

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

705 Elmwood Avenue
Providence, RI 02907

GENERAL GUIDELINES FOR COMPLETING INVITATION FOR BID PACKAGE

This document is intended as a guideline to assist prospective Bidders in successfully completing the necessary Bid paperwork. You are strongly encouraged to read the Instructions for Bidders Sections very carefully. This document is NOT intended to replace the more-detailed instructions that are included in the attached Bid Package.

- It is **EXTREMELY IMPORTANT** that all required forms be filled out completely. Federal and State Regulations mandate that these forms be filled out properly. Failure to fill out these forms may result in your Bid being ruled non-responsive. Non Responsive Bids **will not** be awarded the contract.
- **REMEMBER to completely fill out** all REQUIRED FORMS (see REQUIRED FORMS Checklist). The **forms that are checked off are the only ones that apply to this Bid.**
- If a form does not apply to your business or bid, please mark the form Not Applicable or some other similar wording at your discretion.
- DBE (Disadvantaged Business Enterprise) Obligation. RIPTA agrees to ensure that DBES as outlined in 49 CFR Part 26, as amended, have the maximum opportunity to participate in the performance of contracts. Therefore it is imperative that you read the DBE Section and complete the necessary Paperwork. All DBEs submitted must be certified by the State of Rhode Island at the time of Bid Submittal.
- Make Sure the Bid Response is received by the RIPTA Purchasing Department by the designated date and time. Late Bids will not be accepted
- It shall be the responsibility of prospective bidders to check the State of Rhode Island, Department of Administration Division of Purchases Website for any addenda.
- Make Sure that the Bid is returned in an Envelope or Box **CLEARLY LABELED** with the following Information: **Bid Number and what the Bid is for. This information should be in the lower left hand corner.** The envelope should also be labeled **BID DOCUMENTS ENCLOSED**
- When in doubt, contact RIPTA Contracts Manager (401) 784-9500 extension 214 for assistance.
- **Bid must be submitted pre-punched for standard three ring binders. Spiral bound bid submittals will not be allowed.**

Please refer to Page 91 for Technical Specifications

The following label shall be affixed to the envelope or package containing the bid response documents. It is imperative that his label be affixed to insure the bid documents are received and routed in the proper manner:

Return Address

BID DOCUMENTS ENCLOSED

CONTRACTS MANAGER
Rhode Island Public Transit Authority
Purchasing Department, Room 217
705 Elmwood Avenue
Providence, RI 02907

BID NUMBER: 11-25

BID FOR: Kennedy Plaza Pavillion

DUE: August 4, 2011

RHODE ISLAND PUBLIC TRANSIT AUTHORITY
RHODE ISLAND PUBLIC TRANSIT AUTHORITY
705 Elmwood Avenue
Providence, RI 02907

REQUIRED COMPANY INFORMATION FORM

The following information is mandatory;
Failure to complete this section may jeopardize your eligibility to be awarded the contract

THIS INFORMATION IS REQUIRED IN ACCORDANCE WITH 49CFR 26.11

PLEASE PRINT OR TYPE YOUR INFORMATION

COMPANY NAME _____

COMPANY STREET: ADDRESS: _____

COMPANY MAILING: ADDRESS: _____

COMPANY CONTACT PERSON: _____

COMPANY TELEPHONE NUMBER: _____

COMPANY TELEFAX NUMBER: _____

COMPANY CONTACT EMAIL: _____

AGE OF THE FIRM (YEARS): _____

ANNUAL GROSS RECEIPTS (DOLLARS): _____

**IS YOUR FIRM CERTIFIED BY THE STATE
OF RHODE ISLAND AS A DISADVANTAGED
BUSINESS ENTERPRISE?**

DUNN AND BRADSTREET NUMBER: _____

RHODE ISLAND PUBLIC TRANSIT AUTHORITY
Invitation for Bid Number 11-25

Invitation for Bid

BID NO: 11-25

DATE OF INVITATION: June 24, 2011

PRE-BID MEETING: July 12, 2011

BID RECEIPT DATE: August 4, 2011

FURNISHING OF: Kennedy Plaza Pavillion

FEDERAL TRANSIT ADMINISTRATION PROJECT NO. RI96x001

The participant shall specify the official name of his/her company in the upper left-hand corner of the Bid Response Envelope and show **Bid No. and Bid Description in the lower left-hand corner and send or deliver to:**

**Purchasing Department
Room 217
705 Elmwood Avenue
Providence, RI 02907**

The participant shall execute the offer form enclosed herewith.

Bids will be reviewed and evaluated; all participants will be notified as soon as approval of award is made.

The Bidder shall execute the offer form enclosed herewith. The Bidder shall return **Two copy(ies)** with the **original** Bid. **The original copy of the bid submittal must be clearly labeled "Original" and the Copies must be clearly labeled "Copy"**

RIPTA RESERVES THE RIGHT TO REJECT BIDS FROM PARTICIPANTS WHO HAVE NOT USED THE FORM AND PROPER BID RESPONSE ENVELOPE FORMAT.

RIPTA RESERVES THE RIGHT TO CANCEL ANY PARTICULAR SOLICITATION, AND/OR REJECT ANY OR ALL BIDS.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

TABLE OF CONTENTS

I.	CALENDAR	1
A.	<u>Date of Invitation:</u>	<u>1</u>
B.	<u>Pre-Bid Conference:</u>	<u>1</u>
C.	<u>Request for Approved equals and Questions</u>	<u>1</u>
D.	<u>Bid opening:</u>	<u>1</u>
II.	NOTICE TO OFFERORS	2
A.	<u>Date:</u>	<u>2</u>
III.	CONTACT LIST	3
A.	<u>Specifications/Scope of Work</u>	<u>3</u>
B.	<u>Contracts Manager</u>	<u>3</u>
C.	<u>DBE Liaison Officer</u>	<u>3</u>
IV.	INSTRUCTIONS FOR BIDDERS	4
A.	<u>Definition of terms</u>	<u>4</u>
	1. Procuring agency	4
	2. RIPTA	4
	3. Contractor	4
	4. Invitation for Bid (IFB)	4
	5. Authorized Signature.	4
	6. Invitation for Bid.	4
	7. Notice of Award	4
	8. Specifications	4
	9. Tender	4
B.	<u>Form of Bid and Signature</u>	<u>5</u>
	1. Sole Owner.	5
	2. General Partnership.	5
	3. Limited Partnership	5
	4. Corporation.	5
C.	<u>Bid</u>	<u>5</u>
D.	<u>Unauthorized Conditions</u>	<u>5</u>
E.	<u>Submission of Bid</u>	<u>5</u>
F.	<u>Modification or Withdrawal of Bid.</u>	<u>6</u>
G.	<u>Samples</u>	<u>6</u>
H.	<u>Canvass of Bids.</u>	<u>6</u>
I.	<u>Rejection of Bids.</u>	<u>6</u>
J.	<u>Sales Tax Exemption</u>	<u>6</u>
K.	<u>Delivery Charges.</u>	<u>6</u>
L.	<u>Alternative Bid</u>	<u>7</u>
M.	<u>Non-Collusive Affidavit</u>	<u>7</u>
N.	<u>Interest of RIPTA Personnel</u>	<u>7</u>
O.	<u>Penalty for Collusion</u>	<u>7</u>
P.	<u>Bid Acceptance Period</u>	<u>7</u>
Q.	<u>Postponement.</u>	<u>7</u>
R.	<u>Amendment and/or Postponement.</u>	<u>7</u>
S.	<u>Single Bid.</u>	<u>8</u>
T.	<u>Qualifications for Award</u>	<u>8</u>

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

TABLE OF CONTENTS

<u>U.</u>	<u>Ineligible Bidders.</u>	<u>8</u>
<u>V.</u>	<u>Disadvantaged Business Enterprise</u>	<u>9</u>
<u>W.</u>	<u>Addenda.</u>	<u>9</u>
<u>X.</u>	<u>Bidder's Requests and Appeals.</u>	<u>9</u>
	1. Appointments.	9
	2. Amending Materials.	9
	3. Appeal.	9
	4. Withdrawal.	9
	5. Notification.	10
<u>Y.</u>	<u>Equal Employment Opportunity.</u>	<u>10</u>
<u>Z.</u>	<u>Prohibited Interest</u>	<u>10</u>
<u>AA.</u>	<u>Interest of Members of Congress</u>	<u>10</u>
<u>BB.</u>	<u>Contract Commencement Date</u>	<u>10</u>
<u>CC.</u>	<u>Notice, Waiver and Applicable Law</u>	<u>10</u>
<u>DD.</u>	<u>Protest.</u>	<u>11</u>
	1. General.	11
<u>EE.</u>	<u>Protests Before Award</u>	<u>11</u>
	1. Solicitation Phase.	11
	2. Pre-Award Phase.	12
<u>FF.</u>	<u>Protests After Award.</u>	<u>12</u>
<u>GG.</u>	<u>Source Selection and Contract Award</u>	<u>12</u>
<u>HH.</u>	<u>Title VI Assurances</u>	<u>13</u>
<u>II.</u>	<u>Energy Conservation Requirements:</u>	<u>13</u>
<u>JJ.</u>	<u>Program Fraud</u>	<u>13</u>
<u>KK.</u>	<u>No Government Obligation to Third Parties:</u>	<u>14</u>
V.	GENERAL PROVISIONS	14
<u>A.</u>	<u>Definitions:</u>	<u>14</u>
	1. Authority	14
	2. Contracting Manager (Contracts Manager)	14
	3. Directed, Ordered, designated or prescribed	14
<u>B.</u>	<u>Changes:</u>	<u>14</u>
<u>C.</u>	<u>Extras:</u>	<u>15</u>
<u>D.</u>	<u>Inspection:</u>	<u>15</u>
<u>E.</u>	<u>Responsible:</u>	<u>16</u>
<u>F.</u>	<u>Title and Risk of Loss</u>	<u>16</u>
<u>G.</u>	<u>Payments</u>	<u>16</u>
<u>H.</u>	<u>Stop Work Order</u>	<u>16</u>
<u>I.</u>	<u>Disputes</u>	<u>16</u>
<u>J.</u>	<u>Default</u>	<u>17</u>
<u>K.</u>	<u>Termination for Convenience of the Authority</u>	<u>18</u>
<u>L.</u>	<u>Federal, State and Local Taxes</u>	<u>19</u>
<u>M.</u>	<u>Walsh-Healey Public Contracts Act</u>	<u>19</u>
<u>N.</u>	<u>Officials Not to Benefit</u>	<u>19</u>
<u>O.</u>	<u>Covenant against Contingent Fees</u>	<u>19</u>
<u>P.</u>	<u>Notice to the Authority of Labor Disputes</u>	<u>19</u>
<u>Q.</u>	<u>Patent Indemnity</u>	<u>20</u>

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

TABLE OF CONTENTS

<u>R.</u>	<u>Use of Trade Names</u>	<u>20</u>
<u>S.</u>	<u>Rights in Technical Data</u>	<u>20</u>
<u>T.</u>	<u>Audit and Inspection of Records</u>	<u>22</u>
<u>U.</u>	<u>Gratuities</u>	<u>22</u>
<u>V.</u>	<u>Limitation on Withholding Payments</u>	<u>22</u>
<u>W.</u>	<u>New Material</u>	<u>23</u>
<u>X.</u>	<u>Order of Precedence</u>	<u>23</u>
<u>Y.</u>	<u>Correction of Deficiencies</u>	<u>23</u>
	1. Definitions:	23
	2. General:	23
	3. Deficiencies in accepted supplies or services:	24
	4. Correction of Deficiencies by Contractor:	24
	5. Deficiencies in supplies or services not yet accepted:	24
	6. Extensions or Delays	24
	7. Contract Price	25
	8. Failure to correct:	25
<u>Z.</u>	<u>Assignment</u>	<u>25</u>
<u>AA.</u>	<u>Certificates of Current Cost or Pricing Data</u>	<u>26</u>
<u>BB.</u>	<u>Cargo Preference-</u>	<u>26</u>
<u>CC.</u>	<u>Buy America Act</u>	<u>27</u>
<u>DD.</u>	<u>Equal Opportunity</u>	<u>27</u>
<u>EE.</u>	<u>Nondiscrimination under Federal Grants</u>	<u>28</u>
<u>FF.</u>	<u>Rights in Data and Copyrights-FTA (June 1996)</u>	<u>28</u>
<u>GG.</u>	<u>Davis-Bacon Act</u>	<u>29</u>
	1. Minimum wages	29
	2. Withholding	32
	3. Payrolls and basic records	33
	4. Apprentices and trainees	35
	5. Compliance with Copeland Act requirements	37
	6. Subcontracts	37
	7. Contract termination: debarment	37
	8. Compliance with Davis-Bacon	37
	9. Disputes concerning labor standards	37
	10. Certification of eligibility	37
<u>HH.</u>	<u>Contract Work Hours and Safety Standards Act.</u>	<u>38</u>
	1. Overtime requirements	38
	2. Violation; liability unpaid wages; liquidated damages	38
	3. Withholding for unpaid wages; liquidated damages	38
	4. Subcontracts	38
	5. Payrolls and basic records	39
	6. Contract Work Hours and Safety Standards Act	39
	7. Subcontracts	39
<u>II.</u>	<u>Seismic Safety Requirements</u>	<u>40</u>
<u>JJ.</u>	<u>Energy Conservation Requirements</u>	<u>40</u>
<u>KK.</u>	<u>Clean Air</u>	<u>40</u>
<u>LL.</u>	<u>Clean Water:</u>	<u>40</u>

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

TABLE OF CONTENTS

<u>MM.</u>	<u>Recovered Materials</u>	<u>41</u>
<u>NN.</u>	<u>Fly America Requirements:</u>	<u>41</u>
<u>OO.</u>	<u>National Intelligent Transportation Systems Architecture</u>	<u>41</u>
<u>PP.</u>	<u>Federal Changes:</u>	<u>41</u>
<u>QQ.</u>	<u>Incorporation of Federal Transit Administration (FTA) Terms</u>	<u>41</u>
<u>RR.</u>	<u>Force Majeure</u>	<u>42</u>
<u>SS.</u>	<u>Governing Law</u>	<u>42</u>
<u>TT.</u>	<u>Indemnification</u>	<u>42</u>
<u>UU.</u>	<u>Policy Concerning Federal and Stated False Claim Laws</u>	<u>42</u>
	1. Prohibitions Against False Claims	43
	2. Prohibitions of the Federal False Claims Act	43
	3. Enforcement	43
<u>VV.</u>	<u>American with Disabilities Act</u>	<u>43</u>
VI.	REQUEST FOR APPROVED EQUAL FORM	44
VII.	REQUIRED BID SUBMISSIONS	45
VIII.	SOLICITATION FORM	46
IX.	OFFER FORM	47
X.	STATEMENT OF ELIGIBILITY FORM	48
XI.	AFFIDAVIT OF NON-COLLUSION FORM	49
XII.	CERTIFICATION OF RESTRICTIONS ON LOBBYING FORM	50
XIII.	BUY AMERICA CERTIFICATION REQUIREMENT I	51
XIV.	BUY AMERICA CERTIFICATION REQUIREMENT II	52
XV.	BUY AMERICA PRE-AWARD AND POST-DELIVERY AUDITS:	53
	<u>A. Prior to Contract award,</u>	<u>53</u>
	<u>B. After delivery and acceptance of the vehicles,</u>	<u>53</u>
	<u>C. Authority Review</u>	<u>53</u>
XVI.	DISADVANTAGED BUSINESS ENTERPRISES PROGRAM	54
	<u>A. Policy</u>	<u>54</u>
	<u>B. DBE Utilization</u>	<u>54</u>
	1. DBE Utilization	54
	<u>C. Definitions:</u>	<u>55</u>
	1. Joint Venture	55
	2. Disadvantaged Business	55
	3. Small Business Concern	55
	4. Socially and Economically Disadvantaged Individuals	55
	5. Recognition of DBE Commitment	56
	6. Submissions	56
	7. Procedure Prior to Contract Award	57
	8. Bid, Execution and Compliance with Subcontracts	58
	9. Substitution of Subcontractors	58
	10. Program Compliance	59
	11. Maintenance of Records	59
	12. Contract Assurance	59
	13. Prompt Payment	59
	14. Monitoring Payments to DBEs	59
XVII.	DISADVANTAGED BUSINESS ENTERPRISE REQUIRED FORMS	60

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

TABLE OF CONTENTS

<u>A.</u>	<u>Attachment A</u>	<u>61</u>
<u>B.</u>	<u>Attachment B</u>	<u>62</u>
<u>C.</u>	<u>Attachment C</u>	<u>63</u>
<u>D.</u>	<u>Attachment D.</u>	<u>64</u>
<u>E.</u>	<u>Attachment E</u>	<u>65</u>
<u>F.</u>	<u>Attachment F</u>	<u>66</u>
XVIII.	PERFORMANCE BOND INFORMATION	67
XIX.	BID GUARANTEE (SURETY)	67
XX.	REQUIRED INSURANCE	67
XXI.	GENERAL CONTRACT COMPLIANCE CERTIFICATE	68
<u>A.</u>	<u>Equal Opportunity Clause</u>	<u>68</u>
<u>B.</u>	<u>Age Discrimination</u>	<u>69</u>
<u>C.</u>	<u>Employment of the Handicapped</u>	<u>69</u>
XXII.	CERTIFICATE OF NON-SEGREGATED FACILITIES	72
XXIII.	NOTICE OF PROSPECTIVE SUBCONTRACTORS	73
<u>A.</u>	<u>Affirmative Action Compliance Program</u>	<u>73</u>
<u>B.</u>	<u>Employer's Information Report (EE)-1) Form 100</u>	<u>73</u>
<u>C.</u>	<u>Notice to All Vendors</u>	<u>73</u>
<u>D.</u>	<u>Post Award Conference</u>	<u>73</u>
XXIV.	GENERAL CONTRACT COMPLIANCE CERTIFICATE	74
XXV.	CERTIFICATION OF PRIMARY PARTICIPANT FORM	75
XXVI.	DEBARMENT CERTIFICATION	76
XXVII.	CERTIFICATION OF A SUBCONTRACTOR FORM	76
XXVIII.	NON-RESIDENT CONTRACTOR INFORMATION	77
XXIX.	DRUG & ALCOHOL TESTING PROGRAM	78
XXX.	DRUG AND ALCOHOL POLICY ACKNOWLEDGEMENT	78
XXXI.	ARRA SUPPLEMENT TERMS AND CONDITIONS	80
<u>A.</u>	<u>Definitions</u>	<u>80</u>
<u>B.</u>	<u>General</u>	<u>81</u>
<u>C.</u>	<u>Conflicting Terms</u>	<u>81</u>
<u>D.</u>	<u>Enforceability</u>	<u>81</u>
<u>E.</u>	<u>Applicability to Subcontracts and Subawards</u>	<u>81</u>
<u>F.</u>	<u>Availability of Funding</u>	<u>82</u>
<u>G.</u>	<u>Inspection and Audit of Records</u>	<u>82</u>
<u>H.</u>	<u>Registration Requirements</u>	<u>82</u>
<u>I.</u>	<u>Reporting Requirements under § 1512 of ARRA</u>	<u>83</u>
<u>J.</u>	<u>Buy American Requirements under § 1605 of ARRA</u>	<u>83</u>
<u>K.</u>	<u>Wage Rate Requirements under § 1606 of ARRA</u>	<u>83</u>
<u>L.</u>	<u>Required Jobs Data Reporting under § 1512(c)(3)(D) of ARRA</u>	<u>84</u>
<u>M.</u>	<u>Segregation of Funds</u>	<u>84</u>
<u>N.</u>	<u>Disclosure pursuant to the False Claims Act</u>	<u>85</u>
<u>O.</u>	<u>Disclosure of Fraud, Waste and Mismanagement to Authority</u>	<u>85</u>
<u>P.</u>	<u>Prohibited Uses of ARRA Funds</u>	<u>85</u>
<u>Q.</u>	<u>Whistleblower Protection under §1553 of ARRA</u>	<u>85</u>
XXXII.	MANDATORY MONTHLY REPORTING REQUIREMENTS	87

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

TABLE OF CONTENTS

<u>A.</u>	<u>Introduction</u>	<u>87</u>
<u>B.</u>	<u>Jobs Defined</u>	<u>87</u>
<u>C.</u>	<u>Report Format</u>	<u>88</u>
XXXIII.	REQUIRED REPORT INFORMATION	88
XXXIV.	PROPOSAL PAGE	92
XXXV.	BRIEF SCOPE OF WORK:	93
XXXVI.	LIST OF CONTRACT DRAWINGS:	93
XXXVII.	NOTICE TO CONTRACTORS:	93
XXXVIII.	UTILITY & MUNICIPAL NOTIFICATION & COORDINATION:	94
XXXIX.	SEQUENCE OF CONSTRUCTION:ACTION REQUIRED BY CONTRACTOR	94
XL.	MAINTENANCE & MOVEMENT OF TRAFFIC:	94
XLI.	SPECIAL NOTICE TO THE CONTRACTOR:	95
XLII.	COORDINATION WITH OTHER PROJECTS:	95
XLIII.	UTILITY NOTE:	95
XLIV.	COMPLETION DATES:	95
XLV.	PAVILION LUMP SUM BREAKDOWN / PROGRESS PAYMENTS:	95
XLVI.	AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA):	95
XLVII.	ATTACHMENT 1 – FURNISH AND INSTALL PAVILION	96
<u>A.</u>	<u>Lump Sum Breakdown Worksheet</u>	<u>96</u>
<u>B.</u>	<u>Unit Cost Breakdown</u>	<u>96</u>
XLVIII.	ITEM NO. 1: RESET EXISTING PAVERS	97
XLIX.	ITEM NO. 2: FURNISH NEW CONCRETE PAVERS	98
L.	ITEM NO. 3: REPAIR ICE MELT SYSTEM	99
LI.	ITEM NO. 4: POLICE DETAILS	99
LII.	ITEM NO. 5: FURNISH AND INSTALL PAVILION	100
LIII.	SUB - ITEM NO. 5S-1 MOBILIZATION/DEMOBILIZATION	101
LIV.	SUB - ITEM NO. 5S-2: TRAFFIC & PEDESTRIAN CONTROL	101
LV.	SUB - ITEM NO. 5S-3PROJECT LAYOUT	102
LVI.	SUB - ITEM NO. 5S-4: FURNISH & INSTALL ELECTRICAL SERVICE	102
LVII.	ITEM NO. 5S-5: REMOVE, SALVAGE, TRANSPORT, UNLOAD, EXISTING BIKE LOCKERS	103
LVIII.	SUB - ITEM NO. 5S-6: FURNISH & INSTALL NEW PAVILION COMPLETE IN PLACE	104
LIX.	ITEM # NA: PROSECUTION & PROGRESS	109
LX.	ITEM # NA: PRICE ADJUSTMENTS	109
LXI.	ITEM # NA: FIELD OFFICE	109
LXII.	ITEM # NA: TRAINEE MAN HOURS	109
LXIII.	ITEM # NA: FLAGGERS	109
LXIV.	ITEM NO. NA AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) SIGN ASSEMBLY	110
LXV.	ITEM NO. NA: BUY AMERICA REQUIREMENTS	110
LXVI.	ITEM NO. NA: USE OF APPROVED EQUALS	110

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

I. CALENDAR

A. Date of Invitation:

June 24, 2011

B. Pre-Bid Conference:

1. Date: July 12, 2011

2. Time: 2:00 p.m. Eastern Time

3. Place: Kennedy Plaza:
1 Kennedy Plaza

Any and all appeals must be submitted in writing prior to the time and date set for the Pre-Bid Meeting.

C. Request for Approved equals and Questions

must be submitted **ELECTRONICALLY IN MICROSOFT WORD FORMAT** to RIPTA Contracts Manager by:

1. Date: July 15, 2011

2. Time: 1:00 p.m. Eastern Time

3. Response to approved equals: 10 - 14 days prior to Bid opening.

D. Bid opening:

1. Date: August 4, 2011

2. Time: 1:00 p.m. Eastern Time

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

II. NOTICE TO OFFERORS

A. Date:

June 24, 2011

The Rhode Island Public Transit Authority (RIPTA) is requesting Bids for the following:

Kennedy Plaza Pavillion

All Bids shall be submitted in the required format and quantity as set forth in the IFB. This Bid must be received by August 4, 2011 at 1:00 p.m. Eastern Time. by the Purchasing Department, Room 217, 705 Elmwood Avenue, Providence, Rhode Island 02907.

Award of contract is subject to financial assistance of 100% from the U.S. Department of Transportation (FTA Project RI96x001). The successful Bidder shall comply with the conditions and terms applicable thereunder.

A Pre-Bid Meeting will be held at the RIPTA Kennedy Plaza Intermodal Transit Center Inner Foyer 1 Kennedy Plaza Providence, RI at 2:00 pm Eastern Time on XXX. Bidders are expected to download to and review the Specifications prior to the pre-bid meeting.

The successful Bidder shall be required to comply with all applicable Equal Opportunity and Disadvantaged Business Enterprise regulations. Bidders are encouraged to view the Rhode Island Minority Business Enterprise (RIMBE) website for a list of Disadvantaged Business Enterprise vendors that may be interested in working with your company on this bid. All DBEs submitted must be certified by the State of Rhode Island at the time of Bid Submittal. The RIMBE website address is: <http://www.mbe.ri.gov/search.php>.

The Disadvantaged Business Enterprise goal for this project is: 20%.

The successful Bidder shall be required to certify that he is not on the Comptroller General's List of Ineligible Contractors.

An electronic copy of the bid is available on the State of Rhode Island, Department of Administration Division of Purchases Website.

The website address is: www.purchasing.ri.gov/RIVIP/ExternalBidSearch.asp
RIPTA bids can be Public Bid Opportunities, Quasi Public Sector, listed under the Rhode Island Public Transit Authority. ***Bidders must download the bid documents and complete the required forms.***

If you are unable to access the Internet, a printed copy of the Bid may be obtained from RIPTA's Purchasing Department by calling Michael J. McGrane at (401) 784-9500, ext. 214.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

III. CONTACT LIST

PLEASE CONTACT THE FOLLOWING PERSONS FOR ANY QUESTIONS YOU MAY HAVE:

A. Specifications/Scope of Work

Ms. Christine Johnston
Phone (401) 784-9500 extension 212

B. Contracts Manager

Mr. Michael J. M^cGrane
Phone: (401) 784-9500 extension 214
mmcgrane@ripta.com

C. DBE Liaison Officer

Mrs. Lisa Hanson
Phone: (401) 784-9500 extension 125
lhanson@ripta.com

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

IV. INSTRUCTIONS FOR BIDDERS

A. Definition of terms

Whenever herein or in the Bid contract documents the following terms, pronouns or abbreviations are used, the intent and meaning shall be interpreted as follows:

1. **Procuring agency**
Procuring Agency is defined as the Rhode Island Public Transit Authority.
2. **RIPTA**
RIPTA shall refer to the Rhode Island Public Transit Authority.
3. **Contractor**
Contractor shall mean the successful Bidder to whom a contract is awarded.
4. **Invitation for Bid (IFB)**
Invitation for Bid shall mean the complete assembly of related documents, whether attached or incorporated by reference, furnished by RIPTA for the purpose of proposing, including the Invitation for Bid, the Instructions for Bidders, Supplemental Conditions, Specifications, Bid Form, Bid Attachments, and Addenda, if any. Bids shall be in strict accordance with the Terms of the IFB.
5. **Authorized Signature.**
The person who is executing this contract on behalf of the Bidder and who is authorized to bind the Bidder.
6. **Invitation for Bid.**
The advertisement of the issuance by RIPTA of an Invitation for Bid, which is published, posted and sent to prospective Bidders informing interested persons of the proposed procurement.
7. **Notice of Award**
The receipt of a Purchase Order or Letter of Contract issued by RIPTA shall serve as notice of the award of contract.
8. **Specifications**
The written description and statement of necessary requirements of the equipment/construction, supplies and/or service to be provided.
9. **Tender**
The Bidder's documents and all attachments tendered in response to the Bid requests.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

B. Form of Bid and Signature

The Bid shall be presented with an original and Two copies on the forms provided herewith by RIPTA and shall be enclosed in a sealed envelope marked and addressed as required on the Bid form.

Depending upon whom the Bid is made by, the following signature and instructions must be followed:

1. **Sole Owner.**

Bid shall be signed with his full name, and his address shall be given.

2. **General Partnership.**

Bid shall be signed with the partnership name; by a partner who shall also sign his/her own name, and the name and address of each partner shall be given.

3. **Limited Partnership**

Bid shall be signed with the partnership name by a general partner who has authorization to do so who shall also sign his/her own name.

4. **Corporation.**

Bid shall be signed by an officer or other individual who has the full and proper authorization to do so, and the corporate seal shall be affixed to the contract, or if the corporate seal is not affixed to the contract and it is signed by a person other than an officer, there must be attached to the contract a certified copy of a resolution of the corporation authorizing such officer or person to sign written contracts for and on behalf of the corporation.

C. Bid

The terms of the Bid must not be changed. All blank spaces in said form shall be properly filled. Alterations by erasure or interlineation must be explained or noted in the Bid over the signature of the Bidder. If the unit price and the total amount named by a Bidder for any item, do not agree, **the unit price** alone will be considered as representing the Bidder's intention.

D. Unauthorized Conditions

Unauthorized conditions, limitations or provisions attached to a Bid will render it informal and may cause its rejection.

E. Submission of Bid

Prior to the hour specified in the Invitation for Bid inviting sealed Bids, all Bids shall be delivered to the Contracts Manager at the address shown in the Invitation for Bid.

Each Bid shall be in a sealed envelope properly labeled on the outside with the Bid number and description. No Bids received after said time or at any place other than the time and place as stated in the Invitation for

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

Bid will be considered. No bids electronically transmitted via email and fax will be considered.

F. Modification or Withdrawal of Bid.

A Bid may be modified or withdrawn by written or telegraphic notice received in the office designated in the Invitation for Bid not later than the exact time set for receiving of Bids. A telegraphic notice of modification or withdrawal of a Bid telephoned by the receiving telegraphic office no later than the set for opening of Bids will be considered if the message is confirmed by the telegraph company by sending a copy of a written telegram which formed the basis of the telephone call. A Bid may be withdrawn in person by a Bidder or his/her authorized representative provided his/her identity is made known and he signs a receipt for the Bid if the withdrawal is prior to the exact time set for receiving the Bids. Modifications of Bids and requests for withdrawal of Bids which are received in the office designated in the Invitation for Bid after the exact time set for opening are "late modifications" and "late withdrawals" respectively. A late modification or late withdrawal will be subject to the rules and procedures applicable to late Bids. A late modification of an otherwise successful Bid will be opened at any time it is received. If, in the judgment of the Director of Procurement, it makes the terms of the Bid more favorable to RIPTA, it will be presented to the Contract Manager and Director of Procurement for consideration.

G. Samples

Samples, when required, must be submitted within the time specified, at no expense to RIPTA. If not, destroyed or used up during testing, samples will be returned upon request at the Bidder's expense.

H. Canvass of Bids.

At the hour specified in the Invitation for Bid, a designee will receive the Bids. An award will be made or Bids rejected by RIPTA within the time specified in the specifications or Bid forms, or if not specified, within a reasonable time after Bids have been opened.

I. Rejection of Bids.

RIPTA reserves the right to reject any and all bids. The right is reserved to reject any or all Bids, and to waive technical defects as the interest of RIPTA may require. Each Bidder shall be notified if all Bids are rejected.

J. Sales Tax Exemption

RIPTA confirms that no state, local or federal taxes are applicable to this purchase.

K. Delivery Charges.

Unless otherwise stated in the IFB, Bidders shall include freight and/or delivery charges in the total price of their Bids.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

L. Alternative Bid

Submissions of an alternative Bid or Bids, except as specifically called for in the Specifications or IFB, will render the bid informal and may cause its rejection.

M. Non-Collusive Affidavit

The Bidder represents and warrants that its Bid is genuine and not sham or collusive or made in the interest or in behalf of any person not therein named, and that the Bidder has not, directly or indirectly, induced or solicited any other Bidder to submit a sham Bid or any other person, firm or corporation to refrain from proposing, and that the Bidder has not in any manner sought by collusion to secure itself an advantage over any other Bidder.

N. Interest of RIPTA Personnel

The Bidder represents and warrants that neither the General Manager, nor any Board Member, nor any employee of RIPTA, is in any manner interested directly or indirectly in the Bid or in the contract, which may be made under it, or in any expected profits to arise therefrom.

O. Penalty for Collusion

If at any time it shall be found that the person, firm or corporation to whom a contract has been awarded has, in presenting any Bid or Bids, colluded with any other party or parties, then the contract so awarded shall be **voidable** by RIPTA and the Contractor and his bondsmen shall be liable to RIPTA for all loss or damage which RIPTA may suffer thereby and the RIPTA Board may advertise for a new contract for said labor, supplies, materials, equipment or service.

P. Bid Acceptance Period

All Bids shall remain in effect ninety (90) calendar days from the date of Bid opening. Bids offering less than ninety (90) calendar days for acceptance by RIPTA from the date set for opening will be considered non-responsive and will be rejected.

Q. Postponement.

RIPTA reserves the right to postpone, for its own convenience, the date the Bid is to be received, but any Bidder whose Bid has already been submitted to RIPTA when the decision to postpone is made shall be afforded the opportunity to revise or withdraw its Bid.

R. Amendment and/or Postponement.

RIPTA reserves the right to revise or amend the specifications up to the time set for the receiving of Bids. Such revisions and addenda, if any, shall be announced by addenda to this solicitation. It shall be the responsibility of prospective bidders to check the State of Rhode Island, Department of Administration Division of Purchases Website for any addenda. If the revisions and addenda require changes in quantities or price Bid, or both, the date set for receiving Bids may be postponed by such number of days as in the opinion of RIPTA shall enable Bidders to revise their Bids. In any case, Bid openings shall be at least seven (7)

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

working days after the last addendum, and the addenda shall include an announcement of the new date, if applicable.

S. Single Bid.

1. In the event a single Bid is received, RIPTA will, at its option, either conduct a price and/or cost analysis of the Bid and make the award by negotiation or reject the Bid and re-advertise. A price analysis is the process of examining the Bid and evaluating a prospective price without evaluating the separate cost elements. Price analysis shall be performed by comparison of the price quotations submitted on other current quotations, with published price lists, or other established or competitive prices. The comparison shall be made to a purchase of similar quantity and involving similar specifications. Where a difference exists, a detailed analysis must be made of this difference and costs attached thereto.
2. Where it is impossible to obtain a valid price analysis, it may be necessary for RIPTA to conduct a cost analysis of the Bid price. Cost analysis is the review and evaluation of a contractor's cost or pricing data and of the factors applied in projecting from such data the estimated costs of performing the contract, assuming reasonable economy and efficiency.
3. The price and/or cost analysis shall be made by RIPTA's Procurement Department.

T. Qualifications for Award

The Bidder must be a person, firm or corporation that:

1. Has in operation, or has the capability to have in operation, a manufacturing plant adequate to assure delivery of all equipment within the time specified under this contract.
2. Has adequate service personnel, or has the capability to have such personnel, to satisfy any service problems that may arise during the warranty period.
3. Has the necessary facilities and financial resources or has the capability to obtain such facilities and resources to complete the contract in a satisfactory manner within the required time.
4. The Procuring agency shall have the right to conduct a pre-award survey on each Bidder. Doubt as to the capability or technical ability, productive capacity or financial strength, which cannot be resolved affirmatively, shall require a determination of non-responsibility by RIPTA.

U. Ineligible Bidders.

The Bidder shall be required to certify, upon request, that it is not on the U.S. Comptroller General's Consolidated List of Persons or Firms

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

currently Debarred for Violations of Various Public Contracts
Incorporating Labor Standards Provisions.

V. Disadvantaged Business Enterprise

The Rhode Island Public Transit Authority will not discriminate on the basis of race, color, national origin, or sex in the award and performance of any DOT assisted contract or in the administration of its DBE Program or the requirements of 49 CFR part 26. RIPTA will take all necessary and reasonable steps under 49 CFR part 26 to ensure nondiscrimination in the award and administration of DOT assisted contracts. The recipient's DBE Program, as required by 49 CFR part 26 and as approved by DOT, is incorporated by reference in this agreement. Implementation of this program is a legal obligation and failure to carry out its terms shall be treated as a violation of this agreement. Upon notification to the Rhode Island Public Transit Authority of its failure to carry out its approved program the Department may impose sanctions as provided for under part 26 and may, in appropriate cases, refer the matter for enforcement under 18 U.S.C. 1001 and/or the Program Fraud Civil Remedies Action of 1986 (31 U.S.C. 3801 et. Seq).

W. Addenda.

RIPTA may issue addenda containing amendments to its Bid solicitation documents. Any addendum issued less than seven (7) days prior to the receipt of Bid shall, if necessary, contain a provision postponing the date of the receipt of Bid to a date that will provide Bidders adequate time to respond to the addenda. Addenda shall be numbered sequentially.

X. Bidder's Requests and Appeals.

1. Appointments.

Bidders and suppliers may make appointments with the contact person listed in the specifications to discuss the specifications.

2. Amending Materials.

Any amending material issued by RIPTA pertaining to the Bid solicitation documents (including, without limitation: clarifications, approved equals, and corrections) shall be set forth in an addendum and sent to all parties who are on record as having obtained a copy of the Bid solicitation documents.

3. Appeal.

Should any Bidder or supplier choose to appeal RIPTA's decision, such appeal must be in writing and received by RIPTA not less than seven (7) calendar days before the date of receipt of Bid. RIPTA has no obligation to consider appeals received less than seven (7) calendar days before the date of the receipt of Bid.

4. Withdrawal.

The Bidder or supplier may withdraw its appeal at any time before RIPTA issues a final decision. There shall be no further review of the appeal after the final decision is issued.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

5. Notification.

Should RIPTA postpone the date of the receipt of Bid owing to the appeal, RIPTA shall notify all parties who are on record as having obtained a copy of the Bid solicitation documents that an appeal has been filed and that the date of the receipt of Bid shall be postponed until RIPTA has issued its final decision. RIPTA shall issue appropriate amendments postponing the re-scheduling date of the receipt of Bid.

Y. Equal Employment Opportunity.

In connection with the execution of this contract, the Contractor shall not discriminate against any employee or applicant for employment because of race, religion, color, sex, age, handicap or national origin. The contractor shall take affirmative action to insure that applicants are employed and that employees are treated during their employment, without regard to their race, religion, color, sex, age, handicap or national origin. Such actions shall include, but not limited to, the following: employment, upgrading, demotion, transfer, recruitment or recruitment advertising, layoff, or termination, rates of pay, or other forms of compensation, and selection for training, including apprenticeship.

Z. Prohibited Interest

No member, officer, or employee of RIPTA or of a local public body during his tenure or for one year thereafter shall have any interest, directly or indirectly, in this contract or the proceeds thereof.

AA. Interest of Members of Congress

No member or delegate to the Congress of the United States shall be admitted to any share or part of this contract or to any benefit arising therefrom.

BB. Contract Commencement Date

The contract commencement date shall be the date of the signing of the Purchase Order or by Letter of Contract signed by an authorized RIPTA employee.

CC. Notice, Waiver and Applicable Law

Notice given to Contractor and RIPTA shall be given to the parties in writing by certified mail at the respective addresses set forth herein. Waiver by RIPTA of a breach by Contractor of any provision of this contract shall not be deemed a waiver of future compliance therewith, and such provision as well of future provisions hereunder, shall remain in full force and effect. The rights and duties of the parties hereto shall be determined by the laws of the State of Rhode Island, and to that end this agreement shall be considered and construed as a contract made an to be performed in the State of Rhode Island.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

DD. Protest.

1. General.

Protests will be accepted from prospective Bidders or offerors whose direct economic interest would be affected by the award of a Contract or by failure to award a contract. The RIPTA Director of Purchasing will consider all protests or objections filed in a timely manner regarding the award of a contract, whether submitted before or after award. If the protest is oral and the matter cannot be otherwise resolved, written confirmation of the protest will be requested. Protest submissions should be concise, logically arranged, and clearly state the grounds for the protest. Protests must include at least the following information:

- a. Name, address and telephone number of protester.
- b. Identification of the solicitation or Contract number.
- c. A detailed statement of the legal and factual grounds of protest, including copies of relevant documents
- d. A statement as to what relief is requested.
- e. Protest should be sent to:

Director of Procurement
RI Public Transit Authority
Room 217
705 Elmwood Avenue
Providence, RI 02907

- f. Protests must be filed with the RIPTA in accordance with our procedures and time requirements. The protest to RIPTA must be complete and contain all the issues that the protester believes relevant. RIPTA will respond to each substantive issue raised in the protest. Failure to include an issue in the protest eliminates that issue from further consideration. All protest decisions entered by RIPTA are final in accordance with FTA "Third Party Contract" Regulation.
- g. On occasion, when considered appropriate, an informal conference on the merits of the protest with all interested parties may be held.

EE. Protests Before Award

1. Solicitation Phase.

Protests concerning the solicitation must be submitted in writing five (5) working days prior to Bid opening or closing date for receipt of Bids. If the written protest is not received by the time specified, award may be made in the normal manner unless the Director of Purchasing, upon investigation, finds that remedial

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

action is required. Oral protests not followed up by a written protest will be disregarded.

Notice of a protest and the basis therefore will be given to all potential Bidders or offerors.

2. Pre-Award Phase.

When a protest against the making of an award is received after receipt of Bids but prior to award, the Director of Purchasing may determine to withhold the award pending disposition of the protest. The Bidders or Bidders whose Bids might become eligible for award should be requested, before expiration of the time for acceptance of their Bids, to extend the time for acceptance (with consent of sureties, if any) to avoid the need for readvertising. RIPTA will provide a written response to each material issue raised in the written protest.

Where a written protest against the making of an award is received in the time specified, award will not be made prior to five (5) working days after resolution of the protest or, if a protest has been filed with FTA during the pendency of that protest, unless RIPTA determines that:

- a. The items to be procured are urgently required;
- b. Delivery or performance will be unduly delayed by failure to make award promptly; or,
- c. Failure to make award will otherwise cause undue harm to RIPTA or the Federal Government.

If award is made, the Director of Procurement will document the file to explain the need for an award, and will give written notice of the decision to proceed with the award to the protester and, as appropriate, to others concerned.

FF. Protests After Award.

A protest received not later than 10 (ten) working days after award shall be reviewed by the Director of Purchasing. The Contractor will, in any event, be furnished with the notice of protest and the basis therefore. When it appears likely that an award may be invalidated and a delay in receiving the supplies or services is not prejudicial to the Authority's interest, the Director of Purchasing should consider a mutual agreement with the Contractor to suspend performance on a no-cost basis.

GG. Source Selection and Contract Award

The contract shall be awarded with reasonable promptness by written notice to the responsive and responsible Bidder whose Bid will be evaluated using a best value approach. The ultimate selection of an offeror will be on the basis of overall best value to the Authority.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

HH. Title VI Assurances

Contractors and Subcontractors will be required to comply with all requirements imposed by Title VI of the Civil Rights Act of 1964 (49 U.S.C. 2000d), and the Assurances by RIPTA pursuant thereto.

II. Energy Conservation Requirements:

The Contractor agrees to comply with mandatory standards and policies relating to energy efficiency, which are contained in the State of Rhode Island Energy Conservation Plan issued in compliance with the Energy Policy and Conservation Act.

JJ. Program Fraud

1. The Contractor acknowledges that the provisions of the Program Fraud Civil Remedies Act of 1986, as amended, 31 U.S. C. § §3801 et. Seq. and U. S. Department of Transportation regulations. "Program Fraud Civil Remedies" 49 C.F.R. Part 31, apply to its actions pertaining to this Project. Upon execution of the underlying contract, the Contractor certifies or affirms the truthfulness and accuracy of any statement it has made, it makes, it may make, or causes to be made, pertaining to the underlying contract or the Federal Transit Administration assisted project for which this contract work is being performed. In addition to other penalties that may be applicable, the Contractor further acknowledges that if it make, or causes to be made, a false, fictitious or fraudulent claim, statement, submission, or certification, the Federal Government reserves the right to impose the penalties of the Program Fraud Civil Remedies Act of 1986 on the Contractor to the extent the Federal Government deems appropriate.
2. The Contractor also acknowledges that if it makes, or causes to be made, a false, fictitious, or fraudulent claim, statement, submission or certification to the Federal Government under a contract connected with a project that is financed in whole or in part with Federal assistance originally awarded by the FTA under the authority of 49 U.S.C. § 5307, the Government reserves the right to impose the penalties of 18 U.S.C. § 5307 (n) (1) on the Contractor, to the extent the Federal Government deems appropriate.
3. The Contractor agrees to include the above two clauses in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clauses shall not be modified, except to identify the subcontractor who will be subject to the provisions.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

KK. No Government Obligation to Third Parties:

1. The Purchaser and the Contractor acknowledge and agree that, notwithstanding any concurrence by the Federal Government in or approval of the solicitation or award of the underlying contract, absent the express written consent by the Federal Government, the Federal Government is not a party to this contract and shall not be subject to any obligations or liabilities to the Purchaser, Contractor, or any other party (whether or not a party to that contract) pertaining to any matter resulting from the underlying contract.
2. The Contractor agrees to include the above clause in each subcontract financed in whole or in part with Federal assistance provided by FTA. It is further agreed that the clause shall not be modified, except to identify the subcontractor who will be subject to its provisions.

V. GENERAL PROVISIONS

A. Definitions:

As used throughout this Contract, the following terms shall have the meanings set forth below:

1. **Authority**
Authority means Rhode Island Public Transit Authority (RIPTA).
2. **Contracting Manager (Contracts Manager)**
The person executing this Contract on behalf of the Authority, and his or her successor, and the term includes, except as otherwise provided in this Contract, the authorized representative of a Contracting Officer acting within the limits of his authority.
3. **Directed, Ordered, designated or prescribed**
Wherever in the scope of the work the words directed, ordered, designated, prescribed, or words of like importance are used, it shall be understood that the direction, requirement, order, designation, or prescription of the Contracting Manager is intended and similarly the words approved, acceptable, satisfactory, or words of like importance shall mean approved by, or acceptable to, satisfactory to the Contracting Officer, unless expressly stated.

B. Changes:

The Contracting Officer may at any time, by a written order, and without notice to the sureties, make changes within the general scope of this Contract. If any such changes causes an increase or decrease in the cost of, or the time required for, the performance of any part of the work under this Contract, whether changed or not changed by the order, the Contracting officer shall make an equitable adjustment in the Contract price, the delivery schedule, or both, and shall modify the Contract.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

The Contractor must assert its right to an adjustment under this article within 30 days from the date of receipt of the written order. Failure to agree to any adjustment shall be a dispute under the Disputes article. However, nothing in this article shall excuse the Contractor from proceeding with the contract as changed.

C. Extras:

Except as otherwise provided in this Contract, no payment for extras shall be made unless such extras and the price therefore have been authorized in writing in advance by the Contracting Officer.

D. Inspection:

All supplies, which term throughout this article includes without limitation raw materials, components, intermediate assemblies, and end products, shall be subject to inspection and test by the Authority, to the extent practicable at all times and places including the period of manufacture, and in any event prior to acceptance.

In case any supplies or lots of supplies are defective in material or workmanship or otherwise not in conformity within the requirements of this Contract, the Authority shall have the right either to reject them or require their correction. If any inspection or test is made by the Authority on the premises of the Contractor or a subcontractor, the Contractor without additional charge shall provide all reasonable facilities and assistance for the safety and convenience of the Authority inspectors in the performance of their duties.

All inspections and test by the Authority shall be performed in such a manner as not to unduly delay this work. The Authority reserves the right to charge to the Contractor any additional cost of Authority inspection and test when supplies are not ready at the time such inspection and test is requested by the Contractor or when re-inspection or retest is necessitated by prior rejection. Acceptance or rejection of the supplies shall be made as promptly as practicable after delivery, except as otherwise provided in this Contract; but failure to inspect and accept or reject supplies shall neither relieve the Contractor from responsibility for such supplies as are not in accordance with the contract requirements nor impose liability on the Authority therefore. The inspection and test by the Authority of any supplies or lots thereof does not relieve the Contractor from any responsibility regarding defects or other failures to meet the Contract requirements, which may be discovered prior to acceptance. Except as otherwise provided in this Contract, acceptance shall be conclusive except as regard latent defects, fraud, or such gross mistakes as amount to fraud. The Contractor shall provide and maintain an inspection system acceptable to the Authority covering the supplies hereunder.

Records of all inspection work by the Contractor shall be kept complete and available to the Authority during the performance of this Contract and for such longer period as may be specified elsewhere in this Contract.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

E. Responsible:

Notwithstanding the requirements for any Authority inspection and test contained in Specifications applicable to this Contract, except where specialized inspections or tests are specified for performance solely by the Authority, the Contractor shall perform or have performed the inspections and tests required to substantiate that the supplies and services provided under the contract conform to the Drawing, Specifications and Contract requirements.

F. Title and Risk of Loss

Unless this Contract specifically provides for earlier passage of title, title to supplies covered by this Contract shall pass to the Authority upon formal acceptance. Unless this Contract specifically provides otherwise, risk of loss of or damage to supplies covered by this Contract shall remain with the Contractor, until acceptance by the Authority.

Notwithstanding the above, the risk of loss of or damage to supplies which so fail to conform to the Contract as to give a right of rejection shall remain with the Contractor until cure or acceptance, at which time the above shall apply.

G. Payments

The Contractor shall be paid, upon the submission of proper invoices or vouchers, the prices stipulated herein for supplies delivered and accepted or services rendered and accepted, less deductions, if any, as specified. The failure to perform may result in partial or full suspension of payment and/or process payment.

H. Stop Work Order

The Contracting Manager may, at any time, by written order to the Contractor, require the Contractor to stop all, or part of the work called for by this Contract. Any such order shall be specifically identified as a STOP WORK ORDER issued pursuant to this article. Upon receipt of such an order, the Contractor shall forthwith comply with its terms and take all reasonable steps to minimize the incurrence of costs allocable to the work covered by the order during the period of work stoppage.

I. Disputes

1. Except as otherwise provided in this Contract, any dispute concerning a question of fact arising under this Contract which is not disposed of by agreement shall be decided by the Contracting Officer, who shall reduce his decision to writing and mail or otherwise furnish a copy thereof to the Contractor. The decision of the Contracting Officer shall be final and conclusive unless, within 30 days from the date of receipt of such copy, the Contractor mails or otherwise furnishes to the Contracting Officer a written appeal addressed to the General Manager. The decision of the General Manager or his/her duly authorized representative for the determination of such appeals shall be final and conclusive unless determined by a court of competent jurisdiction to have been fraudulent, or capricious, or arbitrary, or so grossly erroneous as necessarily to imply bad faith, or is not supported by

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

substantial evidence. In connection with any appeal proceeding under this article, the Contractor shall be awarded an opportunity to be heard and to offer evidence in support of his appeal. Pending final decision of a dispute hereunder, the Contractor shall proceed diligently with the performance of the contract and in accordance with the Contracting Officer's decision.

- 2 This **DISPUTES** article does not preclude consideration of questions of law in connection with decisions provided for in paragraph a. above. Nothing in this Contract, however, shall be construed as making the final decisions of the General Manager of the Authority.

J. Default

1. The Authority may, subject to the provisions of paragraph b. below, by written notice of default to the Contractor, terminate the whole or any part of this Contract in any one of the following circumstances:
 - a. If the Contractor fails to make delivery of the supplies or to satisfactorily perform the services within the time specified herein or any extension thereof; or
 - b. If the Contractor fails to perform any of the other provisions of this Contract, or so fails to make its terms, and in either of these two circumstances does not cure such failure within a period of 10 days (or such longer period of as the Contracting Officer may authorize in writing) after receipt of notice from the Contracting Officer specifying such failure.
2. Without the fault or negligence of the Contractor. Such causes may include, but are restricted to, acts of God or of the public enemy, acts of the Government in its sovereign capacity or the Authority in its contractual capacity, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, and unusually severe weather; but in every case the failure to perform must be beyond the control and without the fault or negligence of the Contractor.
3. If the Contractor fails to deliver the supplies or satisfactorily perform the services within the time specified in this Contract, or any extension thereof, the actual damage to the Authority for the delay will be difficult or impossible to determine. Therefore in lieu of actual damages, the Contractor shall pay to the Authority as fixed, agreed and liquidated damages for each calendar day of delay, the amount set forth elsewhere in this Contract. The Contractor shall not be charged with liquidated damages when the delay arises out of causes beyond the control and without the fault or negligence of the Contractor, and in such event, subject to this DISPUTES article, the Contracting Officer shall ascertain the facts and extent of the delay and shall extend the time for performance

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

of the contract when in his judgment the findings of fact justify an extension.

4. The rights and remedies of the Authority provided in this article shall not be exclusive and are in addition to any other rights and remedies provided by law or under this Contract.

K. Termination for Convenience of the Authority

The performance of work under this Contract may be terminated by the Authority in accordance with this article in whole, or from time to time in part, whenever the Contracting Officer shall determine that such termination is in the best interest of the Authority. Any such termination shall be effected by delivery to the Contractor of a Notice of Termination specifying the extent to which performance of work under the contract is terminated, and the date upon which such termination becomes effective.

After receipt of a Notice of Termination, the Contractor shall submit to the Contracting Officer his termination claim, in the form and with certification prescribed by the Contracting Officer. Such claims shall be submitted promptly by in no event later than one year from the effective date of termination. Upon failure of the Contractor to submit his termination claim within the time allowed, the Contracting Officer may, subject to any review required by the contracting agency's procedures in effect as of the date of execution of this Contract, determine, on the basis of information available to him, the amount, if any, due the Contractor by reason of the termination and shall thereupon pay to the Contractor the amount so determined.

In the event of the failure of the Contractor and the Contracting Officer to agree upon the whole amount to be paid the Contractor by reason of the termination of work pursuant to this article, the Contracting Officer shall, subject to any review by the contracting agency's procedures in effect as of the date of execution of this Contract, determine, on the basis of information available to him, the amount if any, due the Contractor by reason of the termination.

Costs claimed, agreed to, or determined pursuant to this paragraph shall be in accordance with the applicable with the applicable contract cost principles and procedures of the Federal Acquisition Regulations (48 CFR 31.1) in effect on the date of this Contract. The Contractor shall have the right to appeal, under the DISPUTES article of this Contract from any determination made by the Contracting Officer, except that, if the Contractor has failed to submit his claim within the time provided above and has failed to request extension of such time, he shall have no such right of appeal.

Unless otherwise provided for in this Contract, or by applicable statute, the Contractor, from the effective date of termination and for a period of three years after final settlement under this Contract, shall preserve and make available to the Authority at all reasonable times at the office of the Contractor but without direct charge to the Authority, all his books, records, documents, and other evidence bearing on the costs and

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

expenses of the Contractor under this Contract and relating to the work terminated hereunder, or, to the extent approved by the Contracting Officer, photographs, micro photographs, or other authentic reproductions thereof.

L. Federal, State and Local Taxes

Except as may be otherwise provided in this Contract, the Contract price includes all applicable Federal, State, and Local taxes and duties. The Authority upon the request of the Contractor shall, without further liability, furnish evidence appropriate to establish exemption from any Federal, State, or Local tax.

M. Walsh-Healey Public Contracts Act

If this contract is for the manufacture or furnishing of materials, supplies, articles, or equipment in an amount which exceeds or may exceed \$10,000 and is otherwise subject to the Walsh-Healey Public Contract Act, as amended (41 U.S.C. 34-35), there are hereby incorporated by reference all representations and stipulations required by said Act and regulations issued thereunder by the Secretary of Labor, such representations of the Secretary of Labor which are now or may hereafter be in effect.

N. Officials Not to Benefit

No member, officer, or employee of the Authority during his tenure or one year thereafter shall have any interest, direct or indirect, in this Contract or the proceeds thereof.

O. Covenant against Contingent Fees

The Contractor warrants that no person or selling agency has been employed or retained to solicit or secure this Contract upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the Contractor for the purpose of securing business. For breach or violation of this warranty, the Authority shall have the right to annul this Contract without liability or in its discretion, to full amount of such commission, percentage, brokerage, or contingent fee.

P. Notice to the Authority of Labor Disputes

Whenever the Contractor has knowledge that any or potential labor disputes is delaying or threatens to delay the timely performance of this Contract, the Contractor shall immediately give notice thereof, including all relevant information with respect thereto, to the Contracting Officer. The Contractor agrees to insert the substance of this clause, in any subcontract hereunder as to which a labor dispute may delay the timely performance of this Contract; except that each such subcontract shall provide that in the event its timely performance is delayed or threatened by delay by any actual or potential labor dispute, the subcontractor shall immediately notify his next higher tier subcontractor, or the Contractor, as the case may be, of all relevant information with respect to such dispute.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

Q. Patent Indemnity

1. If the amount of this Contract is in excess of \$10,000, the Contractor shall indemnify the Authority and its officers, agents, and employees against liability, including costs, for infringement of any United States letters patent arising out of the manufacture or delivery of supplies under this Contract.
2. In addition, if specifically requested by the Contracting Officer prior to execution of the Contract, a copy of the current license agreement and identification of applicable claims of specific patents shall be furnished.

R. Use of Trade Names

Any trade names used in this document are merely used for a point of reference. The Authority will consider submission of approved equals on any or all products specified. Use of trade names by the Authority bears no actual or implicit approval for the violation of any current or pending patents or copyrights.

S. Rights in Technical Data

1. The Authority shall have the right to use, duplicate or disclose technical data, which includes computer software, in whole or in part, in any manner and for any purpose whatsoever, and to have or permit others to do so:
 - a. Any manuals, instructional materials prepared for installation, operation, maintenance or training purposes;
 - b. Technical data pertaining to end items, components or processes which were prepared for the purpose of identifying sources, size, configuration, mating and attachment characteristics, functional characteristics and performance requirements ("for, fit and function: data; e/g/ specification control drawing, catalog sheets, outline drawing; except that for computer software it means data identifying source, functional characteristics, and performance requirements but specifically excludes the source code, algorithm, process, formulae, and flow charts of the software);
 - c. Other technical data which has been, or is normally furnished without restriction by the Contractor or subcontractor;
 - d. Other specifically described technical data, which the parties have agreed will be furnished without restriction.
2. The Authority shall have the right to use, duplicate, or disclose technical data other than that defined in paragraph a. in whole or in part, with the express limitation that such technical data shall

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

not, without the written permission of the party furnishing such technical data, be

- a. released or disclosed in part by the Authority for manufacture, or
 - b. used in whole or in part by the Authority for manufacture, or
 - c. used by a party other than the Authority except for emergency repair or overhaul work only, by or for the Authority where the item or process concerned is not otherwise reasonably available to enable timely performance of the work; provided, that the release or disclosure thereof outside the Authority shall be made subject to a prohibition against further use, release or disclosure.
3. Technical data provided in accordance with the provisions of paragraph b. shall be identified by a legend, which suitably recites the aforesaid limitation. Nothing herein shall impair the right of the Authority to use similar or identical data acquired from other sources.
4. The term technical data as used in this article means technical writing, computer software, sound recording, pictorial reproductions, drawings, or other representations and works of a technical nature, whether or not copyrighted, which are specified to be delivered pursuant to this Contract. The term does not include financial reports, cost analysis, and other information incidental to Contract administration. Computer software as used in this article means computer programs, computer databases, and documentation.
5. Material covered by copyright:
 - a. The Contractor agrees to and does hereby grant to the Authority, and to its officers, agents and employees acting within the scope of their official duties, a royalty-free, nonexclusive and irrevocable license throughout the world for Authority purposes to publish, translate, reproduce, deliver, perform, dispose of, and to authorize others to do so, all technical data now or hereafter covered by copyright.
 - b. No such copyright matter shall be included in technical data furnished hereunder without the written permission of the copyright owner for the Authority (or higher-tier contractor) promptly and in reasonable written detail each notice or claim of copyright infringement received by the Contractor with respect to any technical data delivered hereunder.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

6. Relation to patents: Nothing contained in this article shall imply a license to the Authority under any patent, or be construed as affecting the scope of any license or other right otherwise granted to the Authority under any patent.
7. Any dispute under this article shall be subject to the Disputes article of this contract

T. Audit and Inspection of Records

The Contractor shall maintain records, and the Contracting Officer, the U.S. Department of Transportation, and the Comptroller General of the United States or any of their duly authorized representatives shall, until the expiration of three years after final payment under this Contract, have access to and the right to examine any directly pertinent books, documents, papers and records of such contractor, involving transactions related to the Contract, for the purpose of making audit, examination, excerpts and transactions.

The Contractor further agrees to include in all his subcontracts hereunder a provision to the effect that the subcontractor agrees that the Contracting Officer, the State of Rhode Island, the U.S. Department of Transportation and the Comptroller General of the United States or any of their Duly authorized representatives shall, until the expiration of three years after final payment under the Contract, have access to and the right to examine any directly pertinent books, documents, papers and records of such subcontractor, involving transactions related to the subcontract, for the purpose of making audit, examination, excerpts and transcription.

U. Gratuities

In connection with performance of work required under this Contract, or any changes or modifications relative thereto, the giving of or offering to give gratuities (in the form of entertainment, gifts or otherwise) by the Contractor, or any agent, representative or other person deemed to be acting on behalf of the Contractor, or any supplier or subcontractor furnishing material to or performing work under this Contract, or agent, representative or other person deemed to be acting on behalf of such supplier or subcontractor, to any Director, Officer or employee of the Authority; or to any Director, employee or agent of any of the Authority's agents, consultants, representatives or other persons deemed to be acting for or on behalf of the Authority with a view toward securing a contract or securing favorable treatment with respect to the awarding to the awarding or amending, or the making of any determinations with respect to the performing of such contract is expressly for Bidden. The terms of this GRATUITIES article shall be strictly construed and enforced in the event of violations hereto.

V. Limitation on Withholding Payments

If more than one article or schedule provision of this Contract authorized the temporary withholding of amounts otherwise payable to the Contractor for supplies delivered or services performed, the total of the amounts so withheld at any one time shall not exceed the greatest

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

amount which may be withheld under any one such article or schedule provision at that time; provided, that this limitation shall not apply to:

1. Withholdings pursuant to any clause relating to wages or hours of employees;
2. Withholdings not specifically provided for by this Contract; and
3. The recovery of overpayment.

W. New Material

The Contractor represents that the supplies and components to be provided under this Contract are new (not used or reconditioned, and not of such age or so deteriorated as to impair their usefulness or safety).

X. Order of Precedence

In the event of an inconsistency in the Contract, unless otherwise provided herein, the inconsistency shall be resolved by giving precedence in the following order:

1. The Bid Form;
2. Special Conditions;
3. General Provisions;
4. The other provisions of the Contract, whether incorporated by reference or otherwise;
5. The Specifications; and
6. Drawings.

Y. Correction of Deficiencies

1. Definitions:

As used in this article:

- a. Deficiency means any condition or characteristics in any supplies (which term shall include related technical data) or services furnished hereunder, which is not in compliance with the requirements of this Contract.
- b. Correction means any and all actions necessary to eliminate any and all deficiencies.
- c. Supplies mean the end item(s) furnished by the Contractor and related services required under this Contract.

2. General:

- a. The rights and remedies of the Authority shall not be affected in any way by any other provisions under this

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

Contract concerning the conclusiveness of inspection and acceptance.

- b. The Contractor shall not be responsible under this article for the correction of deficiencies caused by the Authority. These shall be no extension in time for performance; no increase in contract price for the correction of deficiencies that are the responsibility of the Contractor, his suppliers, and/or subcontractors.

3. **Deficiencies in accepted supplies or services:**

If the Contracting Officer determines that a deficiency exists in any of the supplies or services accepted by the Authority under this Contract, he shall promptly notify the Contractor of the deficiency, in writing, within 30 days. Upon timely notification of the existence of such a deficiency, or if the Contractor independently discovers a deficiency in accepted supplies or services, the Contractor shall promptly submit to the Contracting Officer his recommendation for corrective actions, together with supporting information in sufficient detail for the Contracting Officer to determine what corrective action, if any, shall be undertaken.

4. **Correction of Deficiencies by Contractor:**

The Contractor shall promptly comply with any timely written direction by the Contracting Officer to correct or partially correct a deficiency, at no increase in the Contract price. The Contractor shall also prepare and furnish to the Authority data and reports applicable to any correction required under this article (including revision and updating of all other affected data called for under this Contract) at no increase in the Contract price.

5. **Deficiencies in supplies or services not yet accepted:**

If the Contractor becomes aware at any time before acceptance by the Authority (whether before or after tender to the Authority) that a deficiency exists in any supplies or services, he shall promptly correct the deficiency or, if he elects to invoke the procedures in paragraph c. above he shall promptly communicate information concerning the deficiency to the Contracting Officer, in writing, together with his detailed recommendation for corrective action.

6. **Extensions or Delays**

In no event shall the Authority be responsible for extension or delays in the scheduled deliveries or periods of performance under this Contract as a result of the Contractor's obligations to correct deficiencies, nor shall there be any adjustment of delivery schedule or period of performance as a result of corrections of deficiencies, except as may be agreed to by the Authority in a supplemental agreement with adequate consideration.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

7. Contract Price

It is hereby specifically recognized and agreed by the parties hereto that this article shall not be construed as obligating the Authority to increase the Contract price of this Contract.

8. Failure to correct:

If the Contractor fails or refuses to promptly rectify the deficiency the Contracting Officer shall give the Contractor written notice specifying the failure or refusal and setting a period after receipt of the notice within which it must be cured. If the failure or refusal is not cured within the specified period, the Contracting Officer may, by contract or otherwise, as required:

- a. Obtain detailed recommendations for corrective action;
- b. Correct the supplies or services, or
- c. Replace the supplies or services; and if the Contractor fails to furnish timely disposition instructions, the Contracting Officer may dispose of nonconforming supplies for the Contractor's account in a reasonable manner, in which case the Authority is entitled to reimbursement from the Contractor or from the proceeds for the reasonable expenses of case and disposition, as well as for excess costs incurred or to be incurred; and
- d. Obtain applicable data and reports; and charge to the Contractor the cost occasioned the Authority thereby.
- e. Impose Liquidated Damages in accordance with the terms of this document.
- f. Terminate the contract. Termination of contract by RIPTA does not relieve the contractor of any liquidated damages imposed by the Authority.

Z. Assignment

1. The Contractor shall not transfer the rights and obligations of the Contract to third parties without the prior written approval of the Authority's Contracting Officer. After review of facts and circumstances without exception the assignment shall not be approved unless the surety, in writing, agrees to that assignment and accepts the assignee as the Contractor and principal on the payment and/or performance bonds.
2. If this Contract provides for payments aggregating \$1,000 or more, claims for monies due or to become due the Contractor from the Authority under this Contract may be assigned to a bank, trust company, or other financing institution, including any Federal lending agency, any may thereafter by further assigned and reassigned to any institution. (Notice of such assignment shall be

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

made to the Authority.) Any such assignment or reassignment shall cover all amounts payable under this Contract and not already paid, and shall not be made to more than one party, except that any such assignment or reassignment or reassignment may be made to one party as agent or trustee for two or more parties participating in such financing. It is the Authority's intent to recognize only bona fide lending institutions; therefore, assignment to any private corporation, business or individual, which does not qualify as such, is specifically prohibited.

3. Any attempt to transfer by assignment not authorized by this article shall constitute a breach of the Contract and the Authority may for such cause terminate the right of the Contractor to proceed as provided in the DEFAULT article of these General Provisions, and the Contractor and his sureties shall be liable to the Authority for any excess costs incurred by the Authority.
3. The Rhode Island Public Transit Authority may assign some or all of its rights to purchase the items specified in this contract to one or more third parties, provided, however, that any such assignment shall not relieve RIPTA of its obligations under this contract unless otherwise agreed to by Contractor in writing.

AA. Certificates of Current Cost or Pricing Data

The Contractor shall provide a Certificate of Current Cost or Pricing Data as required in Subpart 15.804 of the Federal Acquisition Regulations (48 CFR 15.804) in support of any negotiated contract expected to exceed \$100,000 any modification to a formally advertised or negotiated contract on which the aggregate of the increase and decrease in cost are expected to exceed \$100,000; the Contracting Officer at his discretion may request cost or pricing data for modifications on which cost are \$100,000 or less and an attendant certificate of current cost or pricing data.

BB. Cargo Preference- Use of United States Flag Vessels

Pursuant to Pub. L 664 (56 U.S.C. 1241 (b)):

"Cargo Preference-Use of United States-Flag Vessels

The Contractor agrees

1. To utilize privately owned United States-flag commercial vessels to ship at least 50 percent of the gross tonnage (computed separately for dry bulk cargo liners, and tankers) involved, whenever shipping any equipment, materials, or commodities pursuant to this Contract, to the extent such vessels are available at fair and reasonable rates for United States-flag commercial vessels.
2. To furnish within 20 days following the date of loading for shipments originating within the United States, or within 30

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

working days following the date of loading for shipments originating outside the United States, a legible copy of a rated, "on-board" commercial ocean bill-of-lading in English for each shipment of cargo described in paragraph (1) above to the Grantee (through the prime Contractor in the care of subcontractor bills-of lading) and to the Division of National Cargo, Officer of Market Development, Maritime Administration, Washington, D.C. 20230, marked with appropriate identification of the Project.

3. To insert the substance of the provisions of this clause in all subcontracts issued pursuant to this Contract.

CC. Buy America Act

The Contractor agrees to comply with 49 U.S.C. §5323(j), and its implementing regulations at 49 C.F.R. Part 661, any amendments thereto, and any implementing guidelines issued by FTA.

DD. Equal Opportunity

1. Race, Color, Creed, National Origin, Sex.

In accordance with Title VII of the Civil Rights Act, as amended, 42 U.S.C. § 2000e, and Federal transit laws at 49 U.S.C. § 5332, the Contractor agrees to comply with all applicable equal employment opportunity requirements of U.S. Department of Labor (U.S. DOL) regulations, "Office of Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," 41 CFR Parts 60 et seq., (which implements Executive Order No. 11246, "Equal Employment Opportunity," as amended by Executive Order No. 11375, "Amending Executive Order 11246 Relating to Equal Employment Opportunity," 42 U.S.C. § 2000e note), and with any applicable Federal statutes, executive orders regulations, and Federal policies that may in the future affect construction activities undertaken in the course of the Project. The contract agrees to take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, creed, national origin, sex, or age. Such action shall include, but not be limited to, the following: employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

2. Age

In accordance with section 4 of the Age Discrimination in Employment Act of 1967, as amended, 29, U.S.C. § 623 and Federal Transit Law at 49 U.S.C. § 5332, the Contractor agrees to refrain from discrimination against present and prospective employees for reason of age. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

3. Disabilities

In accordance with section 102 of the Americans with Disabilities Act, as amended, 42 U.S.C. § 12112, the Contractor agrees that it will comply with the requirements of U.S. Equal Employment Opportunity Commission, "Regulations to Implement the Equal Employment Provisions of the Americans with Disabilities Act," 29 CFR Part 1630, pertaining to employment of persons with disabilities. In addition, the Contractor agrees to comply with any implementing requirements FTA may issue.

The contractor also agrees to include these requirements in each subcontract financed in whole or in part with Federal assistance provided by FTA, modified only if necessary to identify the affected parties.

EE. Nondiscrimination under Federal Grants

In accordance with Title VI of the Civil Rights Act, as amended, 42 U.S.C. § 2000d, section 303 of the Age Discrimination Act of 1975, as amended, 42 U.S.C. § 6102, section 202 of the Americans with Disabilities Act of 1990, 42 U.S.C. § 12132, and Federal transit law at 49 U.S.C. § 5332, the Contractor agrees that it will not discriminate against any employee or applicant for employment because of race, color, creed, national origin, sex, age, or disability. In addition, the Contract agrees to comply with applicable Federal implementing regulations and other implementing requirements FTA may issue.

FF. Rights in Data and Copyrights-FTA (June 1996)

The term "subject data" used in this section means recorded information, whether or not copyrighted, that is delivered or specified to be delivered under this contract. The term includes graphic or pictorial delineation in media such as drawings or photographs; text in specifications or related performance or design-type documents; machine forms such as punched cards, magnetic tape, or computer memory printouts; and information retained in computer memory. Example include, but are not limited to: computer software, engineering drawings and associated lists, specifications, standards, process sheets, manuals, technical reports, catalog item identifications, and related information. The term "subject data" does not include financial reports, cost analyses, and similar information incidental to Project administration.

When the Federal Transit Administration (FTA) provides financial assistance for a planning, research, development, or a demonstration project, it is FTA's general intention to increase mass transportation knowledge, rather than limit the benefits of the Project to participants in the Project. Therefore, unless FTA determines otherwise, the Contractor agrees that FTA may make available to any FTA recipient, subrecipient, third party contractor, or third party subcontractor, either FTA's license in the copyright to the subject data derived under this contract or a copy of the subject data as defined in subsection a. of this clause and shall be delivered as the Government may direct. Unless prohibited by

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

state law, the Contractor agrees to indemnify, save, and hold harmless RIPTA and the Government, their officers, agents, and employees acting within the scope of their official duties against any liability, including costs and expenses, resulting from any willful or intentional violation by the contractor of proprietary rights, copyrights, or right of privacy, arising out of the publication, translation, reproduction, delivery, use, or disposition of any data furnished under this Contract. The Contractor shall not be required to indemnify RIPTA and the Government for any such liability arising out of the wrongful acts of employees or agents of RIPTA and the Government.

GG. Davis-Bacon Act

40 USC &167; 276a -276a-5 (1998) 29 CFR § 5 (1999)

1. Minimum wages

- a. All laborers and mechanics employed or working upon the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of 1949 in the construction or development of the project), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics.

Contributions made or costs reasonably anticipated for bona fide fringe benefits under section 1(b)(2) of the Davis-Bacon Act on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (1)(iv) of this section; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics shall be paid the appropriate wage rate and fringe benefits on the wage determination for the classification of work actually performed, without regard to skill, except as provided in 29 CFR Part 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: Provided, That the employer's payroll records accurately set forth the time spent in each classification in which work

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

is performed. The wage determination and the Davis-Bacon poster (WH-1321) shall be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

- b. The contracting officer shall require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
 - i. Except with respect to helpers as defined as 29 CFR 5.2(n)(4), the work to be performed by the classification requested is not performed by a classification in the wage determination; and
 - ii. The classification is utilized in the area by the construction industry; and
 - iii. The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination; and
 - iv. With respect to helpers as defined in 29 CFR 5.2(n)(4), such a classification prevails in the area in which the work is performed.
- c. If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, U.S. Department of Labor, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- d. In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.

- e. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(ii) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.
- f. Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor shall either pay the benefit as stated in the wage determination or shall pay another bona fide fringe benefit or an hourly cash equivalent thereof.
- g. If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, Provided, That the Secretary of Labor has found, upon the written request of the contractor, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.
- h. The contracting officer shall require that any class of laborers or mechanics which is not listed in the wage determination and which is to be employed under the contract shall be classified in conformance with the wage determination. The contracting officer shall approve an additional classification and wage rate and fringe benefits therefore only when the following criteria have been met:
 - i. The work to be performed by the classification requested is not performed by a classification in the wage determination; and
 - ii. The classification is utilized in the area by the construction industry; and
 - iii. The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

the wage rates contained in the wage determination.

- j. If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken shall be sent by the contracting officer to the Administrator of the Wage and Hour Division, Employment Standards Administration, Washington, DC 20210. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- k. In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer shall refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination with 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- l. The wage rate (including fringe benefits where appropriate) determined pursuant to paragraphs (a)(1)(v) (B) or (C) of this section, shall be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

2. **Withholding**

The Rhode Island Public Transit Authority shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld from the contractor under this contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to Davis-Bacon prevailing wage requirements, which is held by the same prime contractor, so much of the accrued payments or advances as may be considered necessary to pay laborers and mechanics, including apprentices, trainees, and helpers, employed by the contractor or any subcontractor the full amount of wages required by the contract. In the event of failure to pay any laborer or mechanic, including any apprentice, trainee, or helper, employed or working on the site of the work (or under the United States Housing Act of 1937 or under the Housing Act of

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

1949 in the Rhode Island Public Transit Authority may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

3. Payrolls and basic records

- a. Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the name, address, and social security number of each such worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Bacon Act), daily and weekly number of hours worked, deductions made and actual wages paid. Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(iv) that the wages of any laborer or mechanic include the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Bacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.
- b.
 - i. The contractor shall submit weekly for each week in which any contract work is performed a copy of all payrolls to the Rhode Island Public Transit Authority for transmission to the Federal Transit Administration. The payrolls submitted shall set out accurately and completely all of the information required to be maintained under 29 CFR part 5. This information may be submitted in any form desired. Optional Form WH-347 is available for this purpose and may be purchased from the Superintendent of Documents (Federal Stock Number 029-005-00014-1), U.S. Government Printing Office, Washington, DC 20402. The prime

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

contractor is responsible for the submission of copies of payrolls by all subcontractors.

- ii. Each payroll submitted shall be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor or his or her agent who pays or supervises the payment of the persons employed under the contract and shall certify the following:

(1) That the payroll for the payroll period contains the information required to be maintained under 29 CFR part 5 and that such information is correct and complete;

(2) That each laborer or mechanic (including each helper, apprentice, and trainee) employed on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly from the full wages earned, other than permissible deductions as set forth in Regulations, 29 CFR part 3;

(3) That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification of work performed, as specified in the applicable wage determination incorporated into the contract.

- c. The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 shall satisfy the requirement for submission of the "Statement of Compliance" required by paragraph (a)(3)(ii)(B) of this section.
- d. The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under section 1001 of title 18 and section 231 of title 31 of the United States Code.

The contractor or subcontractor shall make the records required under paragraph (a)(3)(i) of this section available for inspection, copying, or transcription by authorized representatives of the Federal Transit Administration or the Department of Labor, and shall permit such representatives to interview employees during working hours on the job. If the contractor or subcontractor fails to submit the required records or to make them available, the Federal agency may, after written notice to the contractor, sponsor, applicant, or owner, take such action as may be necessary to cause the suspension of any further payment,

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available may be grounds for debarment action pursuant to 29 CFR 5.12.

4. Apprentices and trainees

- a. Apprentices - Apprentices will be permitted to work at less than the predetermined rate for the work they performed when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Bureau of Apprenticeship and Training, or with a State Apprenticeship Agency recognized by the Bureau, or if a person is employed in his or her first 90 days of probationary employment as an apprentice in such an apprenticeship program, who is not individually registered in the program, but who has been certified by the Bureau of Apprenticeship and Training or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice. The allowable ratio of apprentices to journeymen on the job site in any craft classification shall not be greater than the ratio permitted to the contractor as to the entire work force under the registered program. Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated above, shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. Where a contractor is performing construction on a project in a locality other than that in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyman's hourly rate) specified in the contractor's or subcontractor's registered program shall be observed. Every apprentice must be paid at not less than the rate specified in the registered program for the apprentice's level of progress, expressed as a percentage of the journeymen hourly rate specified in the applicable wage determination. Apprentices shall be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator of the Wage and Hour Division of the U.S. Department of Labor determines that a different practice prevails for the applicable apprentice classification, fringes shall be paid in accordance with that determination. In the event the Bureau of Apprenticeship and Training, or a

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

State Apprenticeship Agency recognized by the Bureau, withdraws approval of an apprenticeship program, the contractor will no longer be permitted to utilize apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.

- b. Trainees - Except as provided in 29 CFR 5.16, trainees will not be permitted to work at less than the predetermined rate for the work performed unless they are employed pursuant to and individually registered in a program which has received prior approval, evidenced by formal certification by the U.S. Department of Labor, Employment and Training Administration. The ratio of trainees to journeymen on the job site shall not be greater than permitted under the plan approved by the Employment and Training Administration. Every trainee must be paid at not less than the rate specified in the approved program for the trainee's level of progress, expressed as a percentage of the journeyman hourly rate specified in the applicable wage determination. Trainees shall be paid fringe benefits in accordance with the provisions of the trainee program. If the trainee program does not mention fringe benefits, trainees shall be paid the full amount of fringe benefits listed on the wage determination unless the Administrator of the Wage and Hour Division determines that there is an apprenticeship program associated with the corresponding journeyman wage rate on the wage determination which provides for less than full fringe benefits for apprentices. Any employee listed on the payroll at a trainee rate who is not registered and participating in a training plan approved by the Employment and Training Administration shall be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any trainee performing work on the job site in excess of the ratio permitted under the registered program shall be paid not less than the applicable wage rate on the wage determination for the work actually performed. In the event the Employment and Training Administration withdraws approval of a training program, the contractor will no longer be permitted to utilize trainees at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- c. Equal employment opportunity - The utilization of apprentices, trainees and journeymen under this part shall be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

5. **Compliance with Copeland Act requirements**
The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.
6. **Subcontracts**
The contractor or subcontractor shall insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (10) and such other clauses as the Federal Transit Administration may by appropriate instructions require, and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in 29 CFR 5.5.
7. **Contract termination: debarment**
A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.
8. **Compliance with Davis-Bacon and Related Act requirements**
All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.
9. **Disputes concerning labor standards**
Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.
10. **Certification of eligibility**
 - a. By entering into this contract, the contractor certifies that neither it (nor he or she) nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
 - b. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of section 3(a) of the Davis-Bacon Act or 29 CFR 5.12(a)(1).
 - c. The penalty for making false statements is prescribed in the U.S. Criminal Code, 18 U.S.C. 1001

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

HH. Contract Work Hours and Safety Standards Act.
40 U.S.C. 327-333 (1995) 29C.F.R. 5 (1995) 29 C.F.R. 1926 (1995)

1. Overtime requirements

No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such to work in excess of forty hours in such workweek unless such laborers or mechanics receive compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.

2. Violation; liability unpaid wages; liquidated damages

In the event of any violation of the clauses set forth in paragraph (1) of this section the contractor and any subcontractor responsible therefore shall be liable for the unpaid wages. In addition, such contractor and subcontractor shall be liable to the United States for liquidation damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchmen and guards, employed in violation of the clauses set forth in paragraph (1) of this section, in the sum of \$10 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clauses set forth in paragraph (1) of this section.

3. Withholding for unpaid wages; liquidated damages

The Rhode Island Public Transit Authority shall upon its own action or upon written request of an authorized representative of the Department of Labor withhold or cause to be withheld, from any moneys payable on account of work performed by the contractor or subcontractor under any such contract or any other Federal contract with the same prime contractor, or any other federally-assisted contract subject to the Contract Work Hours and Safety Standards Act, which is held by the same prime contractor, such sums as may be determined to be necessary to satisfy any liabilities of such contractor or subcontractor for unpaid wages and liquidated damages as provided in the clauses set forth in paragraph (2) of this section.

4. Subcontracts

The contractor or subcontractor shall insert in any subcontracts the clauses set forth in this section and also a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor shall be responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in this section. (Section 102 nonconstruction contracts should also have the following provision:)

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

5. **Payrolls and basic records**

Payrolls and basic records relating thereto shall be maintained by the contractor during the course of the work and preserved for a period of three years thereafter for all laborers and mechanics working at the site of the work (or under the United States Housing Act of 1937, or under the Housing Act of 1949, in the construction or development of the project). Such records shall contain the names, address, and social security number of each worker, his or her correct classification, hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in section 1(b)(2)(B) of the Davis-Beacon Act), daily and weekly number of hours worked, deductions. Whenever the made and actual wages paid Secretary of labor has found under 29 CFR 5.5 (a)(1)(iv) that the wages of any laborer or mechanic included the amount of any costs reasonably anticipated in providing benefits under a plan or program described in section 1(b)(2)(B) of the Davis-Beacon Act, the contractor shall maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits. Contractors employing apprentices or trainees under approved programs shall maintain written evidence of the registration of apprenticeship programs and certification of trainee programs, the registration of the apprentices and trainees, and the ratios and wage rates prescribed in the applicable programs.

6. **Contract Work Hours and Safety Standards Act**

The contractor agrees to comply with section 107 of the Contract Work Hours and Safety Standards Act, 40 U.S.C. section 333, and applicable DOL regulations, "Safety and Health Regulations for Construction" 29 C.F.R. Part 1926. Among other things, the Contractor agrees that it will not require any laborer or mechanic to work in unsanitary, hazardous, or dangerous surroundings or working conditions.

7. **Subcontracts**

The Contractor also agrees to comply with subcontract. The term "subcontract" under this section is considered to refer to a person who agrees to perform any part of the labor or material requirements of a contract for construction, alteration or repair. A person who undertakes to perform a portion of a contract involving the furnishing of supplies or materials will be considered a "subcontractor" under this section if the work in question involves the performance of construction work and is to be performed: (1) directly on or near the construction site, or (2) by the employer for the specific project on a customized basis. Thus, a supplier of materials, which will become an integral part of the construction, is a "subcontractor" if the supplier fabricates or assembles the goods or materials in question specifically for the construction project

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

and the work involved may say to be construction activity. If goods or materials in question are ordinarily sold to other customers from regular inventory, the supplier is not a "subcontractor." The requirements of this section do not apply to contracts or subcontracts for the purchase of supplies or materials or articles normally available on the open market.

II. Seismic Safety Requirements

42 U.S.C. 7701 et seq. 49 CFR Part 41

The contractor agrees that any new building or addition to an existing building will be designed and constructed in accordance with the standards for Seismic Safety required in Department of Transportation Seismic Safety Regulations 49 CFR Part 41 and will certify to compliance to the extent required by the regulation. The contractor also agrees to ensure that all work performed under this contract including work performed by a subcontractor is in compliance with the standards required by the Seismic Safety Regulations and the certification of compliance issued on the project.

JJ. Energy Conservation Requirements

42 U.S.C. 6321 et seq. 49 CFR Part 18

The contractor agrees to comply with mandatory standards and policies relating to energy efficiency, which are contained in the state energy conservation plan issued in compliance with the Energy Policy and Conservation Act.

KK. Clean Air

42 U.S.C. 7401 et seq 40 CFR 15.61 49 CFR Part 18

- 1 The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Clean Air Act, as amended, 42 U.S.C. §§ 7401 et seq. The Contractor agrees to report each violation to the Purchaser and understands and agrees that the Purchaser will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.
2. The Contractor also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided by FTA.

LL. Clean Water:

- 1 The Contractor agrees to comply with all applicable standards, orders or regulations issued pursuant to the Federal Water Pollution Control Act, as amended, 33 U.S.C. 1251 et seq. The Contractor agrees to report each violation to the Purchaser and understands and agrees that the Purchaser will, in turn, report each violation as required to assure notification to FTA and the appropriate EPA Regional Office.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

- 2 The Contractor also agrees to include these requirements in each subcontract exceeding \$100,000 financed in whole or in part with Federal assistance provided by FTA.

MM. Recovered Materials

The contractor agrees to comply with all the requirements of Section 6002 of the Resource Conservation and Recovery Act (RCRA), as amended (42 U.S.C. 6962), including but not limited to the regulatory provisions of 40 CFR Part 247, and Executive Order 12873, as they apply to the procurement of the items designated in Subpart B of 40 CFR Part 247.

NN. Fly America Requirements:

The Contractor agrees to comply with 49 U.S.C. 40118 (the "Fly America" Act) in accordance with the General Services Administration's regulations at 41 CFR Part 301-10, which provide that recipients and subrecipients of Federal funds and their contractors are required to use U.S. Flag air carriers for U.S Government-financed international air travel and transportation of their personal effects or property, to the extent such service is available, unless travel by foreign air carrier is a matter of necessity, as defined by the Fly America Act. The Contractor shall submit, if a foreign air carrier was used, an appropriate certification or memorandum adequately explaining why service by a U.S. flag air carrier was not available or why it was necessary to use a foreign air carrier and shall, in any event, provide a certificate of compliance with the Fly America requirements. The Contractor agrees to include the requirements of this section in all subcontracts that may involve international air transportation.

OO. National Intelligent Transportation Systems Architecture and Standards.

The Contractor agrees to conform, to the extent applicable, to the National Intelligent Transportation Systems (ITS) Architecture and Standards as required by section 5206(e) of TEA-21, 23 U.S.C. § 502 note, and comply with FTA Notice, "FTA National ITS Architecture Policy on Transit Projects" 66 Fed. Reg. 1455 *et seq.*, January 8, 2001, and other Federal requirements that may be issued

PP. Federal Changes:

Contractor shall at all times comply with all applicable FTA regulations, policies, procedures and directives, including without limitation those listed directly or by reference in the Agreement (Form FTA MA (9) dated October, 2002) between Purchaser and FTA, as they may be amended or promulgated from time to time during the term of this contract. Contractor's failure to so comply shall constitute a material breach of this contract.

QQ. Incorporation of Federal Transit Administration (FTA) Terms

The preceding provisions include, in part, certain Standard Terms and Conditions required by DOT, whether or not expressly set forth in the preceding contract provisions. All contractual provisions required by DOT, as set forth in FTA Circular 4220.1D, dated April 15, 1996, are hereby

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

incorporated by reference. Anything to the contrary herein notwithstanding, all FTA mandated terms shall be deemed to control in the event of a conflict with other provisions contained in this Agreement. The Contractor shall not perform any act, fail to perform any act, or refuse to comply with any RIPTA requests, which would cause RIPTA to be in violation of the FTA terms and conditions.

RR. Force Majeure

Neither Party shall be liable to the other Party for failure of or delay in performance of any obligation under this Agreement, directly or indirectly, owing to war, acts of terrorism, acts of God, embargoes, riots, strike and other events beyond its reasonable control, the effect of which, by the exercise of reasonable diligence, the non-performing party could not avoid. In the event that such failure or delay occurs, the affected Party shall notify the other Party of the occurrence thereof as soon as possible and the Parties shall discuss the best way to resolve the event of force majeure.

Neither party shall, however, be excused from performance if nonperformance is due to forces which are preventable, removable, or remediable and which the non-performing party could have, with the exercise of reasonable diligence, prevented, removed, or remedied with reasonable dispatch. The non-performing party shall within a reasonable time of being prevented or delayed from performance by an uncontrollable force, give written notice to the other party describing the circumstances and uncontrollable forces preventing continued performance of the obligations of this Agreement.

SS. Governing Law

The Contract shall be interpreted under and its performance governed by the laws of the State of Rhode Island."

TT. Indemnification

Bidders shall indemnify and hold harmless, the State of Rhode Island, all departments and division thereof and the Rhode Island Public Transit Authority from all liability, and said indemnification shall cover and include any and all aspects of liability arising from any lawsuit pertaining to the execution of this contract.

UU. Policy Concerning Federal and Stated False Claim Laws

As required by 42 U.S.C. §1396a(a)(68), the Rhode Island Public Transit Authority ("RIPTA") publishes the following information to all employees, contractors and agents about federal and state False Claims laws and RIPTA's policies to detect and prevent fraud, waste and abuse.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

1. **Prohibitions Against False Claims**
Federal False Claims Act

The federal False Claims Act, among other things, applies to the submission of claims for payment by Medicare, Medicaid and other federal and state programs. The False Claims Act is the federal government's primary civil remedy for improper or fraudulent claims. It applies to all federal programs, including welfare and health care benefits.

2. **Prohibitions of the Federal False Claims Act**

The False Claims Act prohibits, among other things:

- a knowingly presenting or causing to be presented to the federal government a false or fraudulent claim for payment or approval;
- b knowingly making or using, or causing to be made or used, a false record or statement in order to have a false or fraudulent claim paid or approved by the government;
- c conspiring to defraud the government by getting a false or fraudulent claim allowed or paid; and
- d knowingly making or using, or causing to be made or used, a false record or statement to conceal, avoid, or decrease an obligation to pay or transmit money or property to the government.

"Knowingly" means that a person, with respect to information: (1) has actual knowledge of the information; (2) acts in deliberate ignorance of the truth or falsity of the information; or (3) acts in reckless disregard of the truth or falsity of the information, and no proof of specific intent to defraud is required.

3. **Enforcement**

The United States Attorney General may bring civil actions for violations of the False Claims Act. As with most other civil actions, the government must establish its case by presenting only a preponderance of the evidence rather than by meeting the higher burden of proof that applies in criminal cases.

The False Claims Act allows private individuals to bring "qui tam" actions for violations of the Act.

VV. American with Disabilities Act

All products, equipment or construction provided in accordance with this contract shall comply with the current version of the Americans with Disabilities Act of 1990 - 42 U.S.C. 12101, et seq. at the time of the solicitation.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

VI. REQUEST FOR APPROVED EQUAL FORM

**This form must be submitted electronically IN MICROSOFT WORD
FORMAT TO RIPTA CONTRACTS MANAGER**

REQUEST FOR APPROVAL APPROVED EQUAL QUALIFICATION OR
CLARIFICATION

Page: _____

Ref: IFB NO. 11-25

Project No. _____

To: Rhode Island Public Transit Authority

From: _____

Page & Reference: _____

Request Description

Use Additional Sheet If More Space Is Required

Accepted: _____ Rejected: _____ See Addendum # _____

Explanation: _____

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

VII. REQUIRED BID SUBMISSIONS

The following items marked with an "X" must be submitted with Bid Response

Failure to submit forms may result in bid being deemed non-responsive

Required Company Information Form	<u> X </u>
Solicitation	<u> X </u>
Offer	<u> X </u>
Statement of Eligibility	<u> X </u>
Affidavit of Non-Collusion	<u> X </u>
Certification of Restrictions on Lobbying	<u> X </u>
Buy America Certificate FORM MUST BE SUBMITTED WITH BID, IF CHECKED, OR BID WILL BE CONSIDERED NON RESPONSIVE	<u> </u>
Disadvantaged Business Enterprise	<u> X </u>
General Contract Compliance Certificate Agreement (EEO)	<u> X </u>
Certification of Primary Participant Debarment This form must be signed by Legal Counsel)	<u> X </u>
Certification of a Subcontractor (Debarment) Each Subcontractor must fill in and sign.	<u> X </u>
Non-Resident Contractor (if applicable)	<u> X </u>
Davis Bacon Act Compliance	<u> X </u>
Drug & Alcohol Testing	<u> </u>
Bid Guarantee (Surety)	<u> X </u>

The following items marked with an "X" must be submitted AFTER AWARD of the Contract

Performance Bond	<u> X </u>
Certificate of Insurance- (as required in Section XX and the Specifications)	<u> X </u>

NOTE: ITEMS WITHOUT AN "X" AND THEIR RESPECTIVE TERMS AND CONDITIONS ARE NOT REQUIRED IN THIS BID

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

VIII. SOLICITATION FORM

COMPANY NAME _____

BID NO. OR PROJECT NO. 11-25

DESCRIPTION Kennedy Plaza Pavillion

A. BID REQUIREMENTS

Sealed Bids in original and Two copy(ies) will be received at the offices of the Rhode Island Public Transit Authority, Room 217, 705 Elmwood Avenue, Providence, Rhode Island 02907, at the Bid date and hour set forth on the Invitation for Bid or any time prior to the date and hour. Late proposals will not be accepted.

B. CONTRACT DOCUMENTS

By executing the offer form enclosed herewith, the Bidder agrees to provide all services set forth on the specifications attached hereto upon the terms and conditions set forth in paragraphs A, B, C and D.

C. PAYMENT SCHEDULE

Payment will not be made until receipt and installation of merchandise is accepted by the Transit Authority.

D. PLEASE COMPLETE THE PRICE INFORMATION WORKSHEETS FOUND IN THE SPECIFICATIONS

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

IX. OFFER FORM

Bidder understands that any condition other than stated in the specifications, clarification made to the above, or information submitted on or with this form, other than that requested, may render the bid non-responsive.

By execution below, Bidder hereby offers to furnish services in accordance with the contract documents that are a part of the specifications, and agrees to fully comply with the contract documents.

BID NO. 11-25

BIDDER _____

EMPLOYER IDENTIFICATION NO. _____

NAME _____

ADDRESS _____

CITY/STATE/ZIP _____

TYPE OF BUSINESS ENTITY: (Please check one)

Sole Proprietor _____

Partnership _____

Corporation _____

BIDDER'S CONTRACTING OFFICER

Name (*Please Print*)

Authorized Signature

Title

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

X. STATEMENT OF ELIGIBILITY FORM

The _____ hereby certifies that he/she

(Name of Bidder)

is/is not (underscore one) included on the Comptroller General's Lists of Persons or Firms Currently Barred for Violations of Various Public Contracts Incorporating Labor Standards Provisions.

Name of Firm

Address

City, State, Zip

Signature of Authorized Person

Date Authorized

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XI. AFFIDAVIT OF NON-COLLUSION FORM

I hereby swear (or affirm) under penalty for perjury:

1. that I am the Bidder (if the Bidder is an individual), a partner of the Bidder (if the Bidder is partnership), or an officer or employee of the Bidding corporation having authority to sign on its behalf (if the Bidder is a corporation).
2. that the attached Bid has been arrived at by the Bidder independently, and has been submitted without collusion with, and without agreement, understanding, or planned common course of action with, any other vendor of materials, supplies, equipment, services described in Invitation for Bids, designed to limit independent Bidding or competition.
3. that the contents of the Bid has not been communicated by the Bidder or its employees or agents, to any person not an employee or agent of the Bidder or its surety on any bond furnished with the Bid, and will not be communicated to any such person prior to the official opening of the bid; and
4. that I have fully informed myself regarding the accuracy of the statement made on this affidavit.

Name

Address

City, State, Zip

Signature of Authorized Official

Date Authorized

Subscribed and sworn before me this _____ day of _____, 20____

Notary Public

My commission expires _____

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XII. CERTIFICATION OF RESTRICTIONS ON LOBBYING FORM

I, _____, hereby certify on
(Name/title of Bidder Authorized Official)

behalf of: _____ that:
(Name of Bidder)

- 1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, grant, loan, or cooperative agreement.
- 2) If any funds other than Federal appropriated funds have been paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, or an employee of a member of Congress in connection with this Federal contract, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying", in accordance with its instructions.
- 3) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including Subcontracts, sub-grants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclosure accordingly.

This certification is a material representation of fact upon which reliance is placed when this transaction was made or entered into. Submission of the certification is a prerequisite for making or entering into this transaction imposed by Section 1352, Title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Executed this _____ day of _____, 20_____.

By _____
(Signature of Authorized Official)

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XIII. BUY AMERICA CERTIFICATION REQUIREMENT ! **FOR PROCUREMENT OF STEEL OR MANUFACTURED PRODUCTS**

49 U.S.C. 5323 (j) and 49 CFR 661 provide that Federal funds may not obligated for mass transportation projects unless steel and manufactured products used in these projects are produced in the United States.

If steel or manufactured products are being procured, the appropriate certificate as set forth below shall be completed and submitted by each Bidder.

Certificate of Compliance-The Bidder hereby certifies that it will comply with the requirements of 49 U.S.C. 5323 (j)(1) and applicable regulations on and 49 CFR 661.

COMPANY NAME _____

SIGNATURE _____

TITLE _____

DATE _____

Certification of Non-Compliance-The Bidder hereby certifies that it cannot comply with the requirements 49 U.S.C. 5323 (j)(1).

COMPANY NAME _____

SIGNATURE _____

TITLE _____

DATE _____

**FORM MUST BE SIGNED AND SUBMITTED WITH BID OR BID WILL BE
CONSIDERED TO BE NON-RESPONSIVE.**

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XIV. BUY AMERICA CERTIFICATION REQUIREMENT II **OF PROCUREMENT OF BUSES, OTHER ROLLING STOCK AND** **ASSOCIATED EQUIPMENT**

49 U.S.C. 5323 (j) and 49 CFR 661 provides that no Federal funds be obligated for procurement of buses, other rolling stock and associated equipment unless the following conditions are met:

1. The cost of components which are produced in the United States is more than 60 per centum (60%) of the cost of all components of the vehicle or equipment described in this paragraph; and
2. Final assembly of the vehicle or equipment described in this paragraph has taken place in the United States.

If buses or other rolling stock (including train control, communication and traction power equipment) are being procured, the appropriate certificate as set forth below shall be completed and submitted by each Bidder in accordance with the requirements.

Certificate of Compliance-The Bidder hereby certifies that it **will comply** with the requirements of the 49 U.S.C. 5323 (j)(2)(c) and 49 CFR 661.

COMPANY NAME _____

SIGNATURE _____

TITLE _____

DATE _____

Certificate of non-Compliance-The Bidder hereby certifies that it **cannot comply** with the requirements of the Surface Transportation Assistance Act of 1982, as amended, but may qualify for an exception to the requirements.

COMPANY NAME _____

SIGNATURE _____

TITLE _____

DATE _____

FORM MUST BE SIGNED AND SUBMITTED WITH BID OR BID WILL BE
CONSIDERED TO BE NON-RESPONSIVE.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XV. BUY AMERICA PRE-AWARD AND POST-DELIVERY AUDITS:

A. Prior to Contract award,

The apparent successful offeror shall provide to the Authority's auditors the cost of the components and subcomponents to be used in the manufacturing of the rolling stock, their country of origin, the location of final assembly, the activities that will take place at the location and pertinent supporting documentation for the purpose of RIPTA performing the cited Pre-Award Audit of Buy-America requirements.

B. After delivery and acceptance of the vehicles,

The Contractor shall provide to the Authority's auditors the cost of the components and subcomponents used in the manufacture of the rolling stock, their country of origin, the location of final assembly, the activities that took place at the location and pertinent supporting documentation to enable RIPTA to perform the cited Post-Delivery Audit of Buy America Requirements.

C. Authority Review

The contractor shall facilitate the reviews by the Authority's auditors by providing the supporting documentation for the above information in a timely fashion.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XVI. DISADVANTAGED BUSINESS ENTERPRISES PROGRAM

Bidders are strongly encouraged to have Disadvantage Business Enterprise Participation, regardless of the DBE Goal in this Bid.

DBE Special Provisions

A firm's DBE Participation and/or demonstration of a "Good Faith Effort" will be considered when reviewing submittals for responsiveness.

A. Policy

1. It is the policy of Department of Transportation (DOT) that the DBE requirements in 49 CFR Part 26, as amended, shall have the maximum opportunity to participate in the performance of contracts financed in whole or in part with Federal funds under this agreement. Consequently, the DBE requirements of 49 CFR Part 26, as amended, apply to this agreement.
2. DBE Obligation – RIPTA or its contractor agrees to ensure that DBE's as defined in 49 CFR Part 26, as amended, have the maximum opportunity to participate in the performance of contracts and subcontracts financed in whole or in part with Federal funds provided under this agreement. In this regard, RIPTA or its contractors shall take all necessary and reasonable steps in accordance with 49 CFR Part 26, as amended, to ensure that DBE's have the maximum opportunity to compete for and perform contracts. RIPTA and its contractors shall not discriminate on the basis of race, color, religion, national origin, age or sex in the award and performance of DOT assisted contracts.
3. Contractor Obligation – Contractors and Subcontractors failing to carry out the requirement set forth in 1 and 2 above, shall constitute a breach of contract and, after the notification to the Department (DOT), may result in termination of the agreement or contract by RIPTA or such remedy as RIPTA deems appropriate.

B. DBE Utilization

1. DBE Utilization

The Contractor agrees to provide for full and fair utilization of Disadvantaged Business Enterprises (DBEs) by complying with the requirements of this clause. Included in these requirements is the achievement of the stated goal for the utilization of DBEs in the performance of work under this contract. Nothing in this clause shall be construed to require the utilization of any DBE, which is either not qualified or unavailable.

2. Utilization Goal

For the purpose of this contract, the goal for utilization of DBEs shall be as follows: 20 percent. DBE participation of the Contract Dollar Amount. **All DBEs submitted must be certified by the State of Rhode Island at the time of Bid Submittal A copy of DBE Certification Letter from the Rhode Island Office of Civil Rights for each DBE firm listed s must accompany the bid submittal.**

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

C. Definitions:

The terms used in these special provisions shall be defined as follows:

1. Joint Venture

an association of two or more persons to carry out a single business enterprise for profit, for which purpose they combine their property, money, efforts, skills and knowledge.

2. Disadvantaged Business

means a small business concern in which is, at least, 51 percent owned by one or more socially and economically disadvantaged individuals, or, in the case of any publicly owned business, at least 51 percent of the stock of which is owned by one or more socially and economically, disadvantaged individuals who own it.

3. Small Business Concern

a small business as defined pursuant to Section 3 of the Small Business Act and relevant regulations promulgated pursuant thereto.

4. Socially and Economically Disadvantaged Individuals

means those individuals who are citizens of the United States (or lawfully admitted permanent residents) and who are women, Black Americans, Hispanic Americans, Native Americans, Asian-Pacific Americans, or Asian-Indian Americans and any other minorities of individuals found to be disadvantaged by the Small Business Administration pursuant to Section 8 (a) of the Small Business Act, RIPTA shall make a rebuttal presumption the individuals in the following groups are socially and economically disadvantaged. RIPTA may also determine, on a case-by-case basis, that individuals who are not a member of one of the following groups are socially and economically disadvantaged:

- a. **Black Americans**, which includes persons having origins in any of the Black racial groups of Africa;
- b. **Hispanic Americans**, which includes persons of Mexican, Puerto Rican, Cuban, Central or South America, or other Spanish culture or Portuguese culture, regardless of race;
- c. **Native Americans**, which includes persons who are American Indian, Eskimo, Aleuts, or Native Hawaiians;
- d. **Asian-Pacific Americans**, which includes persons whose origins are Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guam, the U.S. Trust Territories of the Pacific, and the Northern Marianas; and
- e. **Asian-Indian Americans**, which includes persons whose origins are from India, Pakistan, and Bangladesh.
- f. **Disadvantaged Business Enterprise (DBE) Liaison Officer** – the individual designated by the Authority to

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

monitor compliance with these Special Provisions and to assist in their implementation.

- g. **Bidder** – any individual, partnership, joint venture, corporation or firm submitting a Bid for the contract.

5. **Recognition of DBE Commitment**

Each Bidder shall recognize RIPTA's commitment to insure that DBE's be afforded full opportunity to participate in contracts awarded by RIPTA and will not be discriminated against on the grounds of race, religion, color, national origin, handicap, age or sex.

6. **Submissions**

All Bidders shall submit the following information with the Bid by using the Schedule of DBE Participation (Attachment A);

1. The Name and address of each DBE firm that will participate in the contract;
2. A description of the work each named DBE firm will perform; and
3. The dollar amount of participation by each named DBE firm.

The Authority encourages firms located in the United States that are currently certified as DBEs by Federal, State and Local agencies to apply for certification in the State of Rhode Island.

If a minority business would like to be certified by the State of Rhode Island contact: Mr. Charles Newton, Department of Administration, 1 Capital Hill, Providence, Rhode Island, 02908, Telephone (401) 222-6253.

If the apparent successful competitor's submissions does not satisfy the goal, RIPTA shall determine whether the apparent successful competitor has made good faith efforts to obtain DBE participation in accordance with the guidelines stated in Paragraph F, Sub-paragraph 1, below.

Unsuccessful efforts in gaining DBE participation must be documented on the "DBE Unavailability Certification" attached hereto as Attachment D. Meeting the DBE contract goals or making good faith efforts to meet the goals is a condition of receiving a Federal Transit Administration assisted contract for which contract goals have been established by RIPTA. The legitimacy of each DBE or disadvantaged-majority joint venture shall be determined by RIPTA, based on the information submitted in the affidavits attached hereto as Attachments C and D. RIPTA will require all prime contractors to make good faith efforts to replace a DBE Subcontractor that is unable to perform successfully with another DBE. RIPTA shall approve all substitutions of Subcontractors **before** award of contract and

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

during contract performance, in order that substitute firms are eligible DBE's.

7. Procedure Prior to Contract Award

a. Guidance Concerning Good Faith Efforts to Meet DBE Contract Goals.

RIPTA may decide that a competitor that has failed to meet DBE contract goals may receive the contract upon determining that the efforts the competitor made to obtain DBE participation were "good faith efforts" to meet the goal. RIPTA shall not consider efforts that are merely pro forma to be good faith efforts to meet the goals, even if they are sincerely motivated, if, given all relevant circumstances, they could not reasonably be expected to produce a level of DBE participation sufficient to meet the goals. In order to award a contract to a competitor that has failed to meet DBE contract goals, RIPTA must determine that the competitor's efforts were those that, given all relevant circumstances, a competitor, actively and aggressively seeking to meet the goals would make.

Following is a list of the kinds of efforts RIPTA may consider. The list is not exclusive or exhaustive and in appropriate cases RIPTA shall consider other relevant factors or types of efforts. RIPTA shall consider not only the different kinds of efforts the contractor has made, but also the quantity and intensity of those efforts. All information must be in writing and copies of all ads, written notices, follow-up letters and/or all other correspondence must be presented whenever a waiver is asked for.

RIPTA will consider the following efforts:

- i. whether the contractor attended any pre-solicitation or pre-Bid meetings that were scheduled by RIPTA to inform DBEs of contracting opportunities;
- ii. whether the contractor advertised in general circulation, trade association, and disadvantaged focus media concerning the Subcontracting opportunities;
- iii. whether the contractor provided written notice to a reasonable number of specific DBEs that their interest in the contract was being solicited in sufficient time to allow the DBEs to participate effectively;
- iv. whether the contractor followed up initial solicitation of interest by contracting DBEs to determine with certainty whether the DBEs were interested;

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

- v. whether the contractor selected portions of the work to be performed by DBEs in order to increase the likelihood of meeting the DBE goals including, where appropriate, breaking down contracts into economically feasible units to facilitate DBE participation;
- vi. whether the contractor provided interested DBEs with adequate information about the plans, specifications and requirements of the contract;
- vii. whether the contractor negotiated in good faith with interested DBEs, not rejecting DBEs as unqualified without sound reasons based on a thorough investigation of their capabilities;
- viii. whether the contractor made efforts to assist interested DBEs in obtaining bonding lines of credit, or insurance required by RIPTA or contractor; and
- ix. whether the contractor effectively used the services of available disadvantaged community organizations, disadvantaged contractor's groups, Local, State and Federal disadvantaged business assistance offices, and other organizations that provide assistance in the recruitment and place of DBEs.

8. **Bid, Execution and Compliance with Subcontracts**

Prior to the execution of a contract between RIPTA and the successful Bidder, the Bidder shall present, for RIPTA's approval, DBE Subcontracts corresponding in all respects to the proposed agreements. Upon approval by RIPTA, the successful Bidder shall enter into each such approved DBE Subcontract and shall thereafter neither terminate such DBE nor reduce the scope of the work to be performed by, or decrease the price to be paid to, the DBE and the disadvantaged non-disadvantaged joint venture thereunder without in each instance the prior written approval of RIPTA.

9. **Substitution of Subcontractors**

RIPTA shall review for its approval all substitutions of Subcontractors in order to determine if the percentage goal will be decreased by substitution of a disadvantaged contract/supplier with a non-disadvantaged contractor/supplier.

Where RIPTA has approved termination of a Subcontract held by an DBE or disadvantaged non-disadvantaged joint venture, the successful Bidder shall make every reasonable effort to propose and enter into an alternative Subcontract or Subcontracts for the same work to be performed by another qualified DBE for a contract price or prices totaling not less than the contract price of

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

the terminated Subcontract. Satisfactory evidence of reasonable efforts shall be timely furnished to RIPTA.

10. Program Compliance

At all times, discrimination on the basis of race, color, religion, national origin, handicap, age or sex will not be tolerated. RIPTA will monitor the schedule for participation by disadvantaged contractors in an effort to isolate those prime contractors who do not adhere to the non-discriminatory policies of RIPTA. If such contractor fails to respond to counseling with respect to the disposition of Subcontracts pertaining to RIPTA funds, RIPTA reserves the right to terminate the contract and to consider future Bids of such contractor to be non-responsive in the absence of written assurance from it of the full opportunity for DBEs to participate in its awards of Subcontracts, together with the follow-up to verify such participation.

11. Maintenance of Records

All records relating to the contract shall be maintained by the contractor for a period of three (3) years after project completion.

12. Contract Assurance

The contractor or subcontractor shall not discriminate on the basis of race, color, national origin, or sex in the performance of the contract. The contractor shall carry out applicable requirements of 49 CFR part 26 in the award and administration of DOT assisted contracts. Failure by the contractor to carry out these requirements is a material breach of contract, which may result in the termination of this contract or such other remedy, as the recipient deems appropriate.

13. Prompt Payment

The prime contractor agrees to pay each subcontractor under this prime contract for satisfactory performance of its contract no later than 30 days from the receipt of each payment the prime contractor receives from the Rhode Island Public Transit Authority. The prime contractor agrees further to return retainage payments to each subcontractor within 30 days after the subcontractor's work is satisfactorily completed. Any delay or postponement of payment from the above reference time frame may occur only for good cause following written approval of the Rhode Island Public Transit Authority. This clause applies to both DBE and non-DBE subcontractors.

14. Monitoring Payments to DBEs

RIPTA requires that prime contractors to maintain records and documents of payments to DBEs for three years following the performance of the contract. These records shall be made available for inspection upon request by any

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

authorized representative of RIPTA or United States Department of Transportation. This requirement also extends to any DBE Subcontractor. Reports of payments to DBE Subcontractors shall be provided to the RIPTA DBE Liaison Officer on a monthly basis. Failure to submit these reports on a timely basis may result in delay of payments.

XVII. DISADVANTAGED BUSINESS ENTERPRISE REQUIRED FORMS

Attachment A: Schedule of DBE Participation

Submitted if DBE firm or firms will be participating in the Bid.

Attachment B: DBE Application Agreement

Submitted if DBE firm or firms will be participating in the Bid.

Attachment C: Letter of Intent to Perform as a Subcontractor

Submitted if DBE firm or firms will be participating in the Bid.

Attachment D: DBE Unavailability Summary Sheet

Submitted if DBE firm or firms you have contacted cannot participate.

This form is used to document good faith effort. This form only needs to be completed when there is a DBE Participation Goal.

Attachment E: Narrative Explanation for Lack of DBE Participation

Submitted by the Prime Contractor to explain lack of DBE participation.

Attachment F: Documentation of DBE/WBE Utilization

To be filled in by the DBE firm and the prime contractor once the DBE Subcontractor has been paid.

Please Note: Final payment to the Prime Contractor will be held until this form or forms are received for each DBE Subcontractor.

DBE FIRMS BIDDING AS A PRIME CONTRACTOR: the following forms must be filled in, signed and submitted with the Bid:

Attachment A, Attachment B

Please state, on these forms, that you are bidding as a prime contractor.

CERTIFICATION LETTER OR NOTIFICATION MUST BE INCLUDED FOR EACH DBE FROM THE STATE OF RHODE ISLAND

Please record by letter (using the list below) under the DBE Category Column found on Attachment A: Schedule of DBE Participation Form on the following page

- a. "Black Americans", which includes persons having origins in any of the Black racial groups of Africa;
- b. "Hispanic Americans", which includes persons of Mexicans, Puerto Rican, Cuban, Central or South America, or other Spanish culture or Portuguese or origin, regardless of race;
- c. "Native Americans", which include persons who are American Indian, Eskimos, Aleuts, or Native Hawaiians;
- d. "Asia-Pacific Americans", which includes persons whose origins are from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guam, the U.S. Trust Territories of the Pacific, and the Northern Marianas;
- e. "Asian-Indian Americans", which includes persons whose origins are from India, Pakistan, and Bangladesh; and
- f. any other minorities or individuals found to be disadvantaged by the Small Business Administration pursuant to Section 8 (a) of the Small Business Act.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY
Invitation for Bid Number 11-25

SCHEDULE OF DBE PARTICIPATION

A. Attachment A

Company Name: _____

Project Number: 11-25 **Project:** Kennedy Plaza Pavillion

*Please provide copy of DBE Certification Letter for each DBE firm listed from the Rhode Island Office of Civil Rights. **DBE Vendors must be certified in the State of Rhode Island at the time of bid submittal to be considered.**

DBE Firm Name	DBE Firm Address	DBE Category	Phone Number/Email	Contact Name	Work to be Performed	Estimated Value Dollars	Estimated Value Percent of Bid

The undersigned will enter into a formal agreement with Disadvantaged Business Enterprise firms for work listed in this schedule conditioned upon execution of a contract with the Rhode Island Public Transit Authority.

Authorized Signature of Bidder Official

***Use additional forms as needed.**

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

LETTER OF INTENT TO PERFORM AS A SUBCONTRACTOR

C. Attachment C

To: _____
(Name of Prime or General Bidder)

The undersigned intends to perform work in connection with the above project as (check one):

___ an individual

___ a corporation

___ a partnership

___ a joint venture

The undersigned is prepared to perform the following described work in connection with the above project (specify in detail particular work items or parts thereof to be performed).

for the following compensation: _____

(Name of DBE Contractor)

RHODE ISLAND PUBLIC TRANSIT AUTHORITY
Invitation for Bid Number 11-25

DBE GOOD FAITH EFFORT SUMMARY SHEET

D. Attachment D.

RIPTA requires a listing of DBE firms contacted; but not able to perform work. Use additional pages as needed. The DBE Goal for this project is 20 percent.

Project Name: **Kennedy Plaza Pavillion**

Project Number: **11-25**

DBE Firm Name	DBE Firm Address	DBE Category	Phone Number Email Address	Contact Name	Reason Unable to Perform Work

Form completed by: _____

Date: _____

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

NARRATIVE EXPLANATION FOR LACK OF DBE PARTICIPATION

E. Attachment E

Company Name: _____

Project Number: 11-25

Project Name: Kennedy Plaza Pavillion

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There are no margins, text, or other markings on the paper.

(Authorized Signature of Prime Contractor)

TO BE FILLED IN BY THE PRIME CONTRACTOR TO EXPLAIN LACK OF DBE PARTICIPATION.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

DOCUMENTATION OF DBE UTILIZATION

F. Attachment F

RIPTA Contract Number: 11-25

Prime Contractor: _____

DBE Name: _____

Starting Date: _____ **Completion Date:** _____

This is to verify the following:

- I was the approved DBE on the above contract.
- I performed the items of work Subcontracted.
- I actually received \$_____ for my work.

(Signature & Title of DBE)

(Date)

(Signature & Title of Prime Contractor)

(Date)

This form is to be filled in by the DBE firm and the prime contractor once the DBE Subcontractor has been paid.

Please Note: Final payment to the Prime Contractor will be held until this form or forms are received for each DBE Subcontractor.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XVIII. PERFORMANCE BOND INFORMATION

The selected Bidder shall furnish, within twenty (20) calendar days (if required) after the date of notice of award of contract by RIPTA, a Performance Bond in the amount of 100% of the Bid amount covering the faithful performance of the contract.

The Performance Bond is to be secured through an insurance company or companies which is licensed in the State of Rhode Island or which is approved by the Authority.

The Bond will remain in effect throughout the contract period as specified.

XIX. BID GUARANTEE (SURETY)

A Bid Guarantee (if required) shall be submitted with the bid response. This guarantee shall be equivalent to five (5) percent of the bid price. The "bid guaranty shall consist of a firm commitment such a bid bond, certified check, or other negotiable instrument accompanying a bid as assurance that the bidder will, upon will, upon acceptance of its bid, execute such contractual documents as may be required within twenty (20) calendar days after the date of notice of award of contract by RIPTA.

The Bid Bond is to be secured through an insurance company or companies which is licensed in the State of Rhode Island or which is approved by the Authority.

XX. REQUIRED INSURANCE

The bidder will be required to secure and maintain the following insurance coverages:

1. Commercial comprehensive general liability insurance, with limits of \$3,000,000.00 per accident and \$5,000,000.00 aggregate.
2. Workers' Compensation Coverage in accordance with RI Statutory requirements.
3. The Rhode Island Public Transit Authority shall be named as additional insured under said policies.
4. Automotive Liability Insurance
\$1,000,000.00 per accident and \$3,000,000.00 aggregate: bodily injury.
\$1,000,000.00 property damage

Bidder shall provide to RIPTA Contracts Manager a Certificate of Insurance upon award of contract. This Certificate shall be kept in effect at all times. Current copies shall be provided to the Contracts Manager

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXI. GENERAL CONTRACT COMPLIANCE CERTIFICATE AND AGREEMENT

RHODE ISLAND STATE EQUAL OPPORTUNITY OFFICE

The undersigned Contractor agrees and certifies, unless otherwise exempt, that it is in compliance with the applicable requirements of Federal Executive order No. 11246, as amended, Rhode Island General Law 28-5.1-10, and other regulations as issued by the Rhode Island Public Transit Authority, as set forth below, or will take steps to comply with such requirements prior to acceptance of any order from us. This agreement and certificate shall form a part of, and be deemed incorporated in, each order submitted to you for supplies or services exceeding \$10,000. Failure to comply will be considered a substantial breach of the contract.

A. Equal Opportunity Clause

During the performance of this contract, the Contractor agrees as follows:

1. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or natural origin. The Contractor will take affirmative action to ensure that applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, or national origin. Such action shall include, but not be limited to, the following: employment, upgrading, or transfer, recruitment or recruitment advertising, layoff or termination, rates of pay or other forms of compensation, and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of the non-discrimination clause.
2. The Contractor will, in all solicitations or advertisements for placed by or on behalf of the contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, or national origin.
3. The Contractor will send to each labor union or representative of workers with which he/she has collective bargaining agreement or other contract or understanding a notice, advising the labor union or worker's representative of the Contractor's commitments under Section 202 of Federal Executive Order No. 11246, as amended, Rhode Island Law 28-5.1-10, and other regulations and relevant orders of the Secretary of Labor.
4. The Contractor will comply with all provisions of Federal Executive Order No. 11246, as amended, Rhode Island General Law 28-5.1-10, and other regulations and relevant orders of the Secretary of Labor.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

- 5 The Contractor will furnish all information and reports required by Executive Order No. 11246, as amended, Rhode Island General Law 28-5.1-10 and other regulations as issued by the State of Rhode Island, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his/her books, records and accounts by the State Equal Opportunity Office and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations and orders.
6. In the event of the Contractor's non-compliance with the non-discrimination clauses of this contract or with any of such rules, regulations, or orders, this contract may be cancelled, terminated or suspended in whole or part, and the Contractor may be declared ineligible for further State contracts in accordance with procedures authorized in Federal Executive Order No. 11246, as amended, Rhode Island General Law 28-5.1-10 , and other regulations as issued by the State of Rhode, and such other sanctions may be imposed and remedies invoked as provided in Federal Executive Order No. 11246, as amended, Rhode Island Transit Authority, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law, or the State of Rhode Island and Providence Plantations.
- 7 The Contractor will include the provisions of paragraphs (1) through (7) in every Subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Section 204 of Federal Executive Order No. 11246, as amended, Rhode Island General Law 28-5.1-10, and other regulations as issued by the Rhode Island Public Transit Authority, so that such provisions will be binding upon each Subcontractor or vendor. The Contractor will take such action with respect to any Subcontract or purchase order as the contracting agency may direct as a means of enforcing such provisions including sanctions for non-compliance; provided, however, that in the event the Contractor becomes involved in, or is threatened with litigation with a Subcontractor or vendor as a result of such direction by the contracting agency, the Contractor may request the United States and the State of Rhode Island to enter into such litigation to protect the interest of the United States and the State of Rhode Island.

B. Age Discrimination

Pursuant to Federal Executive Order No. 11246, as amended, the Contractor will not, in connection with the employment, advancement or discharge of employees, or in connection with the terms, conditions, or privileges of their employment, discriminate against persons because of their age except upon the basis of a bona fide occupational qualification, retirement plan or statutory requirement, nor will the Contractor specify, in solicitations or advertisements for employees, a maximum age limit for employment unless the specified maximum age limit is based upon a bona fide occupational qualification, retirement plan or statutory requirement.

C. Employment of the Handicapped

1. Contractor will not discriminate against any employee or applicant for employment because of physical or mental handicap in regard to any position for which the employee or applicant for employment is qualified. Contractor agrees to take affirmative action to employ, advance in employment, and otherwise treat qualified handicapped individuals without discrimination based upon their physical or mental handicap in all employment practices such as the following

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

employment, upgrading, demotion or transfer, recruitment or recruitment advertising; layoff or termination, rates of selection for training, including apprenticeship.

2. Contractor agrees that if a handicapped individual files a complaint with him/her that he/she is not complying with the requirements of the Rehabilitation Act of 1973, he/she will (1) investigate the complaint and take appropriate action consistent with requirements of 41 CFR Part 60-741.29 and (2) maintain on file for three years, the record regarding the complaint and the actions taken.
3. Contractor agrees that if a handicapped individual files a complaint with the Department of Labor that he/she has not complied with the requirements of the act, (1) he/she will cooperate with the Department in its investigation of the complaint, and (2) he/she will provide all pertinent information regarding his/her employment practices with respect to the handicapped.
4. Contractor agrees to comply with the rules and regulations of Section 503 of the Rehabilitation Act of 1973 as interpreted in 41 CFR Part 60-741.29.
5. in the event of Contractor's noncompliance with the requirements of this clause contract may be terminated or suspended in whole or in part.
6. This clause shall be included in all Subcontracts. In the event that this contract exceeds \$10,000 but is less than \$500,000 and provides for performance in 90 days or more, Contractor further agrees as follows:
7. Contractor agrees (1) to establish an affirmative action program, appropriate procedures consistent with the guidelines and the rules of the Secretary of Labor, will provide the affirmative action regarding employment and advancement of the handicapped required by P.L. 93-516, (2) to publish the program in the employees or personnel handbook or otherwise distribute a copy to all personnel, (3) to review the program each year and to make such changes as may be appropriate, and (4) to designate one of the principal officials to be responsible for the establishment and operation of the program.
8. Contractor agrees to permit the examination by appropriate contracting agency officials or the Assistant Secretary for Employment Standards or the designee, of pertinent books, documents, papers and records concerning employment and advancement of the handicapped.
9. Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices in a form to be prescribed by the Assistant Secretary for Employment Standards, provided by the contracting officer, stating Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified handicapped employees and applicants for employment and the rights and remedies available.
10. Contractor will notify each labor union or representative of workers with which he/she has a collective bargaining agreement or other contract understanding, that he/she is bound by the terms of Section 503 of the Rehabilitation Act, and is committed to take affirmative action to employ and advance in employment, physically and mentally handicapped individuals.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

In the event this contract exceeds \$100,000 and provides for performance in 90 days or more, Contractor further agrees as follows:

11. Contractor agrees to submit a copy of his/her affirmative action program to the State Equal Opportunity Office within 30 days after the award of a contract or Subcontract.
12. Contractor agrees to submit a summary report to the State of Rhode Island and Providence Plantations Equal Opportunity Office by March 31 of each year during performance of the contract and by March 31 of the year following completion of the contract, in the form prescribed by State Equal Opportunity Office covering employment and complaint experience accommodations made and all steps taken to effectuate and carry out the commitments set forth in the affirmative action program.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXII. CERTIFICATE OF NON-SEGREGATED FACILITIES

Contractor certifies that he/she does not maintain or provide for his/her Employees any segregated facilities at any of his/her establishments, and that he/she does not permit his/her employees to perform their services at any such location, under his/her control, where segregated facilities are maintained. He/she certifies further that he/she will not permit his/her employees to perform their services at any location, under his/her control, where segregated facilities are maintained. Contractor agrees that a breach of this certification is a violation of the Equal Opportunity Clause in this contract. As used in this certification, the term "Segregated Facilities" means any waiting room, work areas, rest rooms, and wash rooms, restaurants and other eating areas, parking lots, drinking fountains, recreation or entertainment areas, transportation, and housing facilities provided for employees which are segregated by explicit directive or are, in fact, segregated on the basis of race, creed, color, or national origin, because of habit, local custom, or otherwise. He/she further agrees that (except where he/she has obtained identical certifications from proposed Subcontractors for specific time periods), he/she will obtain identical certifications from proposed Subcontractors prior to the award of Subcontracts exceeding \$10,000, which are not exempt from the provisions of the Equal Opportunity Clause; that he/she will forward the following notice to proposed Subcontractors (except where the proposed Subcontractors have submitted identical certifications for specific time periods).

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXIII. NOTICE OF PROSPECTIVE SUBCONTRACTORS **OF REQUIREMENT FOR CERTIFICATION OF NONSEGREGATED FACILITIES**

A Certificate of Nonsegregated Facilities must be submitted prior to the award of a Subcontract exceeding \$10,000 which is not exempt from the provisions of the Equal Opportunity Clause. The certification may be submitted either for each Subcontract or for all Subcontracts during a period (i.e. quarterly, semi-annually, or annually).

NOTE: The penalty for making false statements in offers is prescribed in 18 USA 1001.

A. Affirmative Action Compliance Program

Contractor agrees to develop a written Affirmative Action Compliance Program for each of its establishments as required by Section 60-1.40 of Title 41 of the Code of Federal Regulations.

B. Employer's Information Report (EE)-1) Form 100

Contractor agrees to file in duplicate, Standard Form 100, entitled, "**Equal Employment Opportunity Employer Information Report EEO-1**" as required by Section 60-1.7 of Title 41 of the Code of Federal Regulations.

Send original copy to Federal authorities, duplicate copy to the State Equal Opportunity Office, 1 Capitol Hill, Providence, Rhode Island 02908-5865.

C. Notice to All Vendors

If it should be determined by the State Equal Opportunity Office that any company doing business with the State is guilty of non-compliance with the provisions of this document, said company will be given two (2) written warnings. If the said company does not comply immediately after the second written notice, then the State Equal Opportunity Office will notify the Rhode Island Public Transit Authority, who shall have the authority to have the contract **revoked** and all contractual obligations of the State dealing with the contract in question will be **null** and **void**.

D. Post Award Conference

Post Award Conference for the Implementation of Affirmative Action prior to Signing of Contract.

E. Signature Required

Failure to provide a signature prior to Award to successful Bidder shall be cause for Rejection of Bid.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXIV. GENERAL CONTRACT COMPLIANCE CERTIFICATE **& AGREEMENT FORM**

(Equal Employment Opportunity)

Authorized Signature: _____

Print Name: _____

Title: _____

Company Name: _____

Date: _____

Indicate Job Location Address: _____

BID NO. 11-25

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXV. CERTIFICATION OF PRIMARY PARTICIPANT FORM

Invitation for Bid Number: 11-25

Project Kennedy Plaza Pavillion

The primary participant _____, certifies to the best of its knowledge and belief, that it and its principals:

- 1) Are not presently debarred, suspended, proposed for debarment, declared eligible, or voluntarily excluded from covered transactions by any Federal Department or Agency;
- 2) Have not within a three-year period preceding this Bid been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or Local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- 3) Are not presently indicted for or otherwise criminally or civilly charged by a government entity (Federal, State, or Local) with commission of any of the offenses enumerated in paragraph (2) of this certification; and
- 4) Have not within a three-year period preceding this application/Bid had one or more public transactions (Federal, State, or Local) terminated for cause or default.
- 5) The Primary Participant also certifies that, if it later becomes aware of any information contradicting the statements of Paragraphs 1-4 above, it will promptly notify RIPTA.

The primary participant _____, certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C Sections 3801 **ET SEQ.** are applicable thereto.

Signature/Title of Authorized Official

Date

The undersigned Chief Legal counsel for _____ hereby certifies that _____ has authority under state and local law to comply with the subject assurances and that the certification above has been legally made.

Signature/Chief Legal Counsel

Date

Print Signature

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXVI. DEBARMENT CERTIFICATION

CERTIFICATION REQUIREMENTS FOR RECIPIENTS OF GRANTS AND COOPERATIVE AGREEMENTS REGARDING DEBARMENT AND SUSPENSIONS

The purpose of the attached certifications is to exclude entities and individuals that the Federal Government has either debarred or suspended from obtaining Federal assistance funds through grants, cooperative agreements or third party contracts.

To assure that such entities and individuals are not involved in projects financed with Federal Transit Administration (FTA) assistance, FTA requires its applicants to complete the certificates.

The primary participant must sign the "**Certification of Primary Participant**" and, if there is a Subcontractor, they must sign **the "Certification of a Subcontractor"**.; (if there is more than one Subcontractor, they must all sign one of these forms).

XXVII. CERTIFICATION OF A SUBCONTRACTOR FORM

Invitation for Bid Number: 11-25

Project Kennedy Plaza Pavillion

The potential Subcontractor, _____
Certifies, by submission of this certification, that neither it nor its principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal Department or agency.

The Subcontractor, _____ certifies or affirms the truthfulness and accuracy of the contents of the statements submitted on or with this certification and understands that the provisions of 31 U.S.C. Sections 3801 **ET SEQ.** are applicable thereto.

Signature/Title of Authorized Official

Print Signature

Date

The undersigned chief legal counsel for the _____
hereby certifies that _____ has authority under State and local law to comply with the subject assurances and that the certification above has been legally made.

Signature of Applicant's Attorney

Print Signature

Date

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXVIII. NON-RESIDENT CONTRACTOR INFORMATION

From: Department of Administration
Division of Taxation
289 Promenade Street
Providence, RI 02908

Notice: "To All Persons Engaging Non-Resident Contractors"

Regulation Re: Contractors and Subcontractors - "Regulation C"

Article III, Non-Resident Contractors

Any individual, partnership, joint venture, corporation, state, municipal government or exempt organization awarding a construction contract in Rhode Island to a non-resident contractor (as hereinafter defined) is required, pursuant to Section 44-1-6 of the General Laws, as last amended, to withhold 3% of the contract price to secure payment of any sales and use tax or income tax withheld, or both, that may be due to the State of Rhode Island in carrying out the contract.

Upon completion of the contract, the non-resident contractor is required to notify the Tax Administration shall, within 30 days after receipt of the request, audit the records and provide by certified mail to the person holding the funds and to the non-resident contractor, either a certificate of no tax due or a notice of taxes due.

The person holding the funds is required to pay to the Tax Administrator the amount set forth in the notice of taxes due, including interest and penalties, but not in excess of 3% of the contract price. Monies withheld in excess of taxes due the Tax Administrator may be paid to the non-resident contractor.

If the Tax Administrator does not furnish a certificate of no tax due or a notice of taxes due within 30 days after receipt of the request for the making of the audit, the person holding the funds may remit the full amount due to the non-resident contractor. The Tax Administrator shall not have any claim against such funds in the hand of the person holding the funds.

DEFINITION OF NON-RESIDENT CONTRACTOR

"A non-resident contractor is one who does not maintain a regular place of business in this state. A regular place of business shall be deemed to mean and include any bona fide office (other than a statutory office), factory, warehouse or other space in this state at which the taxpayer is doing business in its own name in a regular and systematic manner and which is continuously maintained, occupied and used by the taxpayer in carrying on its business through its regular employees regularly in attendance. A temporary office at the site of construction shall not constitute a regular place of business".

In order to effectively implement this legislative change which became effective on passage, non-resident contractors shall forward such notice of completion by certified or registered mail (in duplicate) to the Division of Taxation.

R. Gary Clark, Tax Administrator

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

XXIX. DRUG & ALCOHOL TESTING PROGRAM

In accordance with the Federal Transit Administration Rules 49 CFR 40, 653, and 654, pertaining to prohibited drug use and Contract Service Providers who perform safety-sensitive functions as follows:

- Operation of Revenue Service Vehicles In and Out of Service.
- Dispatch or Control Movement of Revenue Service Vehicles.
- Maintain, Repair and Inspect Revenue Service Vehicle.

The standards they must meet are:

1. Provide each employee performing a RIPTA safety-sensitive function a copy of RIPTA's Prohibited Drug Use and Alcohol Misuse Policy and Procedures. Each Employee must sign and return to RIPTA "Confirmation of Receipt" form.
2. Provide RIPTA with documentation that all employees, both full and part-time, participate in a prohibited drug use testing program in compliance with 49 CFR 653 and an alcohol misuse testing program in compliance with 49 CFR 654. Documentation must be provided which insures that all testing is performed in compliance with 49 CFR 40.
3. Provide to RIPTA's, by February 1st, following each calendar year, annual Management Information Systems (MIS) reports for submission to the FTA. The MIS form used must be that which is contained in 49 CFR 653 and 654.
4. Identify a contact person responsible for handling all 49 CFR 40, 653 and 654 regulation compliance.

XXX. DRUG AND ALCOHOL POLICY ACKNOWLEDGEMENT

CONTRACT SERVICE PROVIDER ACKNOWLEDGEMENT AND CONFIRMATION OF RECEIPT

Employee Name: _____

Company Name: _____

I have received a copy of Rhode Island Public Transit Authority's Prohibited Drug Use and Alcohol Misuse Policy and Procedures.

Employee
Signature: _____

Date: _____

Return To: Drug and Alcohol Test Coordinator
Department of Human Resources
Rhode Island Public Transit Authority
Room 217
705 Elmwood Avenue
Providence, Rhode Island 02907

RHODE ISLAND PUBLIC TRANSIT AUTHORITY
Invitation for Bid Number 11-25
ARRA SUPPLEMENTAL TERMS AND CONDITIONS

This Project Funded by



RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

XXXI. ARRA SUPPLEMENT TERMS AND CONDITIONS

These supplemental terms and conditions apply for all contracts and subawards funded in whole or in part by the American Recovery and Reinvestment Act of 2009, Public Law No 11105

A. Definitions

1. "ARRA" or "Recovery Act" means the American Recovery and Reinvestment Act of 2009, Pub. L. No. 111-5, 123 Stat.115.
2. "ARRA Funds" means any funds that are expended or obligated from appropriations made under ARRA.
3. "ARRA Requirements" means these Supplemental Terms and Conditions, as well as any terms and conditions required by: ARRA; federal law, regulation, policy or guidance; the federal Office of Management and Budget (OMB); the awarding federal agency; or, the Rhode Island Office of Economic Recovery and Reinvestment (OERR).
4. "Authority" means the Rhode Island Public Transit Authority.
5. "Contract" means the contract to which these Supplemental Terms and Conditions are attached, and includes an agreement made pursuant to a grant or loan subaward to a Sub-Recipient.
6. "Contractor" means the party or parties to the Contract other than the Prime Recipient and includes a subgrantee or a borrower. For the purposes of ARRA reporting, Contractor is either a Sub-Recipient or a Recipient Vendor under this Contract.
7. "Prime Recipient" means a non-Federal entity that expends Federal awards received directly from a Federal awarding agency to carry out a Federal program.
8. "Recipient Vendor" means a Vendor that receives ARRA Funds from a Prime Recipient.
9. "Subcontractor" means any entity engaged by Contractor to provide goods or perform services in connection with this contract.
10. "Sub-Recipient Vendor" means a Vendor that receives ARRA Funds from a Sub-Recipient.
11. "Sub-Recipient" means a non-Federal entity receiving ARRA Funds through a Prime Recipient to carry out an ARRA funded program or project, but does not include an individual that is a beneficiary of such a program. The term " Sub-Recipient" is intended to be consistent with the definition in OMB Circular A-133 and section 2.2 of the June 22, 2009 OMB Reporting Guidance.¹ A Sub-Recipient is sometimes referred to as a subgrantee.
12. "Supplemental Terms and Conditions" means these Supplemental Terms And Conditions For Contracts And Subawards Funded In Whole Or In Part By The American Reinvestment Recovery Act Of 2009, Pub. L. No. 111-5, as may be subsequently revised pursuant to ongoing guidance from the relevant federal or Authority authorities.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

13. "Vendor" means a dealer, distributor, merchant, or other seller providing goods or services that are required for the project or program funded by ARRA. The term "Vendor" is intended to be consistent with the definition in OMB Circular A-133 and section 2.2 of the June 22, 2009 OMB Reporting Guidance.

B. General

1. To the extent this Contract involves the use of ARRA Funds, Contractor shall comply with both the ARRA Requirements and these Supplemental Terms and Conditions, except where such compliance is exempted or prohibited by law.
2. The Contractor acknowledges these Supplemental Terms and Conditions may require changes due to future revisions of or additions to the ARRA Requirements, and agrees that any revisions of or additions to the ARRA Requirements shall automatically become a part of the Supplemental Terms and Conditions without the necessity of either party executing or issuing any further instrument and shall become a part of Contractor's obligations under the Contract.. The Authority may provide written notification to Contractor of such revisions, but such notice shall not be a condition precedent to the effectiveness of such revisions.

C. Conflicting Terms

Contractor agrees that, to the extent that any term or condition herein conflicts with one or more ARRA Requirements, the ARRA Requirements shall control.

D. Enforceability

Contractor agrees that if it or one of its subcontractors or sub-recipients fails to comply with all applicable federal and Authority requirements governing the use of ARRA funds, including any one of the terms and conditions specified herein, the Authority may withhold or suspend, in whole or in part, funds awarded under the program, recover misspent funds, or both. This provision is in addition to all other civil and criminal remedies available to the Authority under applicable Authority and federal laws and regulations. Implementing Guidance for the Reports on Use of Funds Pursuant to the American Recovery and Reinvestment Act of 2009, M-09-21 (June 22, 2009), available at http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-21.pdf.

E. Applicability to Subcontracts and Subawards

Contractor agrees that it shall include the Supplemental Terms and Conditions set forth herein, including this provision, in all subcontracts or subawards made in connection with projects funded in whole or in part by ARRA, and also agrees that it will not include provisions in any such subcontracts or subawards that conflict with either ARRA or the terms and conditions herein.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

F. Availability of Funding

Contractor understands that federal funds made available by ARRA are temporary in nature and agrees that the Authority is under no obligation to provide additional Authority-financed appropriations once the temporary federal funds are expended.

G. Inspection and Audit of Records

Contractor agrees that it shall permit the Authority and its representatives, the United States Comptroller General or his representative or the appropriate inspector general appointed under section 3 or 8G of the Inspector General Act of 1978 or his representative to:

1. Examine, inspect, copy, review or audit any records relevant to, and/or involve transactions relating to, this agreement, including documents and electronically stored information in its or any of its subcontractors' or sub-recipients' possession, custody or control unless subject to a valid claim of privilege or otherwise legally protected from disclosure; and
2. Interview any officer or employee of the Contractor regarding the activities and programs funded by ARRA.

H. Registration Requirements

1. **DUNS Number Registration.** Contractor agrees: (i) if it does not have a Dun and Bradstreet Data Universal Numbering System (DUNS) Number, to register for a DUNS Number within 10 business days of receiving this Contract; (ii) to provide the Authority with its DUNS number prior to accepting funds under this agreement; and (iii) to inform the Authority of any material changes concerning its DUNS number.
2. **Central Contractor Registration.** To the extent that Contractor is a Sub-Recipient, it agrees: (i) to maintain a current registration in the Central Contractor Registration (CCR) at all times this agreement is in force, (ii) to provide the Authority with documentation sufficient to demonstrate that it has a current CCR registration, and (iii) to inform the Authority of any material changes concerning this registration.
3. **FederalReporting.gov Registration.** To the extent that Contractor is a Sub-Recipient, it agrees: (i) to register on FederalReporting.gov within 10 business days of receiving this subaward; (ii) to maintain a current registration on FederalReporting.gov at all times this agreement is in force; (iii) to provide the Authority with documentation sufficient to demonstrate that it has a current registration on FederalReporting.gov, and (iv) to inform the Authority of any material changes concerning this registration.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

I. Reporting Requirements under § 1512 of ARRA

1. Contractor agrees to provide the Authority with data sufficient to fulfill the Authority's ARRA reporting requirements within the timeframes established by Authority or federal law, regulation or policy, including but not limited to section 1512 reporting requirements.
2. To the extent that Contractor is a Sub-Recipient with a Subaward having a total value of greater than \$25,000, it agrees to report directly to the Federal government the information described in section 1512(c) of ARRA using the reporting instructions and data elements available online at FederalReporting.gov, and ensure that any information that is prefilled is corrected or updated as needed. Information from these reports will be made available to the public.
3. To the extent that Contractor is a Sub-Recipient with a Subaward having a total value of greater than \$25,000, it accepts delegation of reporting responsibility of FFATA data elements required under section 1512 of ARRA for payments from the Authority. Sub-Recipient shall utilize the federal government's online reporting solution at www.FederalReporting.gov. Reports are due no later than ten calendar days after each calendar quarter in which the recipient receives the assistance award funded in whole or in part by ARRA.
4. To the extent that Contractor is a Sub-Recipient with a Subaward having an initial total value of less than \$25,000, but is subsequently modified to exceed \$25,000, Contractor agrees that subsections (b) and (c) above apply after the modification.

J. Buy American Requirements under § 1605 of ARRA

1. Contractor agrees that, in accordance with section 1605 of ARRA, it will not use ARRA funds for a project for the construction, alternation, maintenance, or repair of a public building or public work unless all of the iron, steel and manufactured goods used in the project are produced in the United States in a manner consistent with United States obligations under international agreements. In addition to the foregoing Contractor agrees to abide by all regulations issued pursuant to section 1605 of ARRA.
2. Contractor understands that this requirement may only be waived by the applicable federal agency in limited situations as set out in section 1605 of ARRA and federal regulations issued pursuant thereto.

K. Wage Rate Requirements under § 1606 of ARRA

1. Contractor agrees that it will comply with the wage rate requirements contained in section 1606 of ARRA, which requires that, notwithstanding any other provision of law, all laborers and

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

mechanics employed by contractors and subcontractors on projects funded directly by or assisted in whole or in part by and through the Federal Government pursuant to ARRA shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United Authorities Code. The Secretary of Labor's determination regarding the prevailing wages applicable in Rhode Island is available at <http://www.gpo.gov/davisbacon/ri.html>.

2. Contractor agrees that it will comply with all federal regulations issued pursuant to section 1606 of ARRA, and that it will require any subcontractors or sub-recipients to comply with the above provision.

L. Required Jobs Data Reporting under § 1512(c)(3)(D) of ARRA

1. Contractor agrees, in accordance with section 1512(c)(3)(D) of ARRA and section 5 of the June 22, 2009 OMB Reporting Guidance (entitled "Reporting on Jobs Creation Estimates and by Recipients"), to provide an estimate of the number of jobs created and the number of jobs retained by ARRA-funded projects and activities. In order to perform the calculation, the Contractor will provide the data elements listed in sub-section (2) below.
2. Contractor agrees that, no later than two business days after the end of each calendar quarter, it will provide to the Authority the following data elements using a form specified by the Authority:
 - a. The total number of ARRA-funded hours worked on this award.
 - b. The number of hours in a full-time schedule for a quarter.
 - c. A narrative description of the employment impact of the ARRA funded work. This narrative is cumulative for each calendar quarter and at a minimum, shall address the impact on the Contractor's workforce and the impact on the workforces of its subcontractors or sub-recipients.
3. Contractor agrees that, in the event that the federal government permits direct reporting of section 1512(c)(3)(D) jobs data by sub-recipients or vendors, it will directly report jobs data to the federal government, consistent with any applicable federal law, regulations and guidance.

M. Segregation of Funds

1. Contractor agrees that it shall segregate obligations and expenditures of ARRA funds from other funding it receives from the Authority and other sources, including other Federal awards or grants.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

2. Contractor agrees that no part of funds made available under ARRA may be commingled with any other funds or used for a purpose other than that of making payments in support of projects and activities expressly authorized by ARRA.

N. Disclosure pursuant to the False Claims Act

Contractor agrees that it shall promptly refer to an appropriate Federal Inspector General any credible evidence that a principal, employee, agent, subcontractor or other person has committed a false claim under the False Claims Act or has committed a criminal or civil violation of laws pertaining to fraud, conflict of interest, bribery, gratuity, or similar misconduct involving ARRA funds.

O. Disclosure of Fraud, Waste and Mismanagement to Authority Authorities

Contractor shall also refer promptly to the Rhode Island Public Transit Authority Department of Purchases, any credible evidence that a principal, employee, agent, contractor, subgrantee, subcontractor, or other person has committed a criminal or civil violation of Authority or Federal laws and regulations in connection with funds appropriated under ARRA.

P. Prohibited Uses of ARRA Funds

1. Contractor agrees that neither it nor any subcontractors or sub-recipients will use the funds made available under this agreement for any casinos or other gambling establishments, aquariums, zoos, golf courses, swimming pools, or similar projects.
2. Contractor agrees that neither it nor any subcontractors or sub-recipients will use the funds made available under this agreement in a manner inconsistent with any certification made by the Governor or any other Authority official pursuant to the certification requirements of ARRA, which are published online at <http://www.recovery.ri.gov/certification/>.

Q. Whistleblower Protection under §1553 of ARRA

1. Contractor agrees that it shall not discharge, demote, or otherwise discriminate against an employee as a reprisal for disclosures by the employee of information that he or she reasonably believes is evidence of (1) gross mismanagement of an agency contract or grant relating to covered funds; (2) a gross waste of covered funds; (3) a substantial and specific danger to public health or safety related to the implementation or use of covered funds; (4) an abuse of authority related to the implementation or use of covered funds; or (5) a violation of law, rule, or regulation related to an agency contract (including the competition for or negotiation of a contract) or grant, awarded or issued relating to covered funds.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

2. Contractor agrees to post notice of the rights and remedies available to employees under section 1553 of ARRA.

Please note that the Authority will strictly enforce compliance with all ARRA Requirements and these Supplemental Terms and Conditions. Accordingly, all Contractors should familiarize themselves with these Supplemental Terms and Conditions as well as all ARRA Requirements as they relate to this Contract.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

XXXII. MANDATORY MONTHLY REPORTING REQUIREMENTS

A. Introduction

This project is funded under the American Recovery and Reinvestment Act of 2009 (ARRA). Therefore, the successful bidder contractor/ consultant/ vendor, hereafter referred to as the Contractor, is required to report to RIPTA specific information about the jobs created and/or maintained during the project.

The Contractor shall submit a monthly report of the below information to RIPTA for each calendar month from the date of the Notice to Proceed until completion/termination of the contract. The Contractor is responsible for maintaining data to support its report and make it available to RIPTA upon request. The Contractor will report any additional information required by RIPTA under ARRA upon request.

The month of report is the immediately preceding calendar month. **The Contractor shall report the below information to RIPTA within five (5) business days after the end of the month of report.** The Contractor will not be paid until the below information is reported to RIPTA in a timely manner.

The Contractor will report the below information **directly** to the following RIPTA representative:

Lillian Picchione, Director of Finance
Rhode Island Public Transit Authority
Room 207
705 Elmwood Avenue
Providence, Rhode Island 02907
401-784-9500 ext 124 (phone)
401-784-9533 (fax)
lpicchione@ripta.com (email)

The Contractor shall report the below information for its own workforce as well as the workforce of all its subcontractors that worked on the project during the month of report.

B. Jobs Defined **Jobs includes:**

- The Contractor's own project labor, including permanent, temporary, and contract project staff working on the job site.
- Employees who work off the job site, in the project office, in the home office, from a home (teleworker), or other office location directly in support of project/contract.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

- Direct labor associated with the project such as design, construction, and inspection performed by but not limited to consultants, surveyors, engineers, inspectors, sampling and testing technicians, and lab technicians working for the Contractor directly in support of project.

Jobs *does not* include:

- General overhead personnel and work hours.
- Suppliers and manufacturers of materials such as steel, culverts, guardrails, and tools and vehicles.
- Estimated indirect labor, such as material testing, material production or estimated macro-economic impacts.

C. Report Format

The Contractor may use the following *ARRA CONTRACTOR MONTHLY REPORT FORM* as model for submitting the below information to RIPTA. The use of the model form is optional and at the discretion of the Contractor.

XXXIII. REQUIRED REPORT INFORMATION

The Contractor shall report information on the direct, on-the-project jobs for its workforce and the workforce of its subcontractors working on the project during the month of report.

The Contractor's report must include the following fourteen (14) items of information:

1. RIPTA Purchase Order Number.
2. Month and Year of Report.
3. Name of Contractor.
4. Contractor's DUNS Number.
(a DUNS Number can be acquired online at http://www.dnb.com/US/duns_update/)
5. The number of employees of the Contractor working directly on the project during the month of report. Do not include general overhead personnel. Do not include materials suppliers and manufacturers.
6. The total number hours worked (not paid) for the employees of the Contractor working directly on the project during the month of report. Do not report overtime hours any differently than other hours of work. One hour of overtime is counted as one hour of work.
7. The total dollar amount of wages earned (not paid to) by the employees of the Contractor working directly on the project during the month of report. Include only wages (base plus overtime pay) and do not include overhead (vacation pay, holiday pay, benefits, etc.) or indirect costs.
8. The name of each and every subcontractor the Contractor working directly on the project during the month of report.
9. The number of employees of each and every subcontractor to the Contractor working directly on the project during the month of report.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS

- Do not include general overhead personnel. Do not include materials suppliers and manufacturers.
10. The total number of hours worked (not paid) by the employees of the subcontractor to the Contractor working directly on the project during the month of report. Do not report overtime hours any differently than other hours of work. One hour of overtime is counted as one hour of work.
 11. The total dollar amount of wages earned (not paid to) by the employees of the subcontractor to the Contractor working directly on the project during the month of report. Include only wages (base plus overtime pay) and do not include overhead (vacation pay, holiday pay, benefits, etc.) or indirect costs.
 12. Name of the person responsible for preparation of the report for the Contractor.
 13. Signature of the person responsible for preparation of the report for the Contractor. By signing the report, the person certifies that he/she is knowledgeable of the hours worked and employment status for all the employees.
 14. The date that the Contractor completed and signed the report.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

ARRA SUPPLEMENTAL TERMS AND CONDITIONS



ARRA CONTRACTOR MONTHLY REPORT FORM

(Refer to *Mandatory Monthly Reporting Requirements* for instructions on completing form)

1. RIPTA Purchase Order Number:		2. Month & Year of Report:	
3. Contractor Name:		4. Contractor DUNS #:	
5. Number of Contractor Employees:	6. Total Number Hours Worked by Contractor Employees:		7. Total Wages Earned by Contractor Employees: \$
8. Subcontractor(s) (SUB) Name(s)		9. Number of SUB Employees	10. Total Number of SUB Hours Worked
			11. Total SUB Wages Earned \$
			\$
			\$
			\$
			\$
			\$
12. Name:		13. Signature:	14. Date:

Please submit report directly to: Lillian Picchione, Director of Finance
Rhode Island Public Transit Authority
Room 207, 705 Elmwood Avenue
Providence, Rhode Island 02907
401-784-9500 ext 124 (phone)
401-784-9533 (fax)
lpicchione@ripta.com (email))

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

GENERAL PROVISIONS: CONTRACT SPECIFIC

**KENNEDY
PLAZA
PAVILION**

RHODE ISLAND PUBLIC TRANSIT AUTHORITY
Invitation for Bid Number 11-25
GENERAL PROVISIONS: CONTRACT SPECIFIC

XXXIV. PROPOSAL PAGE
Kennedy Plaza Pavilion

Written in words

ITEMS

1) 200 EACH – Reset Existing Pavers	\$ _____
2) 200 EACH – F&I Pavers	\$ _____
3) 1 Lump Sum – Repair Ice Melt (only acceptable bid)	\$ 5,000.00
4) 1 Lump Sum – Police (only acceptable bid)	\$20,000.00
5) 1 Lump Sum – Kennedy Plaza Pavilion	\$ _____

Note: See language under paragraph 12 of the General Provisions

CONTRACT TOTAL \$ _____

Being Either a (Individual Partnership Or Corporation Incorporated)
(Under the Laws of any State In the United States of America)

Contractor

Composed of Officers, Partners or Owner as follows

President

Vice-President

Secretary

Treasurer

Address

CERTIFICATION SUMMARY: I hereby certify that I have read all of the contractual requirements and understood that it affects the acceptability of my bid(s).

Signed

Title

Date

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

GENERAL PROVISIONS: CONTRACT SPECIFIC

XXXV. BRIEF SCOPE OF WORK:

The work in this contract consists of the removal the existing bike storage locker located in Kennedy Plaza and at the same location utilizing the 4 steel legs of the existing surround structure and erect a prefabricated pavilion similar in nature to the existing pavilions in the adjacent area. Work shall include, but not be limited to removal, transport and unloading of the existing bike storage facility to RIPTA, The furnishing and installation of a completely painted and fabricated architectural pavilion (based on the pavilion schematic depicted in the contract drawings) on the existing base (4 legs) of the bike storage surround complete with lighting and granite benches, the installation of an electrical service from an exiting hand hole to feed the new pavilion, the resetting and or replacement of decorative concrete pavers in and around the plaza, the maintenance of pedestrian and vehicular traffic necessary for the installation of all appurtenances.

Contractors who are requesting copies of the corresponding contract drawings in electronic form can contact Michael DiNobile at Cataldo Engineering (401) 453-3300. Arrangements can be made for printed copies of contract drawings for a minimal fee.

XXXVI. LIST OF CONTRACT DRAWINGS:

<u>Sheet No.</u>	<u>Description</u>
	Cover Sheet
C-1	Site Plan
C-2	Schematic Pavilion Plan
C-3	Existing Pavilion Details 1
C-4	Existing Pavilion Details 2
C-5	Existing Pavilion Details 3
C-6	Existing Pavilion Details 4
C-7	New Pavilion Details 1
E-1	Lighting Plan & Details

XXXVII. NOTICE TO CONTRACTORS:

- A. All work shall be done in accordance with the State of Rhode Island Standard Specifications for Road and Bridge Construction, 2004 Edition, with all corrections and addenda to date, the Rhode Island Standard Details, the Manual on Uniform Traffic Control Devices (MUTCD), 2003 edition with latest addenda, the AASHTO Standard Specifications for Structural Supports for Highway Signs, Luminaries and Traffic Signals, 4th Edition, 2003, including latest interim specifications, and the Americans with Disabilities Act (ADA) latest revision unless otherwise detailed and/or noted in these contract documents.
- B. The Contractor shall check and verify locations and depths of all existing underground utilities and services with "DIG SAFE" (1-888-344-7233). Any damage, caused by the Contractor, to existing

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

GENERAL PROVISIONS: CONTRACT SPECIFIC

- utilities shall be the Contractor's responsibility. Costs of such damage shall be borne by the Contractor. No excavation shall be done until all involved utility companies are notified at least 48 hours in advance.
- C. Any damages caused by the Contractor, to any existing structures, stone walls, equipment, signs, landscaping, private walkways/steps, or any other existing items along or adjacent to the roadway shall be the Contractor's responsibility.
- D. Sidewalk passing clearance shall be maintained to a minimum of 3 feet in accordance with the ADA requirements. Under no circumstances shall any sign assemblies obstruct this 3 feet clearance requirement.

XXXVIII. UTILITY & MUNICIPAL NOTIFICATION & COORDINATION:

The contractor shall notify DIG SAFE (1-888-344-7233) a minimum of 48 hours in advance to locate all existing utilities and involve all utility companies. No construction excavation can be started without adjacent utilities located by paint marks in the field. The Contractor must verify that all utility companies have been contacted. The contractor will start construction after location of utilities is completed and as directed by the Engineer.

Utility/Municipality Representatives:

National Grid – Gas	Albert Marsocci	401-525-5697
National Grid – Electric	Thomas Capobianco	401-784-7248
Providence Water	Ron Campbell	401-521-6300
Providence Fire Department	n/a	401-243-6050
Providence Public Works Dept.	William Bombard	401-467-7950
Verizon Communications	Tony Filomeno	401-727-9543
Cox Communications	David Velilla	401-615-1284
Providence Sewer	William Bombard	401-467-7950

XXXIX. SEQUENCE OF CONSTRUCTION: ACTION REQUIRED BY CONTRACTOR

Approval of the work sequence and time schedule is required before the start of any construction or other work associated with this contract. The proposed construction and time schedule must consider and address safe vehicle passage through this project. Refer to Contract Specifications – Job Specific for more information.

XL. MAINTENANCE & MOVEMENT OF TRAFFIC:

Any operation that requires the movement or displacement of vehicles occupying Kennedy Plaza will require 48 hour notification before any work is to commence. Christine Johnston of (RIPTA), telephone number 401-784-9500 x212, will be the point of contact. Refer to Contract Specifications – Job Specific for more information.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

GENERAL PROVISIONS: CONTRACT SPECIFIC

XLII. SPECIAL NOTICE TO THE CONTRACTOR:

The Contractor is responsible for damage to any existing structures, equipment, or signs in the roadway and any private property. The Contractor shall make every effort to avoid debris from falling into catch basins. Should any debris fall inside a structure, it shall be removed immediately.

XLIII. COORDINATION WITH OTHER PROJECTS:

The contractor shall be aware of the potential of other construction projects ongoing or commencing during the construction period of this project. Any upcoming projects will be announced at the pre-bid conference.

XLIV. UTILITY NOTE:

The contractor shall be responsible for determining the exact location and depths of all existing utilities including those which may not be shown on the plans prior to any work.

XLV. COMPLETION DATES:

The following completion dates will be enforceable and subject to liquidated damages:

Overall Contract Completion Date: 120 calendar days from award of contract

Failure to meet this date will result in the assessment of liquidated damages in the amount of \$ 550.00 per calendar day.

XLVI. PAVILION LUMP SUM BREAKDOWN / PROGRESS PAYMENTS:

Although the item of work for Item # 5 – Furnish and Install Pavilion (page 101) - is considered a lump Sum item of work, RIPTA reserves the right to add or subtract work as they feel necessary.

Progress payments for Item # 5 – F&I Pavilion will be based on the lump sum breakdown for the Pavilion work sheet contained at the end of the contract documents (ATTACHMENT # 1). (Page 96) Bidders shall be required to fill out the lump sum breakdown worksheet and submit it with the bid proposal. The total value of work on the worksheet shall match the lump sum total in the proposal. All items of work not specifically called out in the breakdown will be considered incidental to the contract and covered under the mobilization sub item.

XLVII. AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA):

Contractors are hereby notified that all projects utilizing ARRA funds must contain language specifying that the contractor agrees and recognizes that under section 902 of the ARRA, that the US Controller General and their representatives are provided with the authority to examine contract records, transactions, and other documentation, and the ability to interview contractors and or sub-contractors representatives on matters pertaining to the administration of the contract: and furthermore, that section 1515a expresses the same authority to the Office of The Inspector General (OIG).

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25

GENERAL PROVISIONS: CONTRACT SPECIFIC

XLVII. ATTACHMENT 1 – FURNISH AND INSTALL PAVILION

A. Lump Sum Breakdown Worksheet

NOTE: The denoted items of work and estimated quantities as listed below are to aid in the preparation and processing of progress payments only. The contractor is responsible for their own take off of quantities and items of work. The contractor will only be paid the lump sum price as contained on the “Bid Price Submittal Worksheet,” for all work associated to complete the entire project in its entirety in accordance with the attached contract drawings and specifications. This attachment is required to be submitted by all bidders with the bid proposal.

Sub Item Number	Description	Quantity	Bid Price	Sub-Total
5-1	Mobilization/Demobilization	1 LS		
5-2	Traffic & Pedestrian Control	1 LS		
5-3	Project Layout	1 LS		
5-4	F&I Electric Service	1 LS		
5-5	Remove, Salvage, Transport, Unload – Existing Bike Storage Facility	1 LS		
5-6	F&I New Pavilion Complete in Place	1 LS		

TOTAL

B. Unit Cost Breakdown

The following units cost shall be used for the calculation of any potential change orders.

Item	Unit Cost
Reset Existing Pavers	
Furnish and Install New Pavers	
Repair Ice Melt System Hourly Rate	
Repair Ice Melt System (material markup)	

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

XLVIII. ITEM NO. 1: RESET EXISTING PAVERS

DESCRIPTION: This item of work shall conform to the applicable sections of the "Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition" with all revisions. This item shall consist of the removal and resetting of architectural concrete pavers at areas directed where pavers have become loose overtime as well as for the installation of new pavers as described elsewhere.

MATERIALS: The materials for this item shall conform to the applicable requirements of Subsection 702.02.1; Masonry Unit Construction, in the Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition with all revisions. The adhesive will be suitable for outdoor use only. Manufactures recommendations, including temperature restrictions shall be followed.

CONSTRUCTION METHOD: Pavers to be reset shall be removed with care. The underlining surface shall immediately be cleaned to remove existing adhesives as well as accumulated dirt and debris. The underlining area shall be thoroughly dried before the placement of a new adhesive. The reset paver will be installed in such a fashion so that it is on the same horizontal plane as the surrounding pavers so that a trip hazard is avoided. Pedestrian traffic shall be kept off the newly set pavers until the adhesive material fully cures. Only the pavers that can be removed and reset in the same day will be worked on any given time. If new pavers are required to fulfill this operation they will be paid for by each under pay item # 2 Furnish New Pavers while the setting of the new pavers will be paid for under this item of work. RIPTA has on hand approximately 25 new pavers. These pavers will be utilized first for replacements. There will be no additional costs associated with the use of theses pavers other than the installation cost under this item of work. RIPTA will be responsible for the shipment of these new pavers to the project site. The resting or installation of new pavers associated with the installation of the new pavilion and or electrical service shall be covered under that item of work.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: "RESET PAVERS" will be measured and paid for by each for the numbers of pavers reset. The price so stated will constitute full and complete compensation for all labor, materials, and equipment required to remove the existing paver, clean and dry the underlining surface, apply the appropriate adhesive, the resetting of the paver and the protection of the work from pedestrian traffic. The furnishing of new pavers will be compensated under Item #2 Furnish New Pavers while the setting of the new pavers will be paid for under this item of work. The setting of new pavers called out for inside the pavilion area including the electrical service installation will not be covered under this item of work but will be considered part of the lump sum item #5 to furnish and install the new pavilion

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

XLIX. ITEM NO. 2: FURNISH NEW CONCRETE PAVERS

DESCRIPTION: This item of work shall conform to the applicable sections of the "Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition" with all revisions. This item shall consist of furnishing new pavers to be installed under the reset line item. The pavers shall be 11 3/4" x 11 3/4" x 2 3/8" and will be manufactured by Hanover Architectural products – 240 Bender Road, Hanover, PA 17331 – Tel # 1-717-637-0500. They shall be in close conformance with the size and color of the existing blocks. The contractor at the start of the project will order and have delivered the contract quantity of blocks for availability of replacement and/ or new block installation. At the end of the project excess blocks, if any will be palletized, shipped to RIPTA's Elmwood Avenue facility and unloaded at a designated area.

MATERIALS: The materials for this item shall conform to the applicable requirements of Subsection M.04.03 in the Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition with all revisions.

CONSTRUCTION METHOD: None

METHOD OF MEASUREMENT/BASIS OF PAYMENT: "FURNISH NEW CONCRETE PAVERS" will be measured and paid for by each for the numbers of pavers furnished. The price so stated will constitute full and complete compensation for the furnishing and delivery to the job site as well as all labor and equipment required to palletize, ship excess material and unloading the bundle to RIPTA. The setting of these pavers will be compensated under Item # 1 "Resetting Existing pavers". The new pavers called out for inside the pavilion area including the electrical service installation will not be covered under this item of work but will be considered part of the lump sum item #5 to furnish and install the new pavilion

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

L. ITEM NO. 3: REPAIR ICE MELT SYSTEM

DESCRIPTION: This item of work shall consist of repairing if necessary the existing ice melt system that is contained at various locations throughout the concrete base. Since the location of this system is unknown there is a potential for it to be damaged through the various operations involving the removal of the concrete base. Since the location of this apparatus is unknown the work will be performed on a time and material basis.

MATERIALS: As required.

CONSTRUCTION METHOD: If the existing ice melt system is encountered during construction and the apparatus is damaged, the contractor will immediately notify RIPTA. At that time the best approach to rectifying the equipment will be discussed and the contractor at that point can move forward with the retrofit work. Encountering the system does not relieve the contractor from moving forward with any work that is unaffected by the system.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: "REPAIR ICE MELT SYSTEM" will be measured and paid for as a lump sum item. The contract proposal will offer an only acceptable bid for this work. If this item is required the work will be performed on a force account basis. The total of the force account will be deducted from the monies carried in the proposal page. The contractor will only be reimbursed the actual costs for the force account work for labor, materials and equipment as calculated in accordance with the RI Standard Specifications for Road & Bridge Construction.

LI. ITEM NO. 4: POLICE DETAILS

DESCRIPTION: This item of work shall consist of ordering and supervising Police Details as required to safely protect the workers, pedestrians, and motoring public during the life of this contract. The contractor should be aware that this contract is located at RIPTA down town Providence location, a fully functional bus hub. All police details must be approved in advance before the order is placed. Any Police details that are utilized without prior approval will not be subject to reimbursement. RIPTA takes no responsibility for the availability and/ or performance of the Police Details.

MATERIALS: None

CONSTRUCTION METHOD: None

METHOD OF MEASUREMENT/BASIS OF PAYMENT: Contained in the bid proposal will be an "***only acceptable***" lump sum bid for this item. As the police details are utilized and billed the contractor will be responsible to review the costs and make payment to the Police Department. Those costs will then be reimbursed by deducting monies spent from the lump sum total. The contractor will only be reimbursed for actual expenditures paid out, and will not be subject to any mark ups or processing fees on the part of the contractor. The contractor will be responsible for furnishing proof of payment before reimbursement can be made.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

LII. ITEM NO. 5: FURNISH AND INSTALL PAVILION

DESCRIPTION: This item of work shall consist of furnishing and installing a new pavilion along with other associated work, complete in place as a lump sum proportioned by the associated sub items contained here with in.

MATERIALS: See applicable sub items

CONSTRUCTION METHOD: See applicable sub items

Notes:

- 1) All work shall be performed within the limits of construction outlined in the contract drawings.
- 2) The fabricator of the pavilion must poses a minimum of 5 years experience in the fabrication of structural configurations.
- 3) The name of the fabricator(s) accompanied by example of work, relative experience and list of references shall be submitted with the bid. No substitutions after the bid will be allowed. A maximum of four fabricators may be submitted for approval. RIPTA reserves the right to deny any firm submitted based on relative lack of required expertise.
- 4) Contained in the contract drawings is a schematic of the new pavilion as well as details from the original pavilions furnished on this site in previous contracts. During development of shop drawings for the new structure it shall be assumed that the structural members will have the same member characteristics as those shown in the details for the original pavilions. Only the lengths will be required to be adjusted to account for the increased size of the new pavilion, all other details will remain the same. The exception to this shall be for the splice area onto the existing four legs of the bus locker surround structure. This will require a complete design and submittal.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: "Furnish & Install Pavilion" will be measured and paid for as a lump sum item as work as described in the impending sub-items of work and shall constitute full and complete compensation for all labor, materials, equipment, and other incidentals as included in this lump sum price. Incidental items not specifically spelled out in the sub items shall be included in the overall cost for this work. The contractor is required to submit with their bid a lump sum breakdown, attached in the general contract provisions. This will assist in the process of making progress payments.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

LIII. SUB - ITEM NO. 5S-1 MOBILIZATION/DEMobilIZATION

DESCRIPTION: This item of work shall conform to the applicable sections of the “Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition” with all revisions. This item of work shall consist of those efforts necessary for the movement of the Contractor’s personnel and equipment to the project site and for the establishment of all the Contractor’s field offices if required, buildings and other facilities required for the performance of the Contract.

MATERIALS: Not applicable.

CONSTRUCTION METHOD: Not applicable.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: There will be no separate Method of Measurement and Basis of Payment for “Mobilization/Demobilization” but will be included in the total lump sum bid price submitted for the pavilion item of work. The costs associated with this work as proportioned in the lump sum amount shall constitute full and complete compensation for all labor, materials, equipment, and other incidentals as included in the total contract lump sum price required to move the Contractor’s personnel and equipment and if required establish the Contractor’s facilities at the site and at the conclusion of the contract, for the complete removal thereof. No lump sum breakdown will be required for this item of work.

LIV. SUB - ITEM NO. 5S-2: TRAFFIC & PEDESTRIAN CONTROL

DESCRIPTION: This item of work will include but not be limited to supplying and maintaining all necessary traffic control devices, required to safely maneuver pedestrians and vehicular traffic in and around the project site. Devices will include temporary fencing, traffic signs, cones, barrels, and all necessary mounting devices. All equipment shall be maintained for the life of the contract. It should be noted that the work area is located in down town Providence, in the center of Kennedy Plaza – a fully functional bus facility. Located in the contract drawings are depicted control layout for work in and around the job site. At the end of each day of operations any equipment not required for safety will be safely stockpiled for use in the next day of work.

MATERIALS: Materials will conform to the applicable sections of the RI Department of Transportation Specification for Road & Bridge Construction, 2004 Edition as well as the latest version of the “MUTCD.”

METHOD OF MEASUREMENT/BASIS OF PAYMENT: There will be no separate Method of Measurement and Basis of Payment for “Traffic & Pedestrian Control” but will be included in the total lump sum bid price submitted for the pavilion item of work. The costs associated with this work as proportioned in the lump sum amount shall constitute full and complete compensation for all labor, tools, materials and equipment necessary to successfully complete this work.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

LV. SUB - ITEM NO. 5S-3PROJECT LAYOUT

DESCRIPTION: All field control and construction layout for this project including but not limited to the establishment of the line and grade specifically the remaining section of the bike locker surround and the incorporation into the new pavilion, as well as all other surveying and layout operations required to successfully complete the project in accordance with the plans and specifications will be the sole responsibility of the contractor. It should be noted the importance of accurately documenting the locations of the four legs of the existing bike locker surround structure that are to remain and forwarding that information to the fabricator as required.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: There will be no separate Method of Measurement and Basis of Payment for "Project Layout" but will be included in the total lump sum bid price submitted for the pavilion item of work. The cost associated with this work as proportioned in the contract lump sum amount shall constitute full and complete compensation for all labor, tools, materials, and equipment necessary to provide surveying information and layout to successfully construct this work.

LVI. SUB - ITEM NO. 5S-4: FURNISH & INSTALL ELECTRICAL SERVICE

DESCRIPTION: This item of work shall conform to the applicable sections of the "Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition" with all revisions as well as the latest version of the National Electric Code. This item of work shall consist of removing existing pavers and concrete base in the area identified for the new service. Installing required grounds and fused and un-fused disconnects, furnish and installing rigid steel conduit under and above pavement from the "Quazite" hand hole to the edge of the pavilion to tie into the MC cable supplied with the new pavilion and install the appropriate electrical feeds from the hand hole of the structure to feed the lights supplied with the pavilion, the replacement of the existing hand hole cover, and the repairing of the concrete base and replacement of existing or new concrete pavers as required.

CONSTRUCTION METHOD: The hand hole to be used for power shall be identified in the field and confirmed by the engineer. The existing pavers between the hand-hole and the location of the service run will be carefully removed and stockpiled in accordance with the trench detail contained in the contract drawings. The existing concrete base will then be removed by saw cutting the edge and removing the concrete. It should be noted that this facility is equipped with an ice melt piping throughout the area contained in the concrete base. The exact location of this piping is unknown. If this operation damages this piping during the conduit installation the contractor shall repair the ice melt apparatus on a time and materials basis (refer to that bid item for more information). After the installation of the new conduit the area will be backfilled in accordance with the trench detail, and the concrete base will be reinstalled followed by the concrete pavers. The conduit installation through the existing legs of the bike storage structure will take place at a location as low to the ground as possible and in a neat and appealing manner. Any exposed conduit will be painted to match the color of the new pavilion. Once completed the necessary conducting cables can be installed from the hand hole through the newly placed conduit, through the MC Cable supplied with the pavilion to supply power to the fixtures supplied with the pavilion.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

Materials: All materials shall conform to the applicable sections of the “Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition” with all revisions.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: There will be no separate Method of Measurement and Basis of Payment for “Furnish & Install Electrical Service” but will be included in the total lump sum bid price submitted for the pavilion item of work. The costs associated with this work as proportioned in the lump sum amount shall constitute full and complete compensation for all labor, materials, equipment, as described above and for all other incidentals as included in the total contract lump sum price required to finish the work, complete and accepted by the Engineer. As stated above damage to any of the existing ice melt apparatus during this operation will be repaired by force account procedures. The lights and MC cable which will be supplied for in the new pavilion will be considered reimbursable under that portion of the lump sum along with all applicable wiring and conduit. The resetting / replacement of the concrete pavers shall also be covered under this work and not paid for under item # 1 & 2

LVII. ITEM NO. 5S-5: REMOVE, SALVAGE, TRANSPORT, UNLOAD, EXISTING BIKE LOCKERS

DESCRIPTION: This item of work shall conform to the applicable sections of the “Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition” with all revisions. This item of work shall consist of carefully removing the existing bike lockers from their current location in Kennedy Plaza, (the existing steel surround structure will be handled under a separate sub – item), delivering them to a location within 15 miles of Kennedy Plaza. They shall be unloaded and reconstructed at this location

MATERIALS: None required.

CONSTRUCTION METHOD: The existing bike lockers will be carefully removed from the existing concrete base. Included in the contract drawings is an installation detail from the original design drawings. The contractor shall review the area to verify the exact method utilized to secure the lockers. Arrangements can be made through RIPTA for a project site inspection so that the lockers can be opened. The lockers will be immediately loaded onto a truck, shipped and unloaded to a location within 15 miles of Kennedy Plaza as directed by RIPTA Personnel. The contractor is to notify RIPTA 48 hours in advance of this movement so that arrangements can be made to accept the units. At no time are the removed units to be allowed to remain on site after removal.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: There will be no separate Method of Measurement and Basis of Payment for “Remove, Salvage, Transport, Unload, Existing Bike Lockers” but will be included in the total lump sum bid price submitted for the pavilion item of work. The costs associated with this work as proportioned in the lump sum amount shall constitute full and complete compensation for all labor, materials, equipment, including removal loading, transporting, unloading and permits, and for all other incidentals as included in the total contract lump sum price required to finish the work, complete and accepted by the Engineer.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

LVIII. SUB - ITEM NO. 5S-6: FURNISH & INSTALL NEW PAVILION COMPLETE IN PLACE

DESCRIPTION: This item of work shall conform to the applicable sections of the "Rhode Island Department of Transportation Specifications for Road and Bridge Construction 2004 Edition" with all revisions. This item of work shall consist of Furnishing a completely assembled and coated pavilion with copper roof, equipped with interior lighting, transporting it to the project site and erecting and securing it to the existing structural supports for the bike locker storage surround structure.

METHOD OF MEASUREMENT/BASIS OF PAYMENT: There will be no separate Method of Measurement and Basis of Payment for "F&I Pavilion" but will be included in the total lump sum bid price submitted for the pavilion item of work. The costs associated with this work as proportioned in the lump sum amount shall constitute full and complete compensation for all labor, materials, equipment, including fabrication, shop inspection, coating, transportation, field preparation and layout, erection, welding, lighting, removal of excess material from existing surround structure and for all other incidentals as included in the total contract lump sum price required to finish the work, complete and accepted by the Engineer.

MATERIALS/CONSTRUCTION METHOD: All materials and construction methods unless detailed in this specification will conform to manufacturer's recommendations the applicable sections of the "Rhode Island Department of Transportation Specifications for Road & Bridge Construction 2004 Edition" as well as the latest edition of the National Electrical Code: Contained in the contract drawings is a schematic of the new pavilion as well as details from the original pavilions furnished on this site in previous contracts. During development of shop drawings for the new structure it shall be assumed that the structural members will have the same member characteristics as those shown in the details for the original pavilions. Only the lengths of the structural members will be required to be adjusted to account for the increased size of the new pavilion. The exception to this shall be for the splice area onto the existing four legs of the bus locker surround structure. This will require a complete design and submittal. Shop Drawings will be submitted for approval. Drawings shall include detailed information, sizes, and dimensions for all materials, welding requirements, electrical details, coatings and applications and erection procedures. No fabrication shall commence until such drawings are returned approved. Thirty (30) days should be allowed for the approval process. Plant inspection of the fabrication by RIPTA and or its designee shall be permitted as requested.

1. Preparation & Removal of Existing Structure

- a. **Construction Methods:** The four supports of the existing bike storage surround structure will carefully be cut at an elevation to be determined by the contractor as directed on the contract drawings. A plasma cutter will be utilized to sever the existing steel. Alternate measures may be submitted for approval. The remains of the existing structure if any will be immediately loaded off site and stockpiled off site and or properly disposed of. All excess material will become the property of the contractor. The contractor will make every effort to utilize as much of the existing structure as possible. At no time will the remains of the existing structure be allowed to stay on site once the preparation process has

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

begun. Any damage caused by the contractor to the structure that is to remain will be repaired to the satisfaction of RIPTA at no additional cost.

- b. **Shop Drawings:** Shop drawings shall be submitted detailing splice locations, weld details – size &, type of all work associated with the splice between the existing structure and new pavilion. No bolted connections will be permitted for this splice (see paragraph 5 for more information).

2. **Structural Steel**

- a. **General:** The contractor will make every effort to utilize as much of the existing structural steel as possible. All other steel shall be new, and meet the Buy America requirements. A complete set of mill certifications will be submitted for all new structural steel.
- b. **Materials:** Structural steel shall conform the requirements of Subsection M.05.04.1 of the RI Standard Specifications for Road & Bridge Construction, latest edition

3. **Welding / Fabrication**

- a. **General:** All welding, welder qualifications, prequalification's of weld details and inspection of welds both in the shop and at the project site shall conform to the requirements of the current ANSI/AASHTO/AWS D1.5 Bridge Welding Codes. This device shall be furnished and installed at the base of all light poles – 2 per pole/luminary, and at any device location susceptible to collision.
- b. **Qualifications of Welders:** The contractor/ fabricator shall submit for approvals complete list of anyone who will perform welds on the projects accompanied by their welding prequalifications. This applies to both field and shop performed welds
- c. **Welding Procedures:** The contractor shall submit a complete welding procedure for work both in the shop and in the field for welding all primary members and other members on which welds are subject to calculated stress prior to proceeding with fabrication.
- d. **Inspection of Welds:** The contractor will hire the services of an independent testing company to inspect, evaluate and test shop fabrication welds. All tests shall be non-destructive, which may be carried out by radiographic, Magnetic particle, dye penetrate or ultrasonic measures. The frequency of testing will correspond to Table 1: non destructive testing program contained in section 824.03.7 if the RI Standard Specifications. Any defective welds shall be recorded, repaired in a satisfactory manner and retested. At the completion of welding operations a complete report will be forwarded to RIPTA.
- e. **Shop Drawings:** The contractor shall furnish a complete set of shop drawings for the fabrication of a completed structure for review and approval prior to the commencement of any fabrication (see note 5 for more information).

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

- f. **Fabrication:** The pavilion will be fabricated into individual components for shipping. This procedure shall be performed in such a fashion as to allow for the minimal and most convenient amount of installation procedures at the project site, given the limited amount of time available per night for erection operations at the project site. Also refer to transportation specification for more information. Please note that fabricators must be pre approved – see notes under main item #5 F&I pavilion for more information.
- 4. **Shop Inspection and testing – Independent**
 - a. **General:** In addition to the independent testing requirements the fabricator shall be committed to perform their own quality assurance. The contractor/ fabricator shall furnish at the end of every week of fabrication a complete set of all independent tests performed as they occur.
- 5. **Shop Drawings**
 - a. **General:** The Contractor shall furnish a complete set of shop drawings in reference to the fabrication, delivery, and erection of the proposed Pavilion. All shop drawings will be submitted in a timely fashion such that the contractor's approved schedule is not adversely impacted by the submittal process. Contained in the contract drawings is a schematic of the new pavilion as well as details from the original pavilions furnished on this site in previous contracts. During development of shop drawings for the new structure it shall be assumed that the structural members will have the same member characteristics as those shown in the details for the original pavilions. Only the lengths will be required to be adjusted to account for the increased length. The exception to this shall be for the splice area onto the existing four legs of the bus locker surround structure. This will require a complete design and submittal. Shop drawings shall consist of such detailed plans required to control the work that are not included in the contract drawings (other pertinent information regarding shop drawings are discussed here within these specifications). The original drawings from the existing pavilions have been attached for reference. All structural member characteristics shall remain the same with adjustment allowances for the increase in size of the new structure. Engineering shop drawings shall be stamped by a Rhode Island Registered Professional Engineer. A 25 day review period shall be permitted for each submission and or resubmission. The contractor shall address the engineer's comments and resubmit revised shop drawings and/or design computations. Additional contract time for this operation will not be allowed.
- 6. **Painting Structural Steel**
 - a. **General:** This work shall consist of painting or repainting structural steel. The work includes the elements of cleaning, surface preparation, the selection of an appropriate paint system, and the actual painting of the structure. All coatings associated with the fabrication of the new pavilion shall be shop applied. The elements of the existing structure that is to remain from the existing bike storage surround shall also be coated. Only a surface coating will be required for the elements of the reutilized structure. All work performed will be in conformance with Section 825 –

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

Painting Structural Steel – of the RI Standard Specifications, latest edition as well as all manufacturers recommendations. .

- b. **Materials:** A two part of three part painting system can be utilized for the coating process – The desired system shall be submitted for approval and shall conform to section M.06 of the RI Standard Specifications for Road & Bridge Construction and be utilizes from RIDOT;s approved product list.
 - c. **Color:** The top coat of all painted surfaces shall be Green. The contractor shall match the color of the existing adjacent pavilion a close as possible. A 1 foot by 1 foot test panel will be coated with the surface coating and submitted for approval. This color will be compared to that of the adjacent structure. The final course of paint will not be applied until final approval is given on the color.
 - d. **Cleaning, Surface preparation, Paint Application, Handling & Erection:** All operations in involved in the cleaning, surface preparation, the application of an appropriate paint system, handling & storage of the finished product and the subsequent erection of the steel shall conform to section 825 of the RI Standard Specifications for Road & Bridge Construction – Latest edition will all addendums. Any defected
7. **Transportation of Pavilion**
- a. **General:** Once the fabrication of the pavilion is completed the individual components as determined by the contractor will be transported to the site for installation. Only the sections that can be installed during the hours described within should be shipped to the site. Storage of non erected materials will not be permitted to be stored on site.
8. **Erection**
- a. **General:** This work will entail the erection and installation of the new pavilion on the prepared existing surround structure. The contractor will be allowed to close one of the bus lanes and the plaza area in the vicinity of the pavilion site to allow for erection activities. This work shall be completed during the hours allocated as spelled out elsewhere in these specifications. No heavy equipment shall be allowed to be placed on any of the plaza areas. The contractor shall submit a complete erection plan detailing crane sizes and placement, hoisting apparatus and all other necessary equipment for approval prior to commencing with this operation.
9. **Permits**
- a. **General:** The contractor is responsible for securing and maintaining all required permits involved with the transportation and or erection of all materials associated with this work.
10. **Hours of Operation**
- a. **General:** The removal of the existing structure and the erection of the new pavilion must be accomplished on the Following days only – Saturday, Sunday & Monday - between the hours of midnight and 4 am. Work on the preparation of the existing structure, installation of new

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

electrical service, installation of new benches, installation or resetting of new pavers and other miscellaneous operations can be accomplished at any time as well as these operations do not effect bus and/ or pedestrian travel.

11. **Roof**

- a. **General:** The pavilion will be completely assembled including the installation of a new copper roof in accordance with the details contained in the contract drawings. The appearance of the roof when it arrives shall be in close resemblance to the roofs of the existing pavilions. The roof shall be pre installed by the fabricator and protected from damage during transportation to the site.

12 **Lighting & Electrical**

- a. **General:** This item of work shall consist of pre installing in the new pavilion MC cable and type "A" lighting fixtures (Abolite outdoor wall mounted compact fluorescent w/ integral ballast – 26 watts – 102 volts color matched to pavilion) as detailed in the contract drawings. This work will work will be in conjunction with the installation of the new electrical service as detailed else ware in the contract.

13 **Preparation, Removal and replacement of Concrete Slab**

- a. **General:** This item of work shall consist of removing by means of suitable power and hand tools to a uniform depth to allow for the placement of a new 6 inch concrete steel reinforced slab followed by the installation of concrete pavers at an elevation equal to that of the surrounding pavers. Power tool that cause over breakage of concrete will not be permitted. Care must be observed when removing the existing slab in the areas of the foundations for the four support structures. Any damages to these footings will be repaired by the contractor at no additional cost to RIPTA. Contained in the area of the new concrete slab will be the installation of the footing for the new granite benches. Refer to that specification and drawing details for more information. The cost for the new pavers in this area will be considered part of these costs and not covered under item #'s 1 and 2 – Furnish and install pavers.
- b. **Materials:**
1. **Concrete:** The new concrete slab shall be class XX (AE) and conform to sections 600 of the RI Standard Specifications for Road & Bridge Construction. Concrete shall be supplied by a RIDOT approved plant only.
 2. **Reinforcing:** 4"x4" welded wire fabric – galvanized

14 **Granite Bench**

- a. **General:** This item of work shall include the fabrication, transportation and installation of one new granite bench on a 2 foot reinforced concrete foundation secured by fasteners all in accordance with the details outlined in the contract drawings. The granite bench shall be constructed out of two separate pieces of granite secure together with staginess steel dowels and a mortar base. Refer to detail sheet for more information. Note: Existing Granite benches are to remain as is.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

b. Materials:

1. Bench: The bench shall be fabricated out of the same type, finish and color stone as utilized in the existing benches found in the plaza. A sample of stone with the appropriate finish shall be furnished for approval prior to the commencement of fabrication.
2. Concrete: The new concrete footing shall be class XX (AE) and conform to sections 600 of the RI Standard Specifications for Road & Bridge Construction. Concrete shall be supplied by a RIDOT approved plant only
3. Reinforcing: #4 epoxy coated R-bars
4. Dowels: See details

LIX. ITEM # NA: PROSECUTION & PROGRESS

DESCRIPTION: The contractor shall furnish a complete and practicable Critical Path Method (CPM) Project Schedule. The sequence of construction will be made available at the time of the preconstruction meeting.

SCHEDULING REQUIREMENTS: The schedule shall be the contractor's working schedule and shall be used to plan, organize, and execute the work. It shall include all activities of both the prime contractor and their sub-consultants, as well as equipment vendors and suppliers. It shall report actual performance and progress and show how the general contractor plans to complete all remaining work. The initial schedule will be submitted for review and comment and once approved will be considered the baseline schedule. Recovery schedules will be submitted as directed and/or required. The contractor shall utilize software compatible with "Primavera Project Planner."

METHOD OF MEASUREMENT/BASIS OF PAYMENT: All costs associated with the requirements set forth in the special provision for "Prosecution and Progress" shall be considered part of the contractor's overhead and should be reflected in the proportioned percentage of the total contract lump sum sub item amount under mobilization.

LX. ITEM # NA: PRICE ADJUSTMENTS

Given the short duration of this contract there will be no Price Adjustments for fluctuation in price relative to the cost of raw steel for this project

LXI. ITEM # NA: FIELD OFFICE

There will be no field office required for this project.

LXII. ITEM # NA: TRAINEE MAN HOURS

There will be no trainees required for this project.

LXIII. ITEM # NA: FLAGGERS

There will be no Flaggers required for this project.

RHODE ISLAND PUBLIC TRANSIT AUTHORITY

Invitation for Bid Number 11-25 JOB SPECIFIC SPECIFICATIONS

LXIV. ITEM NO. NA AMERICAN RECOVERY AND REINVESTMENT ACT (ARRA) SIGN ASSEMBLY

DESCRIPTION: In accordance with the provisions of the ARRA any project utilizing stimulus money must display the corresponding sign. RIPTA currently has the sign (no posts) in storage. This sign will be installed on site a location to be determined at the commencement of the contract.

MATERIALS/ CONSTRUCTION METHODS: The sign assembly and its components shall conform to section 922 – Temporary Construction Signs of the Rhode Island Specifications for Road and bridge Construction – 2004 Edition.

METHOD OF MEASUREMENT/ BASIS OF PAYMENT: All costs associated with the requirements set forth in the special provision for “ARRA Signage” shall be considered part of the contractor’s overhead and should be reflected in the proportioned percentage of the total contract lump sum amount for the Furnish & Installation of the new pavilion. .

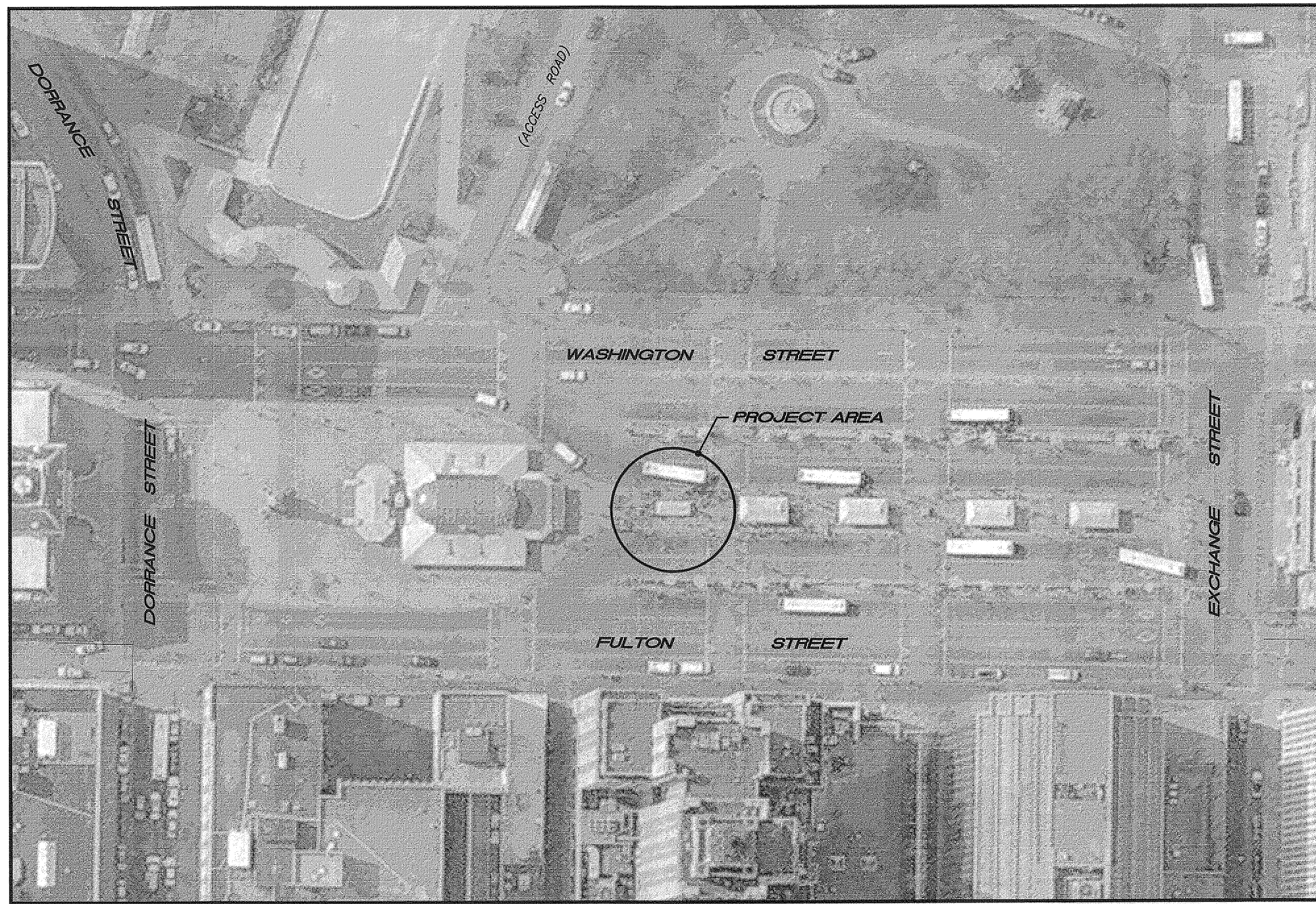
LXV. ITEM NO. NA: BUY AMERICA REQUIREMENTS

All materials utilized for this project must comply with the latest Federal Regulations for Buy America Requirements.

LXVI. ITEM NO. NA: USE OF APPROVED EQUALS

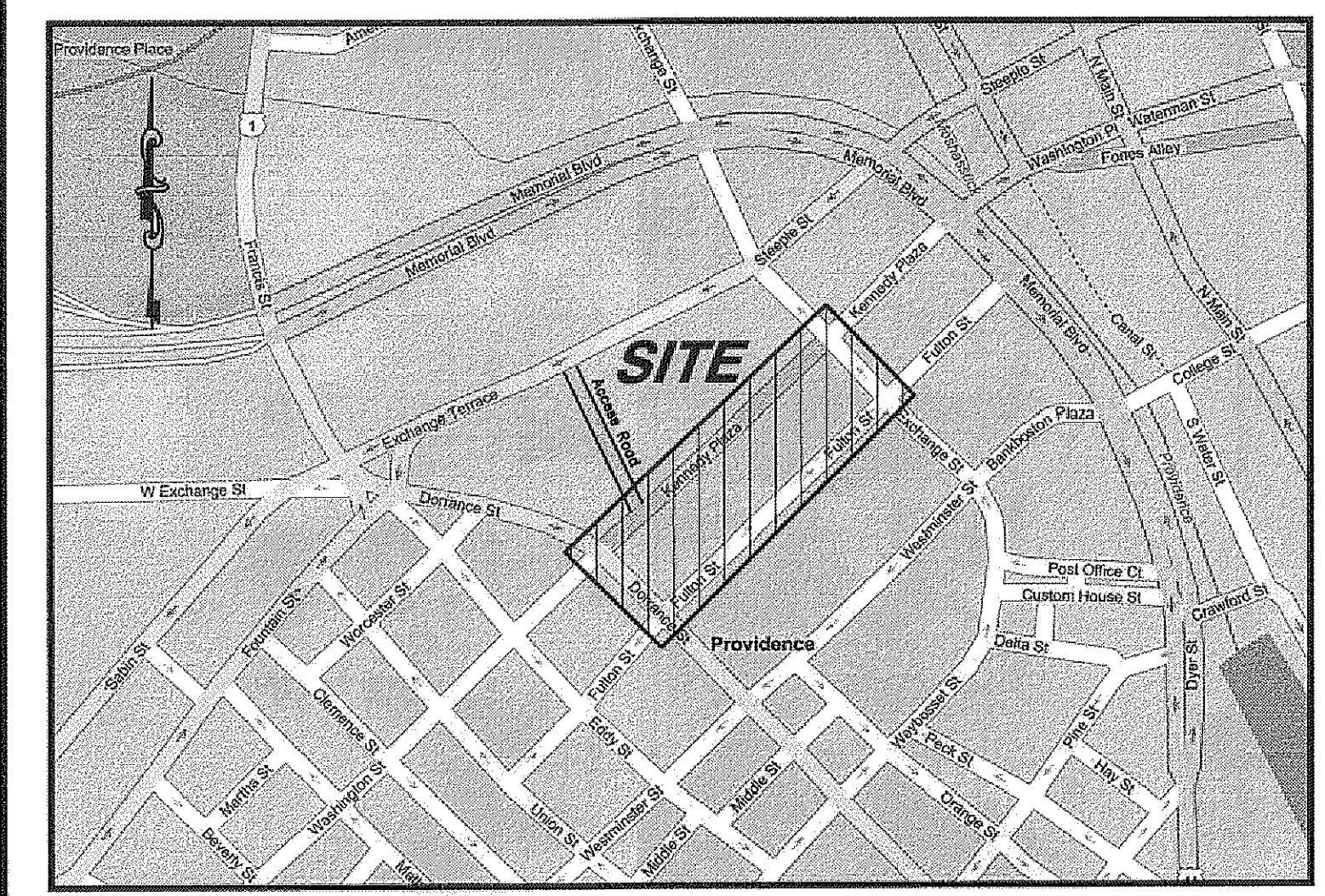
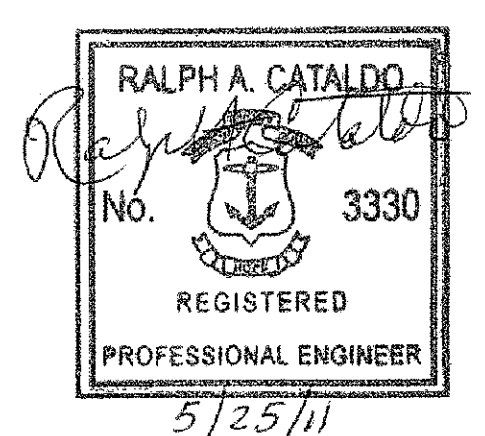
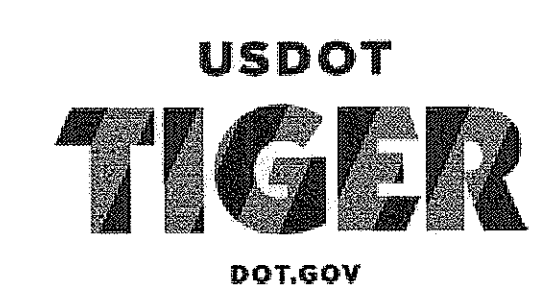
All material listed, unless noted below, depicting a specified manufacturer can have an approved equal substituted for approval. Please refer to the appropriate section of the contract documents on RIPTA’s procedure for approved equals. All requests must be submitted electronically in MS Word Format, with sufficient Technical Information by YYY at 1:00 pm.

IMPROVEMENTS TO KENNEDY PLAZA PAVILION PROVIDENCE, RHODE ISLAND



SCALE: 1" = 40'

MAY 25, 2011



LOCATION MAP
NOT TO SCALE

LIST OF DRAWINGS

TITLE SHEET

- C1 SITE PLAN
- C2 SCHEMATIC PAVILION PLAN
- C3 EXISTING PAVILION DETAIL PLAN - 1
- C4 EXISTING PAVILION DETAIL PLAN - 2
- C5 EXISTING PAVILION DETAIL PLAN - 3
- C6 EXISTING PAVILION DETAIL PLAN - 4
- C7 NEW PAVILION DETAIL PLAN - 1
- C8 MAINTENANCE AND PROTECTION OF TRAFFIC PLAN
- E1 NEW PAVILION LIGHTING PLAN AND DETAILS

PREPARED FOR:

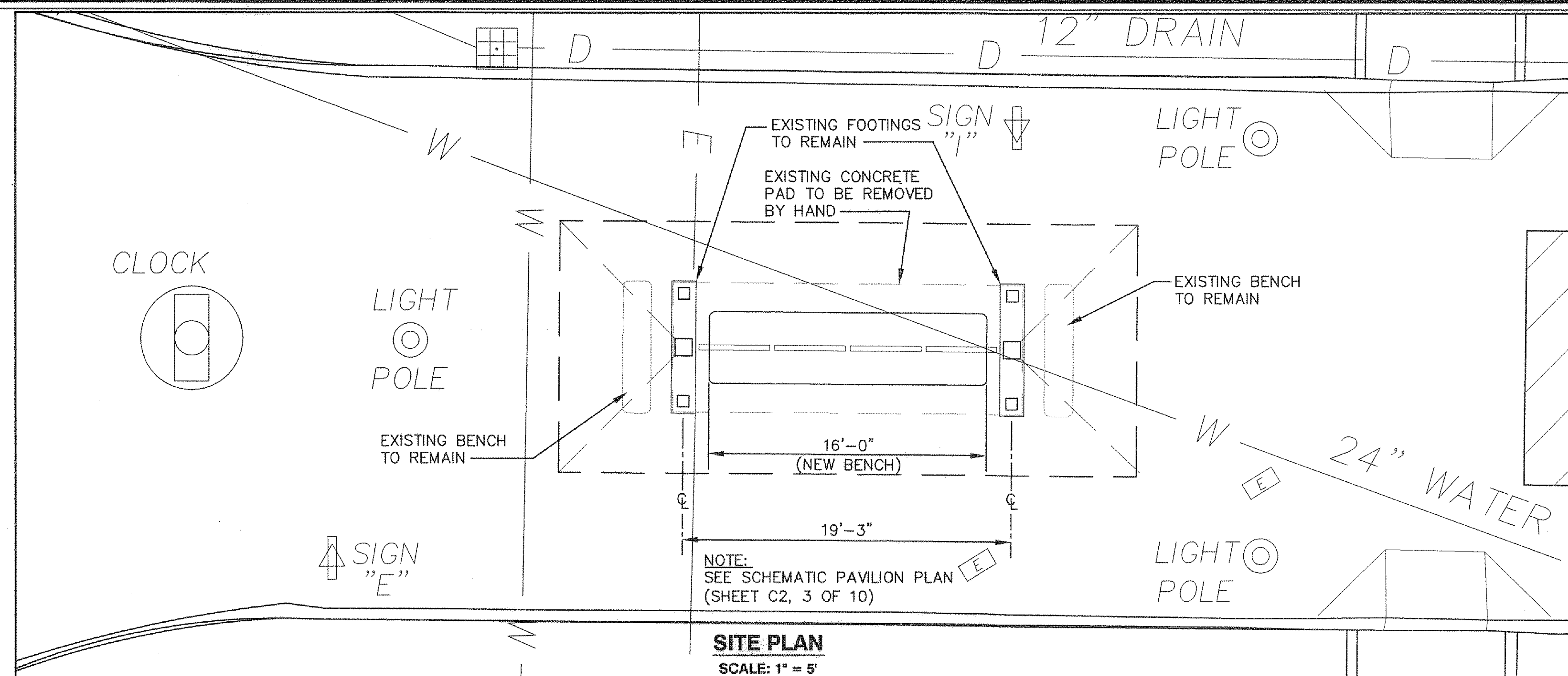
RIPA
RHODE ISLAND PUBLIC TRANSIT AUTHORITY
RHODE ISLAND PUBLIC
TRANSIT AUTHORITY
265 MELROSE STREET
PROVIDENCE RHODE ISLAND 02907

PREPARED BY:

C CATALDO ASSOCIATES INC.
CIVIL ENGINEERS ~ LAND SURVEYORS

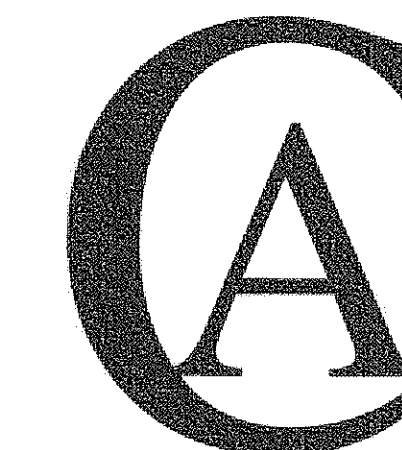
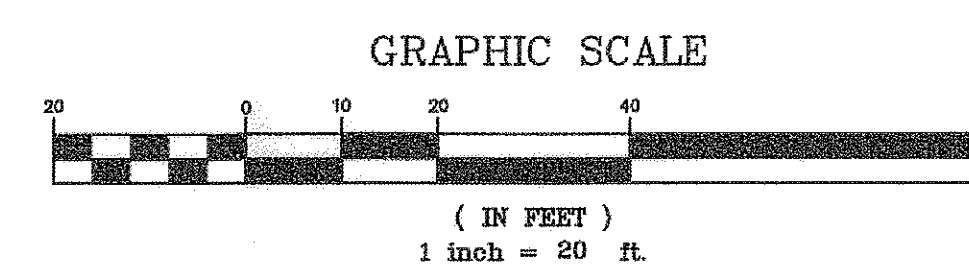
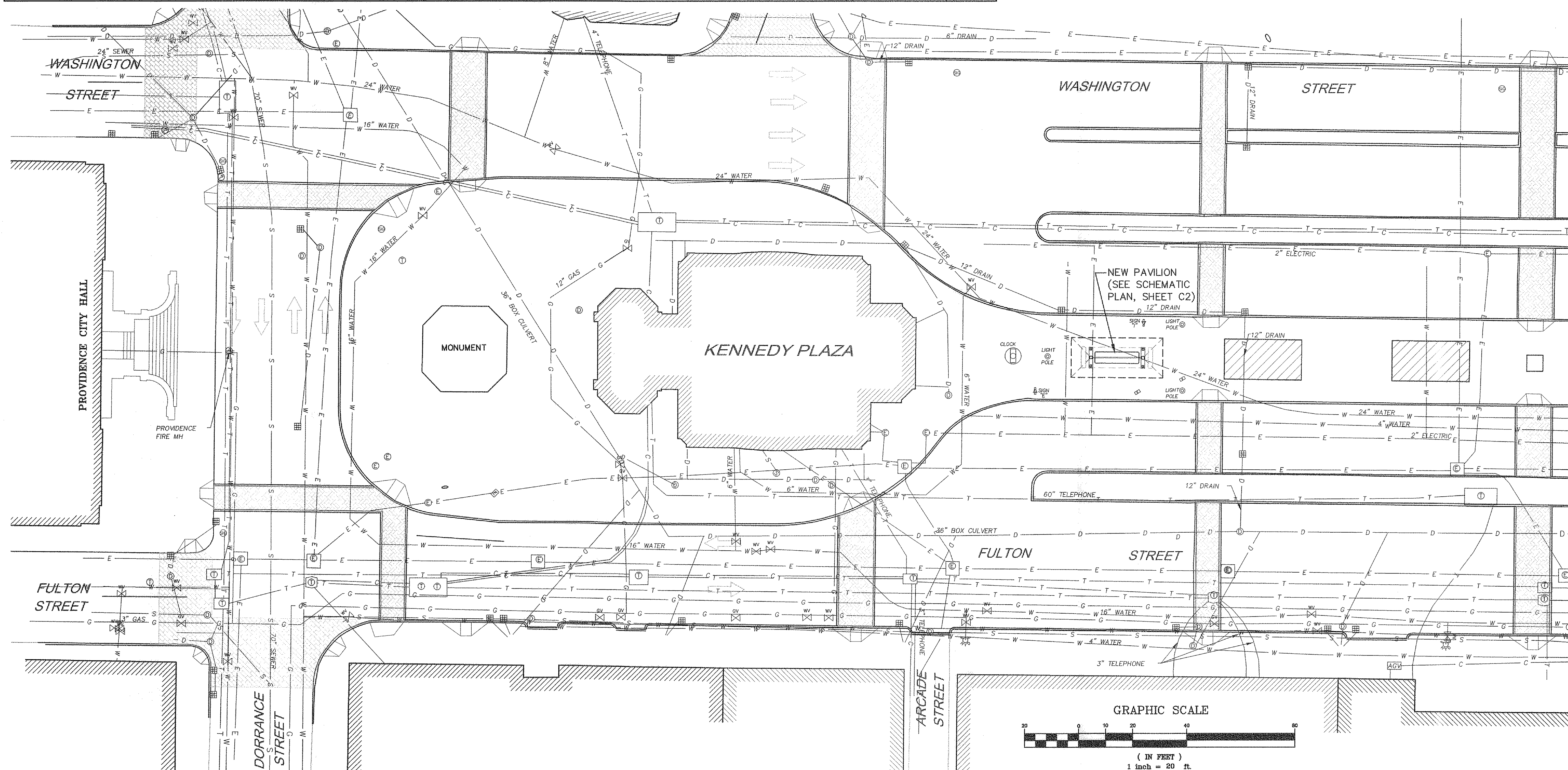
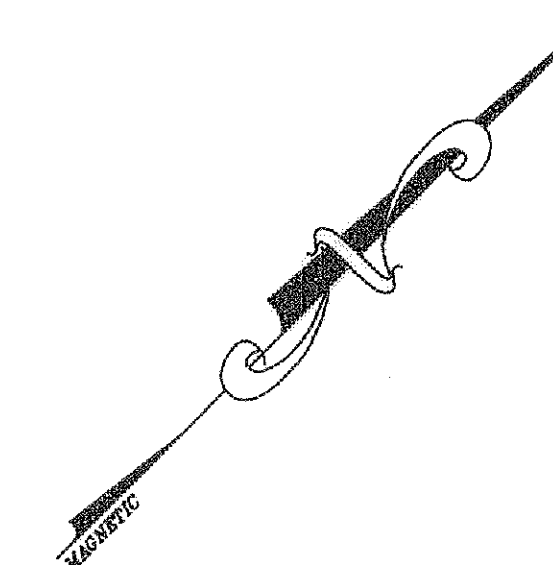
TEL: (401) 453-3300
FAX: (401) 453-4117
E-MAIL: ca@cataldoeng.com

1408 ATWOOD AVENUE
JOHNSTON, RI 02919



NOTES:

1. UTILITY LOCATIONS HAVE BEEN COMPILED FROM THE BEST AVAILABLE EXISTING INFORMATION OBTAINED FROM "EXISTING CONDITION PLAN" FOR KENNEDY PLAZA IN PROVIDENCE, R.I. 02908, 99-838, DRAWING NO. H4152, PREPARED BY HOWE SURVEYING ASSOCIATES, INC., DATED SEPT 16, 1999.
2. THE CONTRACTOR SHALL BE RESPONSIBLE FOR DETERMINING THE EXACT LOCATION AND DEPTHS OF ALL EXISTING UTILITIES INCLUDING THOSE WHICH MAY NOT BE SHOWN ON THE PLANS PRIOR TO ANY WORK.
3. IT SHALL BE THE CONTRACTOR'S SOLE RESPONSIBILITY TO CONTACT DIG-SAFE AND UTILITY COMPANIES FOR EXACT ON-SITE LOCATION OF EXISTING UNDERGROUND UTILITIES AT LEAST FORTY-EIGHT (48) HOURS PRIOR TO START OF CONSTRUCTION.



CATALDO ASSOCIATES INC.
CIVIL ENGINEERS
LAND SURVEYORS

1408 ATWOOD AVENUE TEL: (401) 453-3300
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ca@cataldoeng.com

Project:

**KENNEDY
PLAZA
PAVILION**

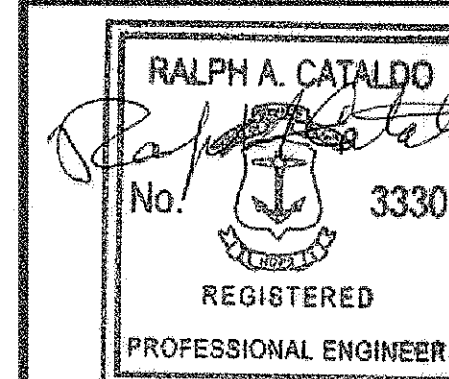
PROVIDENCE
RHODE ISLAND

Prepared For:



**RHODE ISLAND
PUBLIC TRANSIT
AUTHORITY**

265 MELROSE STREET
PROVIDENCE
RHODE ISLAND
02907



Issue Date: MAY 25, 2011

Revisions

[illegible]

Project Number: 3038-58

Scale: 1" = 20'

Designed By: R.A.C.

Drawn By: M.A.D. Checked By: R.A.C.

Sheet Title:

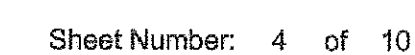
SITE PLAN

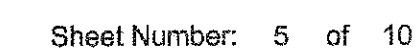
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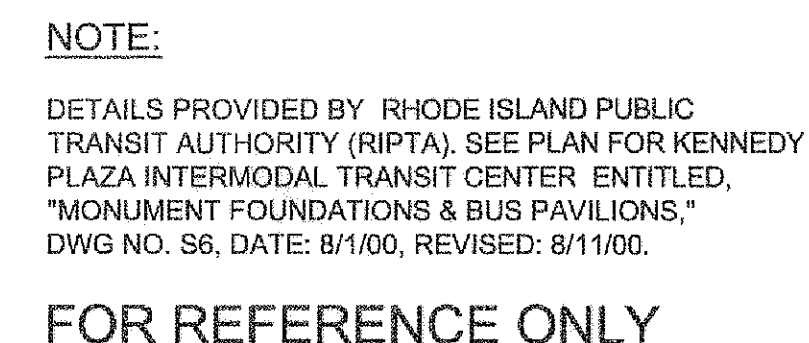
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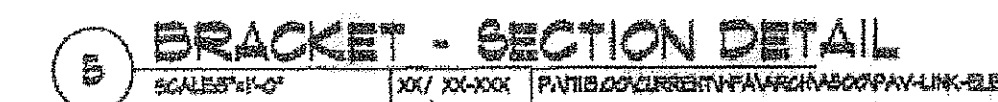
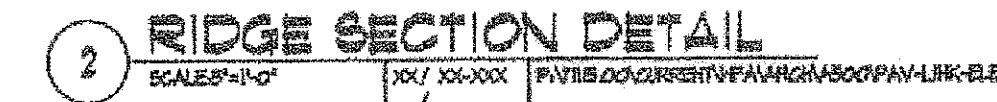
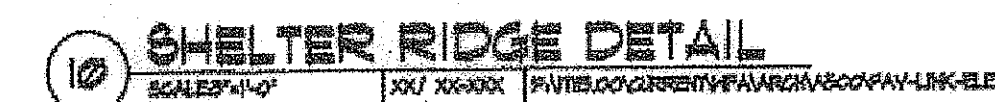
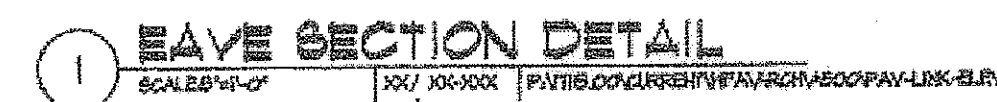
FOR REFERENCE ONLY







Sheet Number: 6 of 10



FOR REFERENCE ONLY



Sheet Number: 9 of 10

