEELC)
  - Support the State in completion of a PPU as well as a baseline MEET E&E Checklist Set
  - Interview and observe RIB Systems Integration Vendor project management staff, and development contractor staff (including any subcontractors)
  - Provide the progress reports to CMS and any other required State or Federal Partner
  - Perform ongoing IV&V monitoring of the implementation activities via observing meetings, conducting interviews, reviewing reports and analyzing trends



# Specific Activities/Tasks (Cont.)

- The IV&V Vendor will perform, but not limited to the following:
  - Develop fact-based observations with actionable recommendations and present to the State during Weekly Observation Review Meetings and a Monthly IV&V Assessment Report
  - IV&V Services described in this RFP must comply with IV&V Regulatory requirements detailed in 45 CFR 95.636
  - Develop a project work plan to cover all verification and validation activities.
  - Reviews and makes recommendation on both the management of the project, both State and Vendor, and the technical aspects of the project
  - Consult with all stakeholders to assess user involvement and buy-in



# Specific Activities/Tasks (Cont.)

- The IV&V Vendor will perform, but not limited to the following:
  - Conduct an analysis of past project performance sufficient to identify and make recommendations for improvement
  - Provide risk management assessments, communicate IV&V related risks to the State and project contractors, and work with all parties to ensure these risks and issues have been reflected in the overall project schedule and priorities
  - Provide capacity planning services, including but not limited to: confirmation of appropriate levels of storage capacity, current state of growth and ability to meet expected projections
  - Evaluate recovery plans including backup, disaster recovery and day to day operations
  - Develop mutually agreeable performance metrics
  - Conduct and provide analysis of Project Governance and Release Management Processes
  - Manage IV&V Services
  - Validate automated code review results
  - Perform Annual System Audit
  - Conclude IV&V Services



### Perform Security Assessments/Audits

Provide continued independent and objective analysis of the system security of the HIX/IE system based on MARS-E V 2.0 security compliance documents and requirements

Reviews policies, design documents and vendor plans evaluating the effectiveness and confirming they are being followed

Conducts interviews with vendor technical leads and State technical leads

Inspects the configuration to verify components and conducts bi-monthly and quarterly vulnerability scanning using industry proven tools

Pricing should be indicated for each security activity to allow a menu of security activities to be selected

### Perform Security Assessments/Audits

Vendor will develop a mutually acceptable BI-Monthly IV&V Security Assessment Report

Vendor will develop a mutually acceptable Quarterly Security Vulnerability Assessment Report

Vendor will provide an evaluation of false positives and risk analysis, and participate in the prioritization of defect correction

Vendor will provide support for Network/Web Application Vulnerability Hot Fix / Regular Release Fix Validation

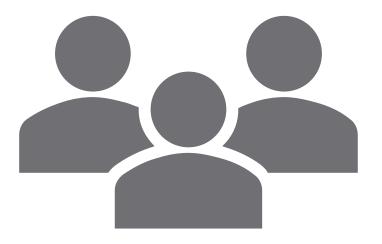
Conduct the CMS mandated MARS-E 2.0 Yearly Security Assessment

Vendor will conduct a HP Fortify source Code Scan and Analysis and document findings and observations

### Key Personnel Requirements

• The State has determine the following IV&V Vendor personnel are "Key Personnel":

- Project Manager/Engagement Manager
- Contract Manager
- Quality Assurance Manager
- Senior Systems/Security Analyst
- Business Analyst



### Key Personnel Qualifications

Extensive multi-project experience in the types of activities for which each individual is proposed with experience in the approach and methodologies used by the IV&V Vendor;

Seasoned, demonstrable, extensive experience in large-scale software delivery in a number of state government or commercial health insurer environments. Federally funded human services systems experience is preferred;

Demonstrable experience performing IV&V activities on large-scale application software projects in a number of state government or commercial health insurer environments. Federally funded human services systems experience is preferred;

Experience with Systems Development Lifecycle ("SDLC") and the approach and methodologies used by the IV&V Vendor; and

Medicaid and relevant human service program eligibility experience, as well as experience with commercial health insurer environments.

Recent and relevant experience in static, dynamic, network and compliance vulnerability scanning as described in section 3.2. Experience in exploit penetration testing.

An understanding of MARS-E 2 or NIST 800-53 security and privacy controls and methods to audit and test.

### IV&V Proposal

Technical Proposal
· Technical Proposa

Section 1. Staff Qualifications

Section 2. Capability, Capacity, and Qualifications of the Offeror

Section 3. Work Plan

Section 4. Approach/Methodology

Section 5. Vendor Solution Presentation

II- Cost Proposal

III – ISBE Proposal

### IV&V Evaluation & Selection

• Proposals shall be reviewed and scored based upon the following criteria:

CRITERIA	POSSIBLE POINTS			
1. Staff Qualifications	5 Points			
2. Capability, Capacity, and Qualifications of the Offeror	10 Points			
3. Work Plan	25 Points			
4. Approach/Methodology	20 Points			
5. Vendor Presentation	10 Points			
Total Possible Technical Points	70 Points			
Cost Proposal	30 Points			
Total Possible Evaluations Points	100 Points			
ISBE Participation	6 Bonus Points			
Total Possible Points	106 Points			

### IV&V Evaluation & Selection (Cont.)

Proposals shall be reviewed by a technical evaluation committee ("TEC") comprised of staff from State Agencies. The TEC first shall consider the technical proposals.

Technical proposals must receive a minimum of sixty (60) out of a maximum of seventy(70) points to advance to the cost evaluation phase. Any technical proposals scoring less than sixty (60) points shall not have the accompanying cost or ISBE participation proposals open and evaluated. The proposal will be dropped from further consideration.

Technical proposals scoring sixty (60) points or higher will have the cost proposals evaluated and assigned up to a maximum of thirty(30) points in cost category bringing the total potential score to one hundred (100) points. After total possible evaluations points are determined, ISBE proposals shall be evaluated and assigned up to six(6) bonus points.

The Division of Purchases reserves the right to select the vendor(s) or firm(s) ("vendor") that it deems to be most qualified to provide the goods and/or services as specified herein; and, conversely, reserves the right to cancel the solicitation in its entirety in its sole discretion.

### Disclaimer

This presentation is a summary of the UHIP RFP in which the State attempted to highlight key components of this RFP.

Please refer to the Independent Validation & Verification for the Rhode Island Unified Health Infrastructure Project (UHIP) RFP for detailed instructions and requirements.

## Questions

• The State will not answer any questions at this time but will record any questions from potential vendors and will issue an addendum with the questions and answers.