



Solicitation Information
April 9, 2019

RFI# 7598798

TITLE: Civil Monetary Penalties

SUBMISSION DEADLINE: June 6, 2019 at 10:00 AM Eastern Time (ET)

Questions concerning this solicitation must be received by the Division of Purchases at david.francis@purchasing.ri.gov no later than **May 20, 2019 at 10:00 AM ET**. Questions should be submitted in a *Microsoft Word attachment*. Please reference the **RFI#** on all correspondence. Questions received, if any, will be posted on the Division of Purchases' website as an addendum to this solicitation. It is the responsibility of all interested parties to download this information.

David J. Francis
Interdepartmental Project Manager

Applicants must register on-line at the State Purchasing Website at www.purchasing.ri.gov

Note to Applicants:

Responses received without a completed RIVIP Bidder Certification Cover Form attached may result in disqualification.

THIS PAGE IS NOT A BIDDER CERTIFICATION COVER FORM

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SECTION 1. INTRODUCTION

A Civil Monetary Penalty (CMP) is a monetary penalty the Centers for Medicare & Medicaid Services (CMS) may impose against nursing homes for either the number of days or for each instance a nursing home is not in substantial compliance with one or more Medicare and Medicaid participation requirements for long-term care facilities.

A portion of CMPs collected from nursing homes are returned to the states in which CMPs are imposed. State CMP funds may be reinvested to support activities that benefit nursing home residents and that protect or improve their quality of care or quality of life.

CMP funds may be used for (but not limited to) the following:

- Projects that support resident and family councils and other consumer involvement in assuring quality care in facilities
- Facility improvement initiatives, such as joint training of facility staff and surveyors, or technical assistance for facilities implementing quality assurance and performance improvement programs.

CMP funds may not be used for uses prohibited by law, regulation, or CMS policy. These include but are not limited to:

- Projects disapproved by CMS
- Survey and certification operations or State expenses
- Capital expenses of a facility
- Nursing home services or supplies that are the responsibility of nursing homes, such as laundry, linen, food, heat, staffing costs, etc.
- Funding projects, items or services that are not directly related to improving the quality of life and care of nursing home residents
- Projects for which a conflict of interest or the appearance of a conflict of interest exists
- Long term projects (greater than 3 years)
- Temporary manager salaries
- Supplementary funding of federally required services (e.g., Quality Improvement Organization-Quality Improvement Network Initiatives)

The Rhode Island Department of Administration/Division of Purchases, on behalf of the [The Executive Office of Health and Human Services], is soliciting informational responses from qualified firms to address mental health/Substance Use Disorders and dementia for nursing home residents, in accordance with the terms of this Request for Information and the State's General Conditions of Purchase, which may be obtained at the Rhode Island Division of Purchases' website at www.purchasing.ri.gov.

Instructions and Notifications to Offerors:

1. Potential vendors are advised to review all sections of this RFI carefully and to follow instructions completely, as failure to make a complete submission as described elsewhere herein may result in rejection of the response.
2. The State invites comments, suggestions and recommendations from potential vendors and other interested parties on any questions or issues raised in this RFI. Please note it is not a requirement to answer all questions.
3. Alternative approaches and/or methodologies to accomplish the desired or intended results of this RFI are solicited.
4. This is a Request for Information (“RFI”), and as such no award will be made as a result of this solicitation.
5. All costs associated with attending the pre-solicitation conference and/or developing or submitting responses to this RFI or providing oral or written clarification of the content of a response shall be borne by vendors. The State assumes no responsibility for any costs.
6. Responses misdirected to other locations, or which are otherwise not present in the Division of Purchases at the above stated date/time of opening for any cause will be determined to be late and shall not be considered. For the purposes of this requirement, the official time and date shall be that of the time clock in the reception area of the Division of Purchases.
7. Vendors are advised that all materials submitted to the State for consideration in response to this RFI shall not be considered to be public records as defined in Title 38, Chapter 2 of the General Laws of Rhode Island unless and until there is a contract award through a subsequent, related procurement.
8. Interested parties are instructed to monitor the Division of Purchases website on a regular basis, as additional information relating to this solicitation may be released as addenda.

SECTION 2. REQUEST FOR INFORMATION

This RFI outlines the type of information being solicited and response structure requested from potential respondents.

A. Background

In May 2018, Governor Gina M. Raimondo announced a multi-phased effort to transform how Rhode Island finances and delivers long-term care and supports to older Rhode Islanders and those with disabilities. The Governor directed the Rhode Island Executive Office of Health & Human Services and its partners to talk to Rhode Islanders about their experiences – and use these insights to shape

investments and improvements in the system. This effort was also part of the Governor's broader initiative as set forth by Executive Order #18-03: Reaffirming and Expanding Rhode Island's Commitment to Persons with Mental Illness and Substance Use Disorders – Addressing Parity and Access to Timely and Needed Care. State agencies were charged with working together to develop an action plan for submission to the Governor that is guiding efforts and investments to improve behavioral healthcare in Rhode Island.

This RFI, with funding from CMP, is responsive to the Governor's charge and to feedback received from older Rhode Islanders, healthcare providers, and those with disabilities. A wide range of organizations and individuals are welcome to respond to this RFI including but not limited to nursing home providers, community-based organizations and mental health providers.

The state is issuing this RFI to seek input to:

- Learn about nursing home residents with mental health diagnoses and how they receive the care that meets their needs.
- Improve the care that is delivered to nursing home residents with substance use disorders.
- Enhance the care that is delivered to nursing home residents with dementia.

The state recognizes the importance of provider and community input and welcomes responses to the questions below. Respondents may choose to reply to all or a subset of questions based on intent and relevance. Please feel free to also add additional input.

1. Describe your organization/agency and your current knowledge and expertise in nursing home residents and BH/SUD/dementia care.

If you are a health care provider, please include:

- A. *Number of beds or capacity*
- B. *Patient mix-% Medicaid vs private pay*
- C. *% of patients with*
 - a. *BH*
 - b. *SUD*
 - c. *I/DD*
 - d. *Dual Diagnosis*

2. What are the unmet needs you see in your organization around BH/SUD/dementia care for nursing home residents? What are the barriers you see to addressing these unmet needs? Please be specific and use data and/or trends whenever possible.
3. What are the opportunities and/or challenges facing this population when addressing unmet need?
4. What specific actions could be taken to remove or mitigate the identified barriers and challenges for this population? How would the proposed solutions help to improve the care of nursing facility residents? Please be specific. If there are national or other state Medicaid models that could be used as a model, please reference these whenever possible.
5. What are current trends you are seeing in nursing home residents in the areas of BH/SUD/dementia? What, in your opinion, is the underlying cause of these trends. How would you propose to respond to these trends, keeping in mind the limitations on CMP funds?

B. RFI Response

The following outline is intended to standardize and structure responses for ease of analysis. *Do NOT include a cost proposal with the RFI response as cost shall not be considered with this RFI.*

- Response to the requirements outlined in Section 2.A.

SECTION 3. QUESTIONS

Questions concerning this solicitation must be e-mailed to the Division of Purchases at david.francis@purchasing.ri.gov no later than the date and time indicated on page one of this solicitation. No other contact with State parties is permitted. Please reference **RFI# 7598798** on all correspondence. Questions should be submitted in writing in a Microsoft Word attachment in a narrative format with no tables. Answers to questions received, if any, shall be posted on the Division of Purchases' website as an addendum to this solicitation. It is the responsibility of all interested parties to monitor the Division of Purchases website for any procurement related postings such as addenda. If technical assistance is required, call the Help Desk at (401) 574-8100.

SECTION 4. RESPONSE CONTENTS

A. Responses shall include the following:

1. One completed and signed RIVIP Bidder Certification Cover Form (included in the original copy only) downloaded from the Division of Purchases website at www.purchasing.ri.gov. *Do not include any copies in the response.*
2. Response - describing the requirements and concept for this potential project, and all information described earlier in this solicitation. The response is limited to six (6) pages.
 - a. One (1) Electronic copy on a CD-R, marked "Response - Original".
 - b. One (1) printed paper copy, marked "Response -Original" and signed.
 - c. Four (4) printed paper copies

B. Formatting of proposal response contents shall be as follows:

1. Formatting of CD-Rs – Separate CD-Rs are required for the technical proposal and cost proposal. All CD-Rs submitted must be labeled with:
 - a. Vendor's name
 - b. RFI #
 - c. RFI Title
 - d. If file sizes require more than one CD-R, multiple CD-Rs are acceptable. Each CD-R must include the above labeling and additional labeling of how many CD-Rs should be accounted for (e.g., 3 CD-Rs are submitted for a technical proposal and each CD-R should have additional label of '1 of 3' on first CD-R, '2 of 3' on second CD-R, '3 of 3' on third CD-R).

Vendors are responsible for testing their CD-Rs before submission as the Division of Purchase's inability to open or read a CD-R may be grounds for rejection of a Vendor's proposal. All files must be readable and readily accessible on the CD-Rs submitted with no instructions to download files from any external resource(s). If a file is partial, corrupt or unreadable, the Division of Purchases may consider it "non-responsive". USB drives or other forms of electronic media shall not be accepted. Please note that vendor CD-Rs shall not be returned.

2. Formatting of written documents and printed copies:
 - a. For clarity, the response shall be typed. These documents shall be single-spaced with 1" margins on white 8.5"x 11" paper using a font of 12-point Calibri or 12 point Times New Roman.
 - b. All pages on the response are to be sequentially numbered in the footer, starting with number 1 on the first page of the narrative (this does not include the cover page or table of contents) through to the end, including all forms and attachments. The Vendor's name should appear on every page, including attachments. Each attachment should be referenced appropriately within the response section and the attachment title should reference the response section it is applicable to.
 - c. Printed copies are to be only bound with removable binder clips.

SECTION 5. RESPONSE SUBMISSION

Interested vendors must submit responses to provide information covered by this RFI on or before the date and time listed on the cover page of this solicitation. Responses received after this date and time, as registered by the official time clock in the reception area of the Division of Purchases, shall not be accepted.

Responses should be mailed or hand-delivered in a sealed envelope marked “**RFI# 7598798 Civil Monetary Penalties**” to:

RI Dept. of Administration
Division of Purchases, 2nd floor
One Capitol Hill
Providence, RI 02908-5855

SECTION 6. DISCLAIMER

This Request for Information is solely for information and planning purposes and does not constitute a request for proposal or an invitation to bid. All information received in response to the RFI and marked as “Proprietary” shall be deemed to be confidential but may still be subject to disclosure pursuant to the Rhode Island “Access to Public Records Act, R. I. Gen. Laws § 38-2-1, *et seq.*” Responses to the RFI will not be returned.

END